

Ministry of Foreign
Affairs

**Export, brokering,
transit and
transshipment
operations with
military goods**

2014

DEPARTMENT FOR EXPORT
CONTROLS

Annual report
January – December

ROMANIA

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1. PERMANENT EXPORTS PER DESTINATION

AFGHANISTAN			
	ML 1	ML 3	Total per destination
a ¹	3	2	5
a _i	3	2	5
a _g	0	0	0
a _{gen}	0	0	0
b	5 378 068	3 688 355	9 066 423
b _i	5 378 068	3 688 355	9 066 423
b _g	0	0	0
b _{gen}	0	0	0
c	5 378 219	1 543 886	6 922 105
c _i	5 378 219	1 543 886	6 922 105
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

machine gun cal. 12,7 mm (450) (2 licenses) (G)²
 spare parts and accessories for small arms (G)
 small arms ammunition (G)

Military goods exported:

machine gun cal. 12,7 mm (330)
 spare parts and accessories for small arms
 small arms ammunition

Footnote: Licences issued pursuant to UN Security Council resolution for use in Afghanistan by the governmental forces.

¹ (a) = number of licenses issued; (a_i) = number of individual licenses; (a_g) = number of global licenses; (a_{gen}) = number of general licenses; (b) = value of licenses issued in euro; (b_i) = value of individual licenses in euro; (b_g) = value of global licenses in euro; (b_{gen}) = value of general licenses in euro; (c) = value of arms exports in euro; (c_i) = value of arms exports on individual licenses in euro; (c_g) = value of arms exports on global licenses in euro; (c_{gen}) = value of arms exports on general licenses in euro; (d) = total number of license refusals; (e) = criteria numbers of the EU Code of Conduct on arms exports on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets).

2014 Exchange rates:

1 EUR = 1,3290 USD
 1 EUR = 0,8060 GBP
 1 EUR = 1,2150 CHF

² (G), (I), (C) final destination: Government, Industry, Commercial.

AUSTRALIA			
	ML 1	ML 13	Total per destination
a	3	0	3
a _i	3	0	3
a _g	0	0	0
a _{gen}	0	0	0
b	32 531	0	32 531
b _i	32 531	0	32 531
b _g	0	0	0
b _{gen}	0	0	0
c	27 031	52	27 083
c _i	27 031	52	27 083
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

hunting riflescope (C)

accessories for hunting arms (C)

Military goods exported:

hunting riflescope

AUSTRIA			
	ML 1	ML 2	Total per destination
a	3	1	4
a _i	1	1	2
a _g	2	0	2
a _{gen}	0	0	0
b	347 046	4 431	351 477
b _i	27 596	4 431	32 027
b _g	319 450	0	319 450
b _{gen}	0	0	0
c	54 739	4 431	59 170
c _i	21 332	4 431	25 763
c _g	33 407	0	33 407
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

hunting riflescope (C)

semi-automatic rifle (163) (1 license) (C)

signal pistol (151) (1 license) (C)

Military goods exported:

hunting riflescope

semi-automatic rifle (126)

signal pistol (151)

AZERBAIJAN

	ML 1	ML 3	ML 5	ML 10	ML 22	Total per destination
a	2	1	1	1	1	6
a _i	2	1	1	1	1	6
a _g	0	0	0	0	0	0
a _{gen}	0	0	0	0	0	0
b	243 341	66 591	2 982 543	182 468	607 976	4 082 919
b _i	243 341	66 591	2 982 543	182 468	607 976	4 082 919
b _g	0	0	0	0	0	0
b _{gen}	0	0	0	0	0	0
c	243 341	66 591	74 492	624 051	24 078	1 032 553
c _i	243 341	66 591	74 492	624 051	24 078	1 032 553
c _g	0	0	0	0	0	0
c _{gen}	0	0	0	0	0	0
d	0	0	0	0	0	0
e	-	-	-	-	-	-

Licences issued for:

spare parts for small arms and light weapons (G)
 overpressure ammunition (G)
 IFF ground system, spare parts and maintenance equipments therefor (G)
 components for IFF system (G)
 technical assistance for IFF system installation (G)

Military goods exported:

small arms components
 overpressure ammunition
 IFF ground system, spare parts and maintenance equipments therefor
 components for IFF system
 equipment for military aircraft
 technical assistance for IFF system installation

BANGLADESH

	ML 10	Total per destination
a	1	1
a _i	1	1
a _g	0	0
a _{gen}	0	0
b	796 350	796 350
b _i	796 350	796 350
b _g	0	0
b _{gen}	0	0
c	0	0
c _i	0	0
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

trainer military aircraft (1) (inward processing repair) (G)

Military goods exported: -

BELGIUM						
	ML 1	ML 7	ML 13	ML 15	ML 17	Total per destination
a	2	2	1	2	0	7
a _i	0	2	1	0	0	3
a _g	2	0	0	2	0	4
a _{gen}	0	0	0	0	0	0
b	50 000	505 344	48 758	2 594 832	0	3 198 934
b _i	0	505 344	48 758	0	0	554 102
b _g	50 000	0	0	2 594 832	0	2 644 832
b _{gen}	0	0	0	0	0	0
c	0	407 085	49 025	212 338	1 664	670 112
c _i	0	407 085	49 025	0	0	456 110
c _g	0	0	0	212 338	1 664	214 002
c _{gen}	0	0	0	0	0	0
d	0	0	0	0	0	0
e	-	-	-	-	-	-

Licences issued for:

hunting riflescope (C)
 NBC protection suits (C)
 ballistic package for bulletproof vest (C)
 components for imaging and counter-measure equipment and accessories therefor (I)

Military goods exported:

NBC protection suits
 ballistic package for bulletproof vest
 components for imaging and counter-measure equipment and accessories therefor
 military cables with connectors

BOSNIA AND HERZEGOVINA		
	ML 10	Total per destination
a	1	1
a _i	1	1
a _g	0	0
a _{gen}	0	0
b	4 515	4 515
b _i	4 515	4 515
b _g	0	0
b _{gen}	0	0
c	4 515	4 515
c _i	4 515	4 515
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

aero-engines sold for spare parts (I)

Military goods exported:

aero-engines sold for spare parts

BRASILIA		
	ML 17	Total per destination
a	3	3
a _i	3	3
a _g	0	0
a _{gen}	0	0
b	231 377	231 377
b _i	231 377	231 377
b _g	0	0
b _{gen}	0	0
c	150 564	150 564
c _i	150 564	150 564
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

military cables with connectors (I)

Military goods exported:

military cables with connectors

BULGARIA							
	ML 1	ML 2	ML 3	ML 4	ML 7	ML 21	Total per destination
a	2	5	7	1	2	1	18
a _i	0	5	7	1	2	1	16
a _g	2	0	0	0	0	0	2
a _{gen}	0	0	0	0	0	0	0
b	45 000	2 094 467	3 994 181	280 956	505 350	5 666	6 925 620
b _i	0	2 094 467	3 994 181	280 956	505 350	5 666	6 880 620
b _g	45 000	0	0	0	0	0	45 000
b _{gen}	0	0	0	0	0	0	0
c	5 373	1 120 722	1 106 225	170 858	505 260	5 666	2 914 104
c _i	0	1 120 722	1 106 225	170 858	505 260	5 666	2 908 731
c _g	5 373	0	0	0	0	0	5 373
c _{gen}	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-

Licences issued for:

hunting rifle scope (C)
 antitank grenade launcher cal. 40 mm (756) (1 license) (C)
 antitank grenade launcher cal. 73 mm (264) (2 licenses) (C)
 anti-aircraft machine gun cal. 14,5 mm (147) (1 license) (C)
 weapon sights for light weapons (C)
 small arms and light weapons ammunition (C)
 links for machine gun ammunition (C)
 reactive projectile cal. 122 mm (C)
 detector, spectrometer and CBRN protection suit (G)

CBRN software (G)

Military goods exported:

hunting riflescope
 anti-aircraft machine gun cal. 14,5 mm (28)
 antitank grenade launcher cal. 40 mm (756)
 antitank grenade launcher cal. 73 mm (139)
 small arms and light weapons ammunition
 links for machine gun ammunition
 components for gun projectile
 detector, spectrometer and CBRN protection suit
 CBRN software

BURKINA FASO

	ML 3	Total per destination
a	1	1
a _i	1	1
a _g	0	0
a _{gen}	0	0
b	246 923	246 923
b _i	246 923	246 923
b _g	0	0
b _{gen}	0	0
c	246 922	246 922
c _i	246 922	246 922
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

small arms ammunition (G)

Military goods exported:

small arms ammunition

CANADA

	ML 1	ML 3	Total per destination
a	1	2	3
a _i	1	2	3
a _g	0	0	0
a _{gen}	0	0	0
b	2 849	15 792	18 641
b _i	2 849	15 792	18 641
b _g	0	0	0
b _{gen}	0	0	0
c	2 849	15 792	18 641
c _i	2 849	15 792	18 641
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

hunting riflescope (C)
 small arms ammunition (I)
 belt for machine gun ammunition (I)

Military goods exported:

hunting riflescope
 small arms ammunition
 belt for machine gun ammunition

CROATIA				
	ML 1	ML 3	ML 10	Total per destination
a	1	1	2	4
a _i	0	1	2	3
a _g	1	0	0	1
a _{gen}	0	0	0	0
b	30 000	109 142	62 500	201 642
b _i	0	109 142	62 500	171 642
b _g	30 000	0	0	30 000
b _{gen}	0	0	0	0
c	1 524	109 142	23 000	133 666
c _i	0	109 142	23 000	132 142
c _g	1 524	0	0	1 524
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

hunting riflescope (C)
 manoeuvre ammunition for small arms (G)
 aero-engine for military combat aircraft (G)

Military goods exported:

hunting riflescope
 manoeuvre ammunition for small arms
 aero-engine for military combat aircraft

CZECH REPUBLIC				
	ML 1	ML 4	ML 10	Total per destination
a	1	1	2	4
a _i	1	1	0	2
a _g	0	0	2	2
a _{gen}	0	0	0	0
b	69 187	22 765	2 346 116	2 438 068
b _i	69 187	22 765	0	91 952
b _g	0	0	2 346 116	2 346 116
b _{gen}	0	0	0	0
c	0	22 765	42 559	65 324
c _i	0	22 765	0	22 765
c _g	0	0	42 559	42 559
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

assault rifle (1,000) (1 license) (C)
 light machine gun (13) (1 license) (C)
 reactive projectile cal. 80 mm (C)
 components for military aircraft (C)

Military goods exported:

reactive projectile cal. 80 mm
 components for military aircraft

DENMARK		
	ML 1	Total per destination
a	1	1
a _i	0	0
a _g	1	1
a _{gen}	0	0
b	30 000	30 000
b _i	0	0
b _g	30 000	30 000
b _{gen}	0	0
c	2 954	2 954
c _i	0	0
c _g	2 954	2 954
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

hunting riflescope (C)

Military goods exported:

hunting riflescope

EGYPT		
	ML 3	Total per destination
a	0	0
a _i	0	0
a _g	0	0
a _{gen}	0	0
b	0	0
b _i	0	0
b _g	0	0
b _{gen}	0	0
c	2 806 794	2 806 794
c _i	2 806 794	2 806 794
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for: -**Military goods exported:**

illuminating bomb cal. 122 mm

FINLAND			
	ML 1	ML 10	Total per destination
a	1	1	2
a _i	0	0	0
a _g	1	1	2
a _{gen}	0	0	0
b	35 000	4 236	39 236
b _i	0	0	0
b _g	35 000	4 236	39 236
b _{gen}	0	0	0
c	3 635	0	3 635
c _i	0	0	0
c _g	3 635	0	3 635
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

hunting riflescope (C)
parts of the military aircraft fuselage (I)

Military goods exported:

hunting riflescope
parts of the military aircraft fuselage

FRANCE (1/2)						
	ML 1	ML 3	ML 5	ML 10	ML 11	ML 13
a	4	1	11	6	1	0
a _i	2	1	11	5	1	0
a _g	2	0	0	1	0	0
a _{gen}	0	0	0	0	0	0
b	69 077	3 160	569 530	610 690	1 120	0
b _i	9 077	3 160	569 530	110 690	1 120	0
b _g	60 000	0	0	500 000	0	0
b _{gen}	0	0	0	0	0	0
c	36 396	3 160	326 539	402 000	1 120	324
c _i	7 226	3 160	326 539	168 161	1 120	324
c _g	29 170	0	0	233 839	0	0
c _{gen}	0	0	0	0	0	0
d	0	0	0	0	0	0
e	-	-	-	-	-	-

FRANCE (2/2)			
	ML 15	ML 16	Total per destination
a	3	11	37
a _i	3	11	34
a _g	0	0	3
a _{gen}	0	0	0
b	267 062	174 991	1 695 630
b _i	267 062	174 991	1 135 630
b _g	0	0	560 000
b _{gen}	0	0	0
c	103 653	74 941	948 133
c _i	103 653	74 941	658 124
c _g	0	0	163 009
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

hunting riflescope (C)
 assault rifle (10) (1 license) (C)
 spare parts and magazines for assault rifle (C)
 loading device for cartridge chargers for small arms and light weapons (C)
 components for encryption equipment (I)
 components for identification equipment (I)
 components for encryptor (I)
 equipment for military helicopter (I)
 components for military helicopter (I)
 optical components for night vision equipment (I)
 aluminium extruded profile (I)

Military goods exported:

hunting riflescope
 assault rifle (10)
 spare parts and magazines for assault rifle
 loading device for cartridge chargers for small arms and light weapons
 components for encryption equipment
 components for identification equipment
 components for encryptor
 equipment for military helicopter
 bulletproof vest
 optical components for night vision equipment
 aluminium extruded profile

GABON

	ML 1	ML 10	Total per destination
a	1	5	6
a _i	1	5	6
a _g	0	0	0
a _{gen}	0	0	0
b	104 078	13 140 088	13 244 166
b _i	104 078	13 140 088	13 244 166
b _g	0	0	0
b _{gen}	0	0	0
c	0	184 340	184 340
c _i	0	184 340	184 340
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

machine gun cal. 12,7 mm (7) (1 license) (G)
 spare parts and accessories for small arms (G)
 military transport helicopter (1) (inward processing repair) (G)
 military light attack helicopter (3) (inward processing repair) (G)
 equipment for military helicopter (G)

Military goods exported:

equipment for military helicopter

GERMANY

	ML 1	ML 3	ML 10	ML 11	ML 15	ML 16	Total per destination
a	3	0	20	3	0	6	32
a _i	1	0	17	0	0	6	24
a _g	2	0	3	3	0	0	8
a _{gen}	0	0	0	0	0	0	0
b	79 647	0	1 375 607	107 758	0	5 630 705	7 193 717
b _i	19 647	0	348 837	0	0	5 630 705	5 999 189
b _g	60 000	0	1 026 770	107 758	0	0	1 194 528
b _{gen}	0	0	0	0	0	0	0
c	71 587	125 658	827 294	166 400	52 400	868 901	2 112 240
c _i	19 647	125 658	188 577	0	0	868 901	1 202 783
c _g	51 940	0	638 717	166 400	52 400	0	909 457
c _{gen}	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-

Licences issued for:

hunting riflescope (C)
 repeating rifle (20) (1 license) (C)
 semi-automatic rifle (60) (1 license) (C)
 accessories for small arms (C)
 parts for military landing parachute (I)
 container for cargo parachute (I)
 parachutes system (I)

wing parachute (I)
 auxiliary parachute (I)
 products for military aircraft (I)
 components for guiding equipment (I)
 aluminium extruded profile (I)

Military goods exported:

hunting riflescope
 repeating rifle (20)
 semi-automatic rifle (60)
 small arms ammunition
 parts for military aircraft
 military landing parachute
 container for cargo parachute
 components for imaging and counter-measure equipment
 aluminium extruded profile

GREECE		
	ML 4	Total per destination
a	1	1
a _i	0	0
a _g	1	1
a _{gen}	0	0
b	1 128 668	1 128 668
b _i	0	0
b _g	1 128 668	1 128 668
b _{gen}	0	0
c	376	376
c _i	0	0
c _g	376	376
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

mechanical and electric components for missile launcher equipment (I)

Military goods exported:

mechanical and electric components for missile launcher equipment

INDIA		
	ML 10	Total per destination
a	3	3
a _i	3	3
a _g	0	0
a _{gen}	0	0
b	872 385	872 385
b _i	872 385	872 385
b _g	0	0
b _{gen}	0	0
c	182 950	182 950
c _i	182 950	182 950
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

products for military combat aircraft (G)

Military goods exported:

products for military combat aircraft

INDONESIA			
	ML 9	ML 10	Total per destination
a	2	1	3
a _i	2	1	3
a _g	0	0	0
a _{gen}	0	0	0
b	560 000	49 584	609 584
b _i	560 000	49 584	609 584
b _g	0	0	0
b _{gen}	0	0	0
c	1 769 972	2 165	1 772 137
c _i	1 769 972	2 165	1 772 137
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

piping for military ship (G)

equipment for military transport helicopter (G)

Military goods exported:

piping for military ship

equipment for military transport helicopter

IRELAND		
	ML 1	Total per destination
a	2	2
a _i	0	0
a _g	2	2
a _{gen}	0	0
b	30 000	30 000
b _i	0	0
b _g	30 000	30 000
b _{gen}	0	0
c	7 708	7 708
c _i	0	0
c _g	7 708	7 708
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

hunting riflescope (C)

Military goods exported:

hunting riflescope

ISRAEL (1/2)							
	ML 1	ML 2	ML 3	ML 4	ML 5	ML6	ML 10
a	1	3	3	2	3	2	4
a _i	1	3	3	1	1	0	1
a _g	0	0	0	1	2	2	3
a _{gen}	0	0	0	0	0	0	0
b	2 204	80 184	137 186	800 465	1 983 204	14 296 464	7 320 900
b _i	2 204	80 184	137 186	48 020	1 474 793	0	10 686
b _g	0	0	0	752 445	508 411	14 296 464	7 310 214
b _{gen}	0	0	0	0	0	0	0
c	2 079	80 221	134 545	496 942	29 806	4 731 340	1 718 943
c _i	2 079	80 221	134 545	0	0	0	3 288
c _g	0	0	0	496 942	29 806	4 731 340	1 715 655
c _{gen}	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-

ISRAEL (2/2)

	ML 11	ML 15	ML 16	ML 17	ML 18	ML 21	Total per destination
a	5	8	3	3	1	1	39
a _i	1	0	3	1	0	0	15
a _g	4	8	0	2	1	1	24
a _{gen}	0	0	0	0	0	0	0
b	6 131 076	9 590 340	178 632	5 420 107	1 241 535	579 383	47 761 680
b _i	18 664	0	178 632	4 334	0	0	1 954 703
b _g	6 112 412	9 590 340	0	5 415 773	1 241 535	579 383	45 806 977
b _{gen}	0	0	0	0	0	0	0
c	3 595 749	2 256 534	64 898	1 722 599	1 138 907	572 173	16 544 736
c _i	0	0	64 898	4 334	0	0	289 365
c _g	3 595 749	2 256 534	0	1 718 265	1 138 907	572 173	16 255 371
c _{gen}	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-

Licences issued for:

hunting rifle scope (C)
 sights for stationary arms mounted on military vehicle (I)
 inert grenade cal. 85 mm (I)
 components for grenade cal. 100 mm (I)
 components for airborne vehicle (I)
 components for identification ground systems (I)
 components for military ground vehicle (I)
 mechanical components for military aircraft (I)
 components for IFF identification equipment (I)
 components for electronic equipment (I)
 components for guiding equipment (I)
 components for imaging and counter-measure equipment (I)
 unfinished product for ammunition production (I)
 military cables with connectors (I)
 test and verification equipment (I)
 software for test and verification equipment (I)

Military goods exported:

hunting rifle scope
 sights for stationary arms mounted on military vehicle
 inert grenade cal. 85 mm
 components for grenade cal. 100 mm
 unfinished product for ammunition production
 mechanical components for airborne system
 components for fire control systems
 components for military ground vehicle
 products for military aircraft
 electronic components for military products
 components for imaging and countermeasure equipment
 military cables with connectors
 test and verification equipment
 software for test and verification equipment

ITALY

	ML 1	ML 10	ML 13	ML 16	Total per destination
a	5	7	0	1	13
a _i	0	7	0	1	8
a _g	5	0	0	0	5
a _{gen}	0	0	0	0	0
b	165 000	365 778	0	231 058	761 836
b _i	0	365 778	0	231 058	596 836
b _g	165 000	0	0	0	165 000
b _{gen}	0	0	0	0	0
c	16 517	322 328	74 799	179 384	593 028
c _i	0	322 328	74 799	179 384	576 511
c _g	16 517	0	0	0	16 517
c _{gen}	0	0	0	0	0
d	0	0	0	0	0
e	-	-	-	-	-

Licences issued for:

hunting riflescope (C)
 components for illuminating parachute (I)
 container for cargo parachute (I)
 aluminium extruded profile (I)

Military goods exported:

hunting riflescope
 components for illuminating parachute
 components for cargo parachute
 container for cargo parachute
 bulletproof vest
 aluminium extruded profile

KAZAKHSTAN

	ML 7	Total per destination
a	2	2
a _i	2	2
a _g	0	0
a _{gen}	0	0
b	1 221 587	1 221 587
b _i	1 221 587	1 221 587
b _g	0	0
b _{gen}	0	0
c	254 222	254 222
c _i	254 222	254 222
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

NBC spectrometer and detector (G)

Military goods exported:

NBC spectrometer and detector

LEBANON		
	ML 10	Total per destination
a	11	11
a _i	11	11
a _g	0	0
a _{gen}	0	0
b	1 052 662	1 052 662
b _i	1 052 662	1 052 662
b _g	0	0
b _{gen}	0	0
c	386 494	386 494
c _i	386 494	386 494
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

spare parts and spare parts repair for military transport helicopter (G)

Military goods exported:

spare parts and spare parts repair for military transport helicopter

Footnote: Licences issued pursuant to UN Security Council resolution for use in Lebanon with the Government of Lebanon authorization.

LIBYA		
	ML 10	Total per destination
a	1	1
a _i	1	1
a _g	0	0
a _{gen}	0	0
b	12 776 524	12 776 524
b _i	12 776 524	12 776 524
b _g	0	0
b _{gen}	0	0
c	0	0
c _i	0	0
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

military trainer aircraft (10) (inward processing repair) (G)

Military goods exported: -

Footnote: Licences issued pursuant to UN Security Council resolution.

LITHUANIA			
	ML 1	Total per destination	
a	1	1	
a _i	0	0	
a _g	1	1	
a _{gen}	0	0	
b	170 000	170 000	
b _i	0	0	
b _g	170 000	170 000	
b _{gen}	0	0	
c	7 246	7 246	
c _i	0	0	
c _g	7 246	7 246	
c _{gen}	0	0	
d	0	0	
e	-	-	

Licences issued for:

hunting riflescope (C)

Military goods exported:

hunting riflescope

MACEDONIA, REPUBLIC OF			
	ML 10	ML 14	Total per destination
a	0	0	0
a _i	0	0	0
a _g	0	0	0
a _{gen}	0	0	0
b	0	0	0
b _i	0	0	0
b _g	0	0	0
b _{gen}	0	0	0
c	29 345	5 944 319	5 973 664
c _i	29 345	5 944 319	5 973 664
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for: -**Military goods exported:**

decommissioned military helicopter
conversion services for the helicopter cabin
simulator and spare parts therefor

MALTA

	ML 1	Total per destination
a	1	1
a _i	0	0
a _g	1	1
a _{gen}	0	0
b	20 000	20 000
b _i	0	0
b _g	20 000	20 000
b _{gen}	0	0
c	1 399	1 399
c _i	0	0
c _g	1 399	1 399
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

hunting riflescope (C)

Military goods exported:

hunting riflescope

MOLDOVA, REPUBLIC OF

	ML 1	ML 3	ML 6	ML 7	ML 13	ML 21	Total per destination
a	1	1	1	1	1	1	6
a _i	1	1	1	1	1	1	6
a _g	0	0	0	0	0	0	0
a _{gen}	0	0	0	0	0	0	0
b	4 822	116 977	759	175 962	7 826	6 250	312 596
b _i	4 822	116 977	759	175 962	7 826	6 250	312 596
b _g	0	0	0	0	0	0	0
b _{gen}	0	0	0	0	0	0	0
c	4 822	116 977	759	0	7 826	0	130 384
c _i	4 822	116 977	759	0	7 826	0	130 384
c _g	0	0	0	0	0	0	0
c _{gen}	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-

Licences issued for:

sniper rifle (50) (1 license) (G)
 small arms and light weapons ammunition (G)
 military ground vehicle batteries (G)
 detector, spectrometer and NBC protection suit (G)
 tank helmet (G)
 CBRN software (G)

Military goods exported:

sniper rifle (50)
 small arms and light weapons ammunition
 military ground vehicle batteries

tank helmet

MOROCCO			
	ML 3	ML 10	Total per destination
a	1	2	3
a _i	1	2	3
a _g	0	0	0
a _{gen}	0	0	0
b	8 438 636	1 370 166	9 808 802
b _i	8 438 636	1 370 166	9 808 802
b _g	0	0	0
b _{gen}	0	0	0
c	8 438 636	1 370 166	9 808 802
c _i	8 438 636	1 370 166	9 808 802
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

normal and manoeuvre small arms ammunition (G)
military transport helicopter (1) (G)

Military goods exported:

normal and manoeuvre small arms ammunition
spare parts for military helicopter

MOZAMBIQUE			
	ML 10	ML 22	Total per destination
a	3	0	3
a _i	3	0	3
a _g	0	0	0
a _{gen}	0	0	0
b	2 583 378	0	2 583 378
b _i	2 583 378	0	2 583 378
b _g	0	0	0
b _{gen}	0	0	0
c	7 648 762	1 625	7 650 387
c _i	7 517 705	1 625	7 519 330
c _g	131 057	0	131 057
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

military combat aircraft (3) (1 license) (inward processing repair) (G)
trainer military aircraft (1) (1 license) (inward processing repair) (G)

Military goods exported:

military combat aircraft (8) (inward processing repair)
spare parts and equipment for military combat aircraft
flight crew training

NEW CALEDONIE				
	ML 1	Total per destination		
a	1	1		
a _i	1	1		
a _g	0	0		
a _{gen}	0	0		
b	6 233	6 233		
b _i	6 233	6 233		
b _g	0	0		
b _{gen}	0	0		
c	0	0		
c _i	0	0		
c _g	0	0		
c _{gen}	0	0		
d	0	0		
e	-	-		

Licences issued for:

hunting riflescope (C)

Military goods exported: -

NETHERLANDS				
	ML 1	ML 9	ML 22	Total per destination
a	1	2	0	3
a _i	0	2	0	2
a _g	1	0	0	1
a _{gen}	0	0	0	0
b	30 000	520 000	0	550 000
b _i	0	520 000	0	520 000
b _g	30 000	0	0	30 000
b _{gen}	0	0	0	0
c	6 416	604 634	342 774	953 824
c _i	0	604 634	342 774	947 408
c _g	6 416	0	0	6 416
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

hunting riflescope (C)

special piping for military ship (I)

Military goods exported:

hunting riflescope

special piping for military ship

technical documentation for multirole frigate production

NORWAY				
	ML 1	ML 9	Total per destination	
a	1	1	2	
a _i	1	1	2	
a _g	0	0	0	
a _{gen}	0	0	0	
b	10 680	4 495 863	4 506 543	
b _i	10 680	4 495 863	4 506 543	
b _g	0	0	0	
b _{gen}	0	0	0	
c	0	19 896 863	19 896 863	
c _i	0	19 896 863	19 896 863	
c _g	0	0	0	
c _{gen}	0	0	0	
d	0	0	0	
e	-	-	-	

Licences issued for:

hunting riflescope (C)
military ship hull (G)

Military goods exported:

military ship hull

OMAN				
	ML 1	ML 3	ML 10	Total per destination
a	1	1	7	9
a _i	1	1	7	9
a _g	0	0	0	0
a _{gen}	0	0	0	0
b	414	326 561	1 211 869	1 538 844
b _i	414	326 561	1 211 869	1 538 844
b _g	0	0	0	0
b _{gen}	0	0	0	0
c	414	326 561	1 165 589	1 492 564
c _i	414	326 561	1 165 589	1 492 564
c _g	0	0	0	0
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

assault rifle (1) (1 license) (G)
small arms ammunition (G)
equipments for military transport helicopter (G)

Military goods exported:

assault rifle (1)
small arms ammunition
equipments for military transport helicopter

PAKISTAN			
	ML 10	ML 22	Total per destination
a	33	1	34
a _i	33	1	34
a _g	0	0	0
a _{gen}	0	0	0
b	2 436 567	102 100	2 538 667
b _i	2 436 567	102 100	2 538 667
b _g	0	0	0
b _{gen}	0	0	0
c	2 313 836	102 100	2 415 936
c _i	2 313 836	102 100	2 415 936
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	
e	-	-	

Licences issued for:

spare parts and equipments for military helicopter (G)
 maintenance and handbook for military transport and light attack helicopter (I)

Military goods exported:

spare parts and equipments for military helicopter
 maintenance and handbook for military transport and light attack helicopter

POLAND			
	ML 1	ML 11	Total per destination
a	2	1	3
a _i	1	0	1
a _g	1	1	2
a _{gen}	0	0	0
b	79 410	265 862	345 272
b _i	19 410	0	19 410
b _g	60 000	265 862	325 862
b _{gen}	0	0	0
c	28 766	265 443	294 209
c _i	19 410	0	19 410
c _g	9 356	265 443	274 799
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

hunting riflescope (C)
 decommissioned radio stations (C)
 components for weapon sights (C)

Military goods exported:

hunting riflescope
 spare parts for optical devices
 decommissioned radio stations

PORTUGAL

	ML 16	Total per destination
a	1	1
a _i	1	1
a _g	0	0
a _{gen}	0	0
b	98 763	98 763
b _i	98 763	98 763
b _g	0	0
b _{gen}	0	0
c	41 487	41 487
c _i	41 487	41 487
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

aluminium extruded profile (I)

Military goods exported:

aluminium extruded profile

RUSSIAN FEDERATION

	ML 1	Total per destination
a	3	3
a _i	3	3
a _g	0	0
a _{gen}	0	0
b	13 791	13 791
b _i	13 791	13 791
b _g	0	0
b _{gen}	0	0
c	13 511	13 511
c _i	13 511	13 511
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

hunting riflescope (C)

Military goods exported:

hunting riflescope

SAUDI ARABIA

	ML 1	ML 2	ML 3	Total per destination
a	2	1	1	4
a _i	2	1	1	4
a _g	0	0	0	0
a _{gen}	0	0	0	0
b	8 267 231	2 558 315	13 905 838	24 731 384
b _i	8 267 231	2 558 315	13 905 838	24 731 384
b _g	0	0	0	0
b _{gen}	0	0	0	0
c	0	0	0	0
c _i	0	0	0	0
c _g	0	0	0	0
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

assault rifle (21,500) (2 licenses) (G)
 machine gun cal. 12,7 mm (220) (1 license) (G)
 mortar cal. 60 mm (680) (1 license) (G)
 small arms and light weapons ammunition (G)

Military goods exported: -**SLOVAKIA**

	ML 1	ML 3	Total per destination
a	1	1	2
a _i	0	1	1
a _g	1	0	1
a _{gen}	0	0	0
b	30 000	1 140 000	1 170 000
b _i	0	1 140 000	1 140 000
b _g	30 000	0	30 000
b _{gen}	0	0	0
c	565	1 140 000	1 140 565
c _i	0	1 140 000	1 140 000
c _g	565	0	565
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

hunting rifle scope (C)
 small arms ammunition (C) (reexport to Uganda)

Military goods exported:

hunting rifle scope
 small arms ammunition

SLOVENIA

	ML 1	Total per destination
a	1	1
a _i	0	0
a _g	1	1
a _{gen}	0	0
b	35 000	35 000
b _i	0	0
b _g	35 000	35 000
b _{gen}	0	0
c	15 806	15 806
c _i	0	0
c _g	15 806	15 806
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

hunting riflescope (C)

Military goods exported:

hunting riflescope

SOUTH AFRICA

	ML 1	ML 16	Total per destination
a	3	2	5
a _i	3	2	5
a _g	0	0	0
a _{gen}	0	0	0
b	16 230	36 000	52 230
b _i	16 230	36 000	52 230
b _g	0	0	0
b _{gen}	0	0	0
c	6 408	28 704	35 112
c _i	6 408	28 704	35 112
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

hunting riflescope (C)

aluminium extruded profile (I)

Military goods exported:

hunting riflescope

aluminium extruded profile

SOUTH KOREA

	ML 3	ML 16	Total per destination
a	2	1	3
a _i	2	1	3
a _g	0	0	0
a _{gen}	0	0	0
b	65 914	25 240	91 154
b _i	65 914	25 240	91 154
b _g	0	0	0
b _{gen}	0	0	0
c	9 029	0	9 029
c _i	9 029	0	9 029
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

machine gun ammunition (export for test) (I)
antitank grenade (export for test) (I)
aluminium extruded profile (I)

Military goods exported:

machine gun ammunition

SPAIN

	ML 1	ML 11	ML 16	Total per destination
a	1	1	5	7
a _i	0	0	5	5
a _g	1	1	0	2
a _{gen}	0	0	0	0
b	30 000	70 730	112 645	213 375
b _i	0	0	112 645	112 645
b _g	30 000	70 730	0	100 730
b _{gen}	0	0	0	0
c	6 795	56 893	88 053	151 741
c _i	0	0	88 053	88 053
c _g	6 795	56 893	0	63 688
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

hunting riflescope (C)
components for guiding equipment (I)
aluminium extruded profile for aerospace industry (I)

Military goods exported:

hunting riflescope
components for guiding equipment
aluminium extruded profile for aerospace industry

SWEDEN

	ML 1	ML 3	ML 4	ML 10	Total per destination
a	1	1	1	3	6
a _i	0	1	0	3	4
a _g	1	0	1	0	2
a _{gen}	0	0	0	0	0
b	60 000	2 202	240 130	339 120	641 452
b _i	0	2 202	0	339 120	341322
b _g	60 000	0	240 130	0	300 130
b _{gen}	0	0	0	0	0
c	39 412	2 202	178 795	347 020	567 429
c _i	0	2 202	0	347 020	349 222
c _g	39 412	0	178 795	0	218 207
c _{gen}	0	0	0	0	0
d	0	0	0	0	0
e	-	-	-	-	-

Licences issued for:

hunting rifle scope (C)
 inert ammunition for small arms (G) (export for test)
 components for anti-ship missile (I)
 breaking parachute (I)
 cargo parachute (I)

Military goods exported:

hunting rifle scope
 inert ammunition for small arms
 components for anti-ship missile
 cargo parachute
 breaking parachute
 container for cargo parachute

SWITZERLAND

	ML 6	Total per destination
a	1	1
a _i	1	1
a _g	0	0
a _{gen}	0	0
b	231	231
b _i	231	231
b _g	0	0
b _{gen}	0	0
c	231	231
c _i	231	231
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

components for military armoured vehicle (I)

Military goods exported:
components for military armoured vehicle

THAILAND			
	ML 2	ML 10	Total per destination
a	1	1	2
a _i	1	1	2
a _g	0	0	0
a _{gen}	0	0	0
b	503 386	6 095	509 481
b _i	503 386	6 095	509 481
b _g	0	0	0
b _{gen}	0	0	0
c	503 386	68 548	571 934
c _i	503 386	68 548	571 934
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:
components for aviation gun (G)
products for military trainer aircraft (G)

Military goods exported:
aviation gun (10)
products for military trainer aircraft

TUNISIA			
	ML 3	Total per destination	
a	1		1
a _i	1		1
a _g	0		0
a _{gen}	0		0
b	5 102		5 102
b _i	5 102		5 102
b _g	0		0
b _{gen}	0		0
c	5 102		5 102
c _i	5 102		5 102
c _g	0		0
c _{gen}	0		0
d	0		0
e	-		-

Licences issued for:
small arms ammunition (export for test) (G)

Military goods exported:
small arms ammunition

TURKEY

	ML 2	ML 4	ML 8	ML 16	Total per destination
a	0	3	2	5	10
a _i	0	3	2	5	10
a _g	0	0	0	0	0
a _{gen}	0	0	0	0	0
b	0	2 495 796	148 640	138 398	2 782 834
b _i	0	2 495 796	148 640	138 398	2 782 834
b _g	0	0	0	0	0
b _{gen}	0	0	0	0	0
c	128 544	1 108 685	148 640	90 026	1 475 895
c _i	0	1 108 685	148 640	90 026	1 347 351
c _g	128 544	0	0	0	128 544
c _{gen}	0	0	0	0	0
d	0	0	0	0	0
e	-	-	-	-	-

Licences issued for:

warhead cal. 300 mm (I)
warhead cal. 122 mm and 107 mm (I)
explosive compound from RDX and TNT (I)
aluminium extruded profile (I)

Military goods exported:

spare parts for launching tubes container
explosive compound from RDX and TNT
warhead cal. 122 mm and 107 mm
aluminium extruded profile

UKRAINE

	ML 10	Total per destination
a	0	0
a _i	0	0
a _g	0	0
a _{gen}	0	0
b	0	0
b _i	0	0
b _g	0	0
b _{gen}	0	0
c	25 000	25 000
c _i	25 000	25 000
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for: -**Military goods exported:**

pyrotechnic loading for ejection seat

UNITED KINGDOM

	ML 1	ML 7	ML 8	ML 10	ML 16	ML 17	Total per destination
a	2	1	1	32	7	4	47
a _i	1	1	1	31	7	4	45
a _g	1	0	0	1	0	0	2
a _{gen}	0	0	0	0	0	0	0
b	111 877	7 160	60 000	3 722 258	55 475	5 677	3 962 447
b _i	71 877	7 160	60 000	3 346 035	55 475	5 677	3 546 224
b _g	40 000	0	0	376 223	0	0	416 223
b _{gen}	0	0	0	0	0	0	0
c	114 293	1 434	24 950	7 781 672	30 309	5 677	7 958 335
c _i	71 877	1 434	24 950	7 780 825	30 309	5 677	7 915 072
c _g	42 416	0	0	847	0	0	43 263
c _{gen}	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-

Licences issued for:

hunting riflescope (C)
 sniper rifle (333) (1 license) (C)
 light machine gun (10) (1 license) (C)
 assault rifle (95) (1 license) (C)
 NBC protection suit(C)
 aluminium powder (I)
 accessories for military parachute (I)
 container for cargo parachute (I)
 parts for military aircraft component (I)
 cargo parachute (I)
 braking parachute (I)
 recovery parachute (I)
 central parachute (I)
 military helicopter (2) (inward processing repair) (G)
 aluminium extruded profile (I)
 military cables with connectors (I)

Military goods exported:

hunting riflescope
 sniper rifle (333)
 light machine gun (10)
 assault rifle (95)
 NBC protection suit
 aluminium powder
 accessories for military parachute
 container for cargo parachute
 parts for military aircraft component
 cargo parachute
 braking parachute
 recovery parachute
 central parachute
 military helicopter (7) (inward processing repair)
 aluminium extruded profile
 military cables with connectors

UNITED STATES OF AMERICA

	ML 1	ML 2	ML 3	ML 10	ML 11	ML 18	ML 22	Total per destination
a	45	7	24	1	1	1	1	80
a _i	45	7	24	0	1	1	1	79
a _g	0	0	0	1	0	0	0	1
a _{gen}	0	0	0	0	0	0	0	0
b	22 654 320	6 688 111	33 007 749	10 400 435	285 058	9 029	339	73 045 041
b _i	22 654 320	6 688 111	33 007 749	0	285 058	9 029	339	62 644 606
b _g	0	0	0	10 400 435	0	0	0	10 400 435
b _{gen}	0	0	0	0	0	0	0	0
c	9 131 583	4 686 268	38 678 866	4 537 674	4 515	0	0	57 038 906
c _i	9 131 583	4 686 268	38 678 866	0	4 515	0	0	52 501 232
c _g	0	0	0	4 537 674	0	0	0	4 537 674
c _{gen}	0	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-	-

Licences issued for:

hunting riflescope (C)
 accessories and components for small arms (C)
 conversion services for war arms transformed in hunting arms (C)
 carbine (1) (1 license) (C)
 sniper rifle (152) (2 licenses) (C)
 semi-automatic rifle (32,914) (8 licenses) (C)
 signal pistol (8,783) (1 license) (C)
 sporting rifle (35,250) (2 licenses) (C)
 pistol (24,600) (4 licenses) (C)
 assault rifle (2,070) (3 licenses) (G)
 light machine gun (1,507) (4 licenses) (G)
 machine gun cal. 12,7 mm (166) (3 licenses) (G)
 grenade launcher cal. 82 mm (124) (3 licenses) (G)
 mortars cal. 60 mm (36) (1 license) (G)
 grenade launcher cal. 40 mm (554) (3 licenses) (G)
 grenade launcher cal. 73 mm (100) (2 licenses) (G)
 grenade launcher cal. 81 mm (10) (1 license) (G)
 anti-aircraft machine gun cal. 14,5 mm with 2 barrels (7) (1 license) (G)
 machine gun cal. 14,5 mm (228) (3 licenses) (G)
 small arms ammunition (C)
 grenade cal. 40 mm, 73 mm (G)
 bombs cal. 60mm, 82 mm, 120 mm (G)
 components for guiding equipment (I)
 spare parts and components for military aircraft (I)
 technical documentation for parts of military aircraft production (I)

Military goods exported:

hunting riflescope
 accessories for small arms
 components for small arms
 magazines for small arms
 conversion services for war arms transformed in hunting arms
 sniper rifle (152)
 semi-automatic rifle (12,727)
 sporting rifle (750)
 signal pistol (5,000)
 pistol (5,200)
 assault rifle (2,880)
 light machine gun (1,121)

machine gun cal. 12,7 mm (166)
 machine gun cal. 14,5 mm (171)
 grenade launcher cal. 82 mm (72)
 mortar cal. 60 mm (36)
 grenade launcher cal. 40 mm (274)
 grenade launcher cal. 73 mm (101)
 small arms and light weapons ammunition
 grenade cal. 40 mm, 73 mm
 bombs cal. 60mm, 82 mm, 120 mm
 components for guiding equipment
 parts and components for military aircraft

UZBEKISTAN			
	ML 14	ML 22	Total per destination
a	1	1	2
a _i	1	1	2
a _g	0	0	0
a _{gen}	0	0	0
b	3 273 138	150 489	3 423 627
b _i	3 273 138	150 489	3 423 627
b _g	0	0	0
b _{gen}	0	0	0
c	3 273 138	0	3 273 138
c _i	3 273 138	0	3 273 138
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

simulator for military helicopter (G)
 installation and configuration services (G)

Military goods exported:

simulator for military helicopter

2. PERMANENT EXPORTS PER CATEGORY OF PRODUCTS

(1/3)	ML 1	ML 2	ML 3	ML 4	ML 5	ML 6
a ³	104	18	51	9	15	4
a _i	75	18	51	6	13	2
a _g	29	0	0	3	2	2
a _{gen}	0	0	0	0	0	0
b	38 253 036	11 928 894	65 270 309	4 970 473	5 535 277	14 297 454
b _i	36 953 586	11 928 894	65 270 309	2 847 537	5 026 866	990
b _g	1 299 450	0	0	2 121 243	508 411	14 296 464
b _{gen}	0	0	0	0	0	0
c	15 231 388	6 523 572	54 876 088	1 978 421	430 837	4 732 330
c _i	14 949 749	6 395 028	54 876 088	1 302 308	401 031	990
c _g	281 639	128 544	0	676 113	29 806	4 731 340
c _{gen}	0	0	0	0	0	0
d	0	0	0	0	0	0
e	-	-	-	-	-	-

³ (a) = number of licenses issued; (a_i) = number of individual licenses; (a_g) = number of global licenses; (a_{gen}) = number of general licenses; (b) = value of licenses issued in euro; (b_i) = value of individual licenses in euro; (b_g) = value of global licenses in euro; (b_{gen}) = value of general licenses in euro; (c) = value of arms exports in euro; (c_i) = value of arms exports on individual licenses in euro; (c_g) = value of arms exports on global licenses in euro; (c_{gen}) = value of arms exports on general licenses in euro; (d) = total number of license refusals; (e) = criteria numbers of the EU Code of Conduct on arms exports on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets).

2014 Exchange rates:

1 EUR = 1,3290 USD

1 EUR = 0,8060 GBP

1 EUR = 1,2150 CHF

(2/3)	ML 7	ML 8	ML 9	ML 10	ML 11	ML 13	ML 14
a	8	3	5	148	12	2	1
a _i	8	3	5	136	6	2	1
a _g	0	0	0	12	6	0	0
a _{gen}	0	0	0	0	0	0	0
b	2 415 403	208 640	5 575 863	63 030 291	6 861 604	56 584	3 273 138
b _i	2 415 403	208 640	5 575 863	41 066 297	412 600	56 584	3 273 138
b _g	0	0	0	21 963 994	6 449 004	0	0
b _{gen}	0	0	0	0	0	0	0
c	1 168 001	173 590	22 271 469	30 008 351	4 090 120	132 026	9 217 457
c _i	1 168 001	173 590	22 271 469	22 707 903	5 635	132 026	9 217 457
c _g	0	0	0	7 300 348	4 084 485	0	0
c _{gen}	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-

(3/3)	ML 15	ML16	ML 17	ML 18	ML 21	ML 22	TOTAL
a	13	42	10	2	3	4	454
a _i	3	42	8	1	2	4	385
a _g	10	0	2	1	1	0	68
a _{gen}	0	0	0	0	0	0	0
b	12 452 234	6 681 907	5 657 161	1 250 564	591 299	860 904	249 171 035
b _i	267 062	6 681 907	241 388	9 029	11 916	860 904	183 108 913
b _g	12 185 172	0	5 415 773	1 241 535	579 383	0	66 060 429
b _{gen}	0	0	0	0	0	0	0
c	2 624 925	1 466 703	1 880 504	1 138 907	577 839	470 577	158 993 005
c _i	103 653	1 466 703	160 575	0	5 666	470 577	135 808 449
c _g	2 521 272	0	1 719 929	1 138 907	572 173	0	23 184 556
c _{gen}	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-

3. BROKERING ACTIVITIES

Brokering activities – activities carried out by a natural or legal person regarding:

- negotiations or the arrangement of transactions involving the transfer of military goods included in the lists approved by the minister of Foreign Affairs order from a third country to any other third country; or
- buy, sale or arrange the transfer of military goods included in the lists approved by the minister of Foreign Affairs order, in their ownership, from a third country to any other third country.

AZERBAIJAN

	Exporter South Africa	Importer Azerbaijan	Total per destination
ML 10			
a ⁴	1		1
a _i	1		1
a _g	0		0
a _{gen}	0		0
b	3 931 957		3 931 957
b _i	3 931 957		3 931 957
b _g	0		0
b _{gen}	0		0
c	556 331		556 331
c _i	556 331		556 331
c _g	0		0
c _{gen}	0		0
d	0		0
e	-		-

Licences issued for:

equipment for military aircraft (809) (G)

Military goods exported:

equipment for military aircraft (78)

⁴ (a) = number of licenses issued; (a_i) = number of individual licenses; (a_g) = number of global licenses; (a_{gen}) = number of general licenses; (b) = value of licenses issued in euro; (b_i) = value of individual licenses in euro; (b_g) = value of global licenses in euro; (b_{gen}) = value of general licenses in euro; (c) = value of arms exports in euro; (c_i) = value of arms exports on individual licenses in euro; (c_g) = value of arms exports on global licenses in euro; (c_{gen}) = value of arms exports on general licenses in euro; (d) = total number of license refusals; (e) = criteria numbers of the EU Code of Conduct on arms exports on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets).

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1 EUR = 1,2150 CHF

ARGENTINA

	Exporter South Africa	Importer Argentina	Total per destination
ML 10			
a	1		1
a _i	1		1
a _g	0		0
a _{gen}	0		0
b	506 331		506 331
b _i	506 331		506 331
b _g	0		0
b _{gen}	0		0
c	0		0
c _i	0		0
c _g	0		0
c _{gen}	0		0
d	0		0
e	-		-

Licences issued for:

equipment for military aircraft (22) (G)

Military goods exported: -

4. TRANSIT AND TRANSHIPMENT

ML Cat.	Value [Euro]	Number of operations	Exporter Country	Neighbouring Entrance Country	Neighbouring Exit Country	Importer Country	Final Destination	Transport means
Transit Operations								
ML3, ML8, ML11	2 509 762	4	Ukraine	Ukraine	Bulgaria	Bulgaria	Bulgaria	Road
ML8, ML18, ML22	544 700	3	Bulgaria	Bulgaria	Ukraine	Ukraine	Ukraine	Road
ML3, ML4, ML6	5 351 420	9	Bulgaria	Bulgaria	Hungary	USA	USA	Road
ML3	767 188	1	Brasilia	Hungary	Bulgaria	Georgia	Georgia	Road
ML1, ML3	213 216	1	Bulgaria	Bulgaria	Hungary	Panama	Panama	Road
ML3, ML1	78 659	7	USA	Hungary	Bulgaria	Bulgaria	Bulgaria	Road
ML6	expoziție	1	Turkey	Bulgaria	Hungary	Slovakia	Slovakia	Road
ML6	expoziție	1	Turkey	Hungary	Bulgaria	Bulgaria	Bulgaria	Road
ML8	36 800	1	Ukraine	Ukraine	Bulgaria	Bulgaria	Serbia	Road
ML3	296 000	1	Switzerland	Hungary	Bulgaria	Bulgaria	Bulgaria	Road
ML8	1 060 000	2	Poland	Hungary	Bulgaria	Turkey	Saudi Arabia	Road
ML8	1 125 000	2	Poland	Hungary	Bulgaria	Turkey	Turkey	Road
ML10, ML11	39 461 759	2	Poland	Hungary	Bulgaria	Turkey	Turkey	In flight
ML6	158 419	1	Ukraine	Ukraine	Bulgaria	Macedonia	Macedonia	Road
ML2, ML4, ML6	35 000	1	Bulgaria	Bulgaria	Hungary	Australia	Australia	Road
ML8	77 826	1	Norway	Hungary	Bulgaria	Greece	Greece	Road
ML6	848 453	2	USA	Constanta Harbour	Republic of Moldova	Republic of Moldova	Republic of Moldova	Fluvial
ML1	68 250	1	Belarus	Hungary	Bulgaria	Bulgaria	Bulgaria	Road
ML8	246 470	1	Czech Republic	Hungary	Constanta Harbour	Egypt	Egypt	Road

ML10	29 992	3	Montenegro	Serbia	Ukraine	Ukraine	Ukraine	Road
ML8	9 000	1	France	Hungary	Bulgaria	Turkey	Turkey	Road
Total	52 917 914	46						

Transshipment Operations			Type of transshipment					
ML6	848 453	2	USA	Constanta Harbour	Republic of Moldova	Republic of Moldova	Republic of Moldova	Maritime-Fluvial
ML8	246 470	1	Czech	Hungary	Constanta Harbour	Egypt	Egypt	Road-maritime
Total	1 094 923	3						

5. EXPORT LICENCES BY RECIPIENT REGION AND COUNTRY

SUB - SAHARAN AFRICA

	ML 1	ML 3	ML 10	ML 16	ML 22	Total per destination
BURKINA FASO	a	1				1
	b	246 923				246 923
	c	246 922				246 922
GABON	a	1	5			6
	b	104 078	13 140 088			13 244 166
	c	0	184 340			184 340
MOZAMBIQUE	a		3		0	3
	b		2 583 378		0	2 583 378
	c		7 648 762		1 625	7 650 387
SOUTH AFRICA	a ⁵	3		2		5
	b	16 230		36 000		52 230
	c	6 408		28 704		35 112
Total per category	a	4	1	8	2	15
	b	120 308	246 923	15 723 466	36 000	16 126 697
	c	6 408	246 922	7 833 102	28 704	8 116 761

⁵ (a) = number of licences issued, (b) = value of licences issued in Euros, (c) = value of arms exports in Euros (d) = total number of denials; (e) = criteria numbers of the EU Code of Conduct on arms exports on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets).

2014 Exchange rates:

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1 EUR = 0,8060 GBP
1 EUR = 1,2150 CHF

NORTH AFRICA

		ML 3	ML 10	Total per destination
LIBYA	a		1	1
	b		12 776 524	12 776 524
	c		0	0
MOROCCO	a	1	2	3
	b	8 438 636	1 370 166	9 808 802
	c	8 438 636	1 370 166	9 808 802
TUNISIA	a	1		1
	b	5 102		5 102
	c	5 102		5 102
Total per category	a	2	3	5
	b	8 443 738	14 146 690	22 590 428
	c	8 443 738	1 370 166	9 813 904

NORTH AMERICA

		ML 1	ML 2	ML 3	ML 10	ML 11	ML 18	ML 21	Total per destination
CANADA	a	1		2					3
	b	2 849		15 792					18 641
	c	2 849		15 792					18 641
UNITED STATES OF AMERICA	a	45	7	24	1	1	1	1	80
	b	22 654 320	6 688 111	33 007 749	10 400 435	285 058	9 029	339	73 045 041
	c	9 131 583	4 686 268	38 678 866	4 537 674	4 515	0	0	57 038 906
Total per category	a	46	7	26	1	1	1	1	83
	b	22 657 169	6 688 111	33 023 541	10 400 435	285 058	9 029	339	73 063 682
	c	9 134 432	4 686 268	38 694 658	4 537 674	4 515	0	0	57 057 547

SOUTH AMERICA

		ML 17	Total per destination
BRASILIA	a	3	3
	b	231 377	231 377
	c	150 564	150 564
Total per category	a	3	3
	b	231 377	231 377
	c	150 564	150 564

CENTRAL ASIA

		ML 7	Total per destination
KAZAKHSTAN	a	2	2
	b	1 221 587	1 221 587
	c	254 222	254 222
Total per category	a	2	2
	b	1 221 587	1 221 587
	c	254 222	254 222

SOUTH-EAST ASIA

		ML 2	ML 9	ML 10	Total per destination
INDONESIA	a		2	1	3
	b		560 000	49 584	609 584
	c		1 769 972	2 165	1 772 137
THAILAND	a	1		1	2
	b	503 386		6 095	509 481
	c	503 386		68 548	571 934
Total per category	a	1	2	2	5
	b	503 386	560 000	55 679	1 119 965
	c	503 386	1 769 972	70 713	2 344 071

SOUTH ASIA

		ML 1	ML 3	ML 10	ML 22	Total per destination
AFGHANISTAN	a	3	2			5
	b	5 378 068	3 688 355			9 066 423
	c	5 378 219	1 543 886			6 922 105
BANGLADESH	a			1		1
	b			796 350		796 350
	c			0		0
INDIA	a			3		3
	b			872 385		872 385
	c			182 950		182 950
PAKISTAN	a			33	1	34
	b			2 436 567	102 100	2 538 667
	c			2 313 836	102 100	2 415 936
Total per category	a	3	2	37	1	43
	b	5 378 068	3 688 355	4 105 302	102 100	13 273 825
	c	5 378 219	1 543 886	2 496 786	102 100	9 520 991

NORTH-EAST ASIA

		ML 3	ML 16	Total per destination
REPUBLIC OF KOREA	a	2	1	3
	b	65 914	25 240	91 154
	c	9 029	0	9 029
Total per category	a	2	1	3
	b	65 914	25 240	91 154
	c	9 029	0	9 029

EUROPEAN UNION (1/3)

		ML 1	ML 2	ML 3	ML 4	ML 5	ML 7	ML 8	ML 9
AUSTRIA	a	3	1						
	b	347 046	4 431						
	c	54 739	4 431						
BELGIUM	a	2					2		
	b	50 000					505 344		
	c	0					407 085		
BULGARIA	a	2	5	7	1		2		
	b	45 000	2 094 467	3 994 181	280 956		505 350		
	c	5 373	1 120 722	1 106 225	170 858		505 260		
CROATIA	a	1		1					
	b	30 000		109 142					
	c	1 524		109 142					
CZECH REPUBLIC	a	1			1				
	b	69 187			22 765				
	c	0			22 675				
DENMARK	a	1							
	b	30 000							
	c	2 954							
FINLAND	a	1							
	b	35 000							
	c	3 635							
FRANCE	a	4		1		11			
	b	69 077		3 160		569 530			
	c	36 396		3 160		326 539			
FRANCE (NEW CALEDONIE)	a	1							
	b	6 233							
	c	0							

		ML 1	ML 2	ML 3	ML 4	ML 5	ML 7	ML 8	ML 9
GERMANY	a	3		0					
	b	79 647		0					
	c	71 587		125 658					
GREECE	a				1				
	b				1 128 668				
	c				376				
IRELAND	a	2							
	b	30 000							
	c	7 708							
ITALY	a	5							
	b	165 000							
	c	16 517							
LITHUANIA	a	1							
	b	170 000							
	c	7 246							
MALTA	a	1							
	b	20 000							
	c	1 399							
NETHERLANDS	a	1							2
	b	30 000							520 000
	c	6 416							604 634
POLAND	a	2							
	b	79 410							
	c	28 766							
PORTUGALIA	a								
	b								
	c								

		ML 1	ML 2	ML 3	ML 4	ML 5	ML 7	ML 8	ML 9
SLOVAKIA	a	1		1					
	b	30 000		1 140 000					
	c	565		1 140 000					
SLOVENIA	a	1							
	b	35 000							
	c	15 806							
SPAIN	a	1							
	b	30 000							
	c	6 795							
SWEDEN	a	1		1	1				
	b	60 000		2 202	240 130				
	c	39 412		2 202	178 795				
UNITED KINGDOM	a	2					1	1	
	b	111 877					7 160	60 000	
	c	114 293					1 434	24 950	
Total per category	a	37	6	11	4	11	5	1	2
	b	1 522 477	2 098 898	5 248 685	1 672 519	569 530	1 017 854	60 000	520 000
	c	421 131	1 125 153	2 486 387	372 794	326 539	913 779	24 950	604 634

EUROPEAN UNION (2/3)

		ML 10	ML 11	ML 13	ML 15	ML 16	ML 17	ML 21
AUSTRIA	a							
	b							
	c							

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		ML 10	ML 11	ML 13	ML 15	ML 16	ML 17	ML 21
BELGIUM	a			1	2		0	
	b			48 758	2 594 832		0	
	c			49 025	212 338		1 664	
BULGARIA	a							1
	b							5 666
	c							5 666
CROATIA	a	2						
	b	62 500						
	c	23 000						
CZECH REPUBLIC	a	2						
	b	2 346 116						
	c	42 559						
DENMARK	a							
	b							
	c							
FINLAND	a	1						
	b	4 236						
	c	0						
FRANCE	a	6	1	0	3		11	
	b	610 690	1 120	0	267 062		174 991	
	c	402 000	1 120	324	103 653		74 941	
FRANCE (NEW CALEDONIE)	a							
	b							
	c							
GERMANY	a	20	3		0	6		
	b	1 375 607	107 758		0	5 630 705		
	c	827 294	166 400		52 400	868 901		

		ML 10	ML 11	ML 13	ML 15	ML 16	ML 17	ML 21
GREECE	a							
	b							
	c							
IRELAND	a							
	b							
	c							
ITALY	a	7		0		1		
	b	365 778		0		231 058		
	c	322 328		74 799		179 384		
LITHUANIA	a							
	b							
	c							
MALTA	a							
	b							
	c							
NETHERLANDS	a							
	b							
	c							
POLONIA	a		1					
	b		265 862					
	c		265 443					
PORTUGALIA	a					1		
	b					98 763		
	c					41 487		
SLOVAKIA	a							
	b							
	c							

		ML 10	ML 11	ML 13	ML 15	ML 16	ML 17	ML 21
SLOVENIA	a							
	b							
	c							
SPAIN	a		1			5		
	b		70 730			112 645		
	c		56 893			88 053		
SWEDEN	a	3						
	b	339 120						
	c	347 020						
UNITED KINGDOM	a	32				7	4	
	b	3 722 258				55 475	5 677	
	c	7 781 672				30 309	5 677	
Total per category	a	71	6	1	5	31	4	1
	b	8 763 805	445 470	48 758	2 861 894	6 303 637	5 677	5 666
	c	9 722 873	489 856	124 148	368 391	1 283 075	7 341	5 666

EUROPEAN UNION (3/3)

		ML 22	Total per destination
AUSTRIA	a		4
	b		351 477
	c		59 170
BELGIUM	a		7
	b		3 198 934
	c		670 112
BULGARIA	a		18
	b		6 925 620
	c		2 914 104

		ML 22	Total per destination
CROATIA	a		4
	b		201 642
	c		133 666
CZECH REPUBLIC	a		4
	b		2 438 068
	c		65 324
DENMARK	a		1
	b		30 000
	c		2 954
FINLAND	a		2
	b		39 236
	c		3 635
FRANCE	a		37
	b		1 695 630
	c		948 133
FRANCE (NEW CALEDONIE)	a		1
	b		6 233
	c		0
GERMANY	a		32
	b		7 193 717
	c		2 112 240
GREECE	a		1
	b		1 128 668
	c		376
IRELAND	a		2
	b		30 000
	c		7 708

		ML 22	Total per destination
ITALY	a		13
	b		761 836
	c		593 028
LITHUANIA	a		1
	b		170 000
	c		7 246
MALTA	a		1
	b		20 000
	c		1 399
NETHERLANDS	a	0	3
	b	0	550 000
	c	342 774	953 824
POLAND	a		3
	b		345 272
	c		294 209
PORTUGALIA	a		1
	b		98 763
	c		41 487
SLOVAKIA	a		2
	b		1 170 000
	c		1 140 565
SLOVENIA	a		1
	b		35 000
	c		15 806
SPAIN	a		7
	b		213 375
	c		151 741

		ML 22	Total per destination
SWEDEN	a		6
	b		641 452
	c		567 429
UNITED KINGDOM	a		47
	b		3 962 447
	c		7 958 335
Total per category	a	0	198
	b	0	31 207 370
	c	342 774	18 642 491

OTHER EUROPEAN COUNTRIES (1/3)

		ML 1	ML 2	ML 3	ML 4	ML 5	ML 6	ML 7
AZERBAIJAN	a	2		1		1		
	b	243 341		66 591		2 982 543		
	c	243 341		66 591		74 492		
BOSNIA AND HERZEGOVINA	a							
	b							
	c							
REPUBLIC OF MACEDONIA	a							
	b							
	c							
REPUBLIC OF MOLDOVA	a	1		1			1	1
	b	4 822		116 977			759	175 962
	c	4 822		116 977			759	0
NORWAY	a	1						
	b	10 680						
	c	0						

		ML 1	ML 2	ML 3	ML 4	ML 5	ML 6	ML 7
RUSSIAN FEDERATION	a	3						
	b	13 791						
	c	13 791						
SWITZERLAND	a						1	
	b						231	
	c						231	
TURKEY	a		0		3			
	b		0		2 495 796			
	c		128 544		1 108 685			
UKRAINE	a							
	b							
	c							
Total per category	a	7	0	2	3	1	2	1
	b	272 634	0	183 568	2 495 796	2 982 543	990	175 962
	c	261 674	128 544	183 568	1 108 685	74 492	990	0

OTHER EUROPEAN COUNTRIES (2/3)

		ML 8	ML 9	ML 10	ML 13	ML 14	ML 16	ML 21
AZERBAIJAN	a			1				
	b			182 468				
	c			624 051				
BOSNIA AND HERZEGOVINA	a			1				
	b			4 515				
	c			4 515				
REPUBLIC OF MACEDONIA	a			0		0		
	b			0		0		
	c			29 345		5 944 319		

		ML 8	ML 9	ML 10	ML 13	ML 14	ML 16	ML 21
REPUBLIC OF MOLDOVA	a				1			1
	b				7 826			6 250
	c				7 826			0
NORWAY	a		1					
	b		4 495 863					
	c		19 896 863					
RUSSIAN FEDERATION	a							
	b							
	c							
SWITZERLAND	a							
	b							
	c							
TURKEY	a	2					5	
	b	148 640					138 398	
	c	148 640					90 026	
UKRAINE	a			0				
	b			0				
	c			25 000				
Total per category	a	2	1	2	1	0	5	1
	b	148 640	4 495 863	186 983	7 826	0	138 398	6 250
	c	148 640	19 896 863	682 911	7 826	5 944 319	90 026	0

OTHER EUROPEAN COUNTRIES (3/3)

			ML 22	Total per destination
AZERBAIJAN	a		1	6
	b		607 976	4 082 919
	c		24 078	1 032 553

		ML 22	Total per destination
BOSNIA AND HERZEGOVINA	a		1
	b		4 515
	c		4 515
REPUBLIC OF MACEDONIA	a		0
	b		0
	c		5 973 664
REPUBLIC OF MOLDOVA	a		6
	b		312 596
	c		130 384
RUSSIAN FEDERATION	a		3
	b		13 791
	c		13 791
SWITZERLAND	a		1
	b		231
	c		231
TURKEY	a		10
	b		2 782 834
	c		1 475 895
UKRAINE	a		0
	b		0
	c		25 000
Total per category	a	1	29
	b	607 976	11 703 429
	c	24 078	28 552 616

MIDDLE EAST (1/2)

		ML 1	ML 2	ML 3	ML 4	ML 5	ML 6	ML 10	ML 11
EGYPT	a			0					
	b			0					
	c			2 806 794					
ISRAEL	a	1	3	3	2	3	2	4	5
	b	2 204	80 184	137 186	802 158	1 983 204	14 296 464	7 320 900	6 131 076
	c	2 079	80 221	134 545	496 942	29 806	4 731 340	1 718 943	3 595 749
LEBANON	a							11	
	b							1 052 662	
	c							386 494	
OMAN	a	1		1				7	
	b	414		326 561				1 211 869	
	c	414		326 561				1 165 589	
SAUDI ARABIA	a	2	1	1					
	b	8 267 231	2 558 315	13 905 838					
	c	0	0	0					
Total per category	a	4	4	5	2	3	2	22	5
	b	8 269 849	2 638 499	14 369 585	802 158	1 983 204	14 296 464	9 585 431	6 131 076
	c	2 493	80 221	3 267 900	496 942	29 806	4 731 340	3 271 026	3 595 749

MIDDLE EAST (2/2)

		ML 15	ML 16	ML 17	ML 18	ML 21	Total per destination
EGYPT	a						0
	b						0
	c						2 806 794
ISRAEL	a	8	3	3	1	1	39
	b	9 590 340	178 632	5 420 107	1 241 535	579 383	47 763 373
	c	2 256 534	64 898	1 722 599	1 138 907	572 173	16 544 736

		ML 15	ML 16	ML 17	ML 18	ML 21	Total per destination	
LEBANON	a						11	
	b						1 052 662	
	c						386 494	
OMAN	a						9	
	b						1 538 844	
	c						1 492 564	
SAUDI ARABIA	a						4	
	b						24 731 384	
	c						0	
Total per category	a	8	3	3	1	1	63	
	b	9 590 340	178 632	5 420 107	1 241 535	579 383	579 383	75 086 263
	c	2 256 534	64 898	1 722 599	1 138 907	572 173	572 173	21 230 588

OCEANIA

		ML 1	ML 13	Total per destination
AUSTRALIA	a	3	0	3
	b	32 531	0	32 531
	c	27 031	52	27 083
Total per category	a	3	0	3
	b	32 531	0	32 531
	c	27 031	52	27 083

GOVERNMENT'S EMERGENCY ORDINANCE
on the control regime of exports, imports and other operations
with military goods
(unofficial translation)

CHAPTER I

General provisions

Article 1- (1) The following operations with military goods shall be subject to the control regime stipulated in the present emergency ordinance:

- a) export, import and transfer, either permanent or temporary, from or to outside the territory of Romania;
- b) brokering activity;
- c) international transit via Romania;
- d) transshipment (transfers from one transport vector to another) on the territory of Romania.

(2) An exception to the provisions of the present emergency ordinance shall be the operations stipulated in the paragraph (1), performed in connection with the participation:

- a) of national forces for Defense, public order and national security in missions, operations, exercises, training, and ceremonial activities, outside the territory of Romania.
- b) of foreign armed forces or law enforcement structures abroad which have the approval of the Romanian authorities for entering, staying, carrying out operations or the transit through the territory of Romania.

(3) The provisions of the present emergency ordinance shall not apply to transit and transshipment when they are related to a transfer of military products between two member states of the European Union.

(4) The provisions of the present emergency ordinance shall not apply to the control of operations stipulated in paragraph (1) performed with firearms, parts and components thereof, as well as for civil ammunitions, performed by the persons referred to in article 3.

Article 2 – The destination and final use of the military goods making the object of the operations stipulated under article 1 shall also be subject to control.

Article 3 – (1) The provisions of the present emergency ordinance shall apply to all persons carrying out the operations stipulated under article 1.

(2) By *person* one shall understand any natural or legal entity residing in Romania, including public authorities.

(3) The provisions of paragraph (1) shall also apply to Romanian natural entities outside the Romanian territory, in compliance with the international law.

Article 4 – The operations stipulated under article 1 shall be authorized by means of a licence, as applicable, under the provisions of the present emergency ordinance.

Article 5 – For the purpose of the present emergency ordinance, the terms and phrases below shall have the following meanings:

- a) *military goods* – weapons, ammunition, missiles, bombs, torpedoes, mines, land, air and marine vehicles and other products, equipments and systems designed and built for military purposes, their components, parts and accessories, as well as related software and technology;
- b) *export* - removing a military product from the Romanian territory, temporarily or permanently, by a person or natural entity to any third country, including re-export activities and technical assistance;
- c) *import* – placing a military product on the Romanian territory, temporarily or permanently, from a person or natural entity from any third country;
- d) *transfer* – any shipment or movement of a military product to a recipient or provider from another member state of the European Union;
- e) *transit* – the transport of military products through Romanian territory, which come from other countries and are intended to other countries;
- f) *transshipment* – the operation of changing the means of transport during transit operations or during insertion or removal operations in or from the territory of Romania;
- g) *technical assistance* – any technical support dealing with the development, manufacture, assembly, testing, maintenance, repair or any technical service in the form of instruction, training, transmission of information or operational qualifications or consultancy services for military goods. Technical assistance shall also include forms of orally transmitted assistance;

h) *foreign trade operations* – operations involving the military goods stipulated under article 1 (1) a) and b);

i) *transmission of software or technology by electronic means* – transmission of software or technology by electronic means, by fax, Internet or telephone to a destination outside Romania; this shall not include oral transmission of technology via telephone unless a document or pertinent parts of it are being read to a correspondent over the telephone or described to him/her so as to obtain the same result;

j) *brokering activity* – activities carried out by a person regarding:

(i) negotiation or organization of transactions that may involve the export, the import or the transfer of military from a third country to any other third country;

(ii) purchase, sale, export or transfer of military goods that are in their ownership from a third country to any other third country;

(iii) negotiating or arranging transactions involving the removal or insertion of or in the Romanian territory of military products;

k) exporter - any natural or legal person, or any association of persons:

(i) on account of which the export declaration is made and who is the owner of the goods to be exported, or a person invested with the right to alienation of the goods when the declaration is accepted;

(ii) which, when the ownership or the alienation of goods belongs to a person established outside the European Union in accordance with the contract on which the export is based, is the contracting party established in the European Union

l) importer –any natural or legal person, or any association of persons in whose name the military products are put into free circulation, undergo active improvement, are processed under customs control or are temporary admitted;

m) supplier - any natural or legal person with residence/Headquarters in the European Union which has legal responsibility for transfer;

n) recipient - any natural or legal person with residence/Headquarters in the European Union which has legal responsibility for the reception of a transfer;

o) registration - confirmation of operation of fulfillment by the applicant of legal provisions for carrying out foreign trade operations with military products;

p) certification - operation through which it is established the credibility of a recipient enterprise;

q) transfer licence - authorization issued to a supplier, respectively recipient, to transfer military products, as applicable, to a recipient or from a supplier from another Member State of the European Union;

r) export licence - authorization issued to an export to provide military products by a legal or natural person from a third country;

s) import licence - authorization issued to an importer to receive military goods from a legal or natural person from a third country;

t) brokering activity license - authorization issued to a person to carry out a brokering activity with military goods;

u) transit licence - authorization issued to a person to carry out a transit operation with military goods;

v) transshipment license - authorization issued to a person for a transshipment operation.

Article 6 – The list comprising the military goods subject to the control regime for exports, imports and other operations shall be set out by order of the Minister of Foreign Affairs, which is published in the Official Journal of Romania, Part 1.

Article 7 – (1) Export, re-export, transfer and technical assistance of military goods that are not included in the list comprising the products subject to the control of exports, imports and other operations stipulated at article 6 shall be subject to the licensing procedure in compliance with the provisions of the present emergency ordinance, if the exporter, the supplier or the intermediary is informed by the Ministry of Foreign Affairs, through the Department for the control of exports, hereinafter referred to as the MFA/DEC, that the products in question are or may be used, entirely or partially, for:

a) developing, manufacturing, maintaining, stockpiling, or using military goods; or

b) developing, manufacturing, maintaining, stockpiling, or using vectors able to carry on and deliver weapons of mass destruction to their target.

(2) If the exporter, the supplier or the intermediary should be acquainted with the fact that some products that are not controlled in accordance with the list comprising the military goods set out by Government decision are meant, either entirely or partially, to be used for one of the purposes stipulated under paragraph (1), he/she/it shall be under the obligation to inform MFA/DEC, which in turn will decide on the opportunity whether that export, re-export or transfer is subject to licensing procedure or not.

(3) The operations stipulated under paragraphs (1) and (2) shall be subject to the control regime stipulated by the present emergency ordinance by order of the Minister of Foreign Affairs, and shall be notified to the interested persons.

Article 8 – The control regime for exports, imports and other operations with military goods shall be accomplished in compliance with:

a) the fundamental guidelines of Romania's foreign policy;

b) Romania's national security and economic interests;

c) the principles and criteria referred to in the Common Position of 2008/944/CFSP of the European Council in December 2008 defining common rules governing the control of exports of military technology and equipment;

d) the obligations deriving from the implementation of embargoes on weapons transfers established by the United Nations Security Council Resolutions, a common position or joint action adopted by the Council of the European Union, a decision of the Organization for Security and Co-operation in Europe, or established by other EU or NATO member states;

e) the objectives of non-proliferation of weapons of mass destruction, of vectors carrying such weapons, and of other military goods used for the purpose of destabilizing accumulations;

f) the international conventions, treaties and agreements, the non-proliferation mechanisms Romania is a party to, and other international undertakings assumed by Romania as a participating state in the international non-proliferation and export controls regimes;

g) the principle of co-operation with the states promoting non-proliferation policies similar to Romania's policies in this field.

Article 9 – MAE by ANCEX, is the national authority in the field of operations with military goods stipulated under article 1, paragraph (1) and ensures the implementation of the Government's policy in this field too.

CHAPTER II

Registration, certification and licensing regime

Article 10 – (1) The legal persons stipulated under article 3 may only require carrying out foreign trade operations with military goods only after receiving confirmation of registration issued by MFA/DEC, under the law.

(2) The addressing of the requests for registration referred to in paragraph (1) of the persons referred to in article 3 is subject to obtaining the opinion of the Ministry of National Defense.

Article 11 – The persons referred to in article 3, which also have the quality of recipient, may receive general transfer licences of military products, issued by the authorities of other Member States of the European Union, only after their approval by the MFA/DEC.

Article 12 - For each operation stipulated under article 1 b), the persons stipulated under article 3 shall be under the obligation to request to MFA/DEC the release of licences, according to the provisions of article 13.

Article 13 – (1) The operations stipulated under article 1 (1) a) shall be based on licences, which can be included in one of the following categories:

a) *individual licence* – shall be granted to a registered person, in order to carry out an operation with one or several military goods, to or from a single importer, recipient, exporter or supplier;

b) *global licence* – shall be granted to a registered person, in order to carry out operations with one or several military goods, to or from several importers, recipients, exporters or suppliers.

c) *general licence* – it is adopted by order of the Minister of Foreign Affairs, which shall be published in the Official Journal of Romania, Part I, and can be used by registered persons and, where appropriate, certified by the MINISTRY of FOREIGN AFFAIRS by ANCEX in compliance with the terms and conditions contained in the licence to perform repetitive operations with one or more military goods, to or from several importers, recipients, exporters, suppliers.

(2) The operations referred to in article 1 paragraph (1) shall be carried out on the basis of the licence of transfer, whether recipients or suppliers are EU Member States, respectively on the basis of licences of export, import, transit, brokering activity or transshipment, for exporters or importers from non-Member States of the European Union.

(3) For the operations referred to in article 1 paragraph (1) the MFA/DEC issues, if necessary, individual licences, global or general ones of export, import, transfer, transit, brokering activity or transshipment of military goods.

(4) Transfer operations, export, re-export, import, brokering activity with military goods subject to international reporting is carried out only on the basis of an individual licence.

Article 14– (1) The users referred to in article 3 shall be under the obligation to apply in its own name MFA/DEC for a registration or, where appropriate, certification, as well as licence of export, import, transfer, transit or brokering activity or transshipment of military goods. General transfer licence authorises Romanian suppliers to perform transfers with Romanian military goods, in compliance with the terms and conditions contained in the transfer licence.

(2) The terms to be met in order to be issued a registration, a certification or a licence, as applicable, shall be set out by means of methodological regulations of implementation.

(3) The persons stipulated under article 3 shall be under the obligation to state before MFA/DEC the destination of the military goods, as well as their final recipient or user.

(4) The registering and certification applications shall be solved no later than 90 days from the date of the documentation being submitted, under the law.

(5) Applications for export, import, transfer and brokering activity licences shall be solved no later than 90 days from the date of the necessary documentation being submitted, under the law.

(6) Applications for transit or transshipment licence shall be answered no later than 30 days from the date of the documentation being submitted, under the law.

(7) Applications for registering, certification or licence, together with the data and information concerning any one of the elements regarding operations involving military goods, shall be submitted by the managers of the interested legal persons or, as applicable, by the natural entities.

(8) The responsibility for the correctness of the data and information provided in support of the application shall belong to the applicants mentioned in paragraph (7).

Article 15 - People registered, certified, where appropriate, as well as the licence holders shall be under the obligation to notify the MFA/DEC of about any changes or differences occurred in the data listed in the registration documents, certification or licences, as well as during the hand-over or take-over of such military goods. If such changes or differences alter the terms based on which the registration document, certification or licence was issued, the latter documents shall be cancelled, and stating such changes or differences may be deemed as application for a new application for registration, certification or license, as applicable.

Article 16 – (1) The registration validity shall be no longer than 3 years from the date of issuance.

(2) The validity of certification shall not exceed 5 years from the date of issuance.

(3) The validity of individual licenses shall be no longer than one year from their date of issuance. The validity of such licences may be prolonged for up to one year.

(4) The validity of global licenses shall not exceed 3 years from their date of issuance. The validity of such licenses may be prolonged for up to two years.

(5) The validity of the general transfer licences shall be established by order of the Minister of Foreign Affairs, which shall be published in the Official Journal of Romania, Part I.

(6) The validity of general export or of import licenses shall be no longer than 3 years from their date of issuance. The validity of such licences may be prolonged, as applicable, for up to 2 years.

(7) The validity of individual transit or transshipment licenses shall not exceed 90 days from their date of issuance.

(8) The document confirming the registration, certification and licences can be used only by their holders, for the purposes for which it was issued, subject to the limits and conditions provided for by them, and may not be transferred, directly or indirectly.

Article 17 – (1) The persons stipulated under article 3 shall be under the obligation to declare and present the military goods for customs operations only before the customs facilities set out in the documents issued by MFA/ DEC.

(2) Transit and transshipment for military goods on the territory of Romania shall only take place via the customs facilities set out under paragraph (1).

CHAPTER III

Control regime within the relationships with foreign partners

Article 18– (1) As regards exports or transfer of military goods, the exporter shall be under the obligation to ask the foreign partner to produce an ensuring document from the end user - international import certificate or an equivalent document, issued or certified by the competent authority in the importer's country, respectively the consignee, or the declaration of the ultimate consignee, as applicable, according to which the latter undertakes to comply with the destination and final use, as stated, and, as applicable, not to re-export, respectively not to re-transfer the imported or transferred products without prior written approval by MFA/DEC. The ensuring document from the end user, in original, shall necessarily be enclosed with the export or transfer licence application.

(2) The applicant for an export license, transfer or brokering activity shall be under the obligation to notify the MFA/DEC the identification data of all persons of whom he/she acknowledges that they are involved in the operation of the export, transfer or brokering activity.

(3) In the case of exports, or brokering activity using military goods which are performed through foreign partners registered in States which ensure the anonymity of the shareholder, export or brokering activity operation can be performed only if the foreign partner is represented in Romania by a person registered to the MFA/DEC.

(4) After the delivery of the military goods has taken place, but no later than 4 months from that time, the holder of an export, transfer or brokering activity license shall have to obtain from the foreign partner the delivery verification certificate or another equivalent document, issued or certified by the competent authority in the importing country, attesting the fact that the commodity has reached its destination.

(5) The delivery verification certificate or the equivalent document shall be produced to the MFA/DEC, in original, within the delay stipulated under paragraph (4).

Article 19– (1) As regards imports or transfer of military goods, the license applicant, at the request of the foreign partner, shall be under the obligation to request MFA/DEC to issue the international import certificate or the certification of an equivalent document.

(2) The applicant for the import or transfer licence shall be under the obligation to inform MFA/DEC about the statements of assurance of the end-user, respectively of the end use that he/she previously sent to the foreign partner.

(3) The applicant for the import or transfer licence shall be under the obligation to notify MFA/DEC the identification data of all persons of whom he/she acknowledge that they are involved in the respective operation of import or transfer.

(4) After the import has been completed, but no later than two months from that time, the holder of the licence, following the foreign partner's request, shall be under the obligation to apply with the MFA/DEC for release of a delivery verification certificate or an equivalent document.

(5) Should the foreign partner request additional proof that the import has been performed, the National Customs Authority shall issue such a confirmation.

(6) If the external partner requires additional evidence of import, the National Customs Authority will issue such a confirmation.

Article 20 – (1) In the case of brokering activity as defined in article 5, letter j), point (i) and (ii) the applicant for a license must submit the ensuring document provided by the end user, issued or certified by the competent authority of the country of the importer, respectively the consignee, through which the latter undertakes to respect the final use and destination, declared, and not re-export or re-transfer military goods unless with approval, written, of the authorities of the country of the exporter or supplier, as applicable.

(2) The ensuring document from the end user, in original or certified copy, must be enclosed in a license request for brokering activity.

(3) After examination and acceptance by the MFA/DEC, the ensuring document, in original, provided by the end user shall be returned to the license applicant in order to be transmitted to the licensing authorities of the country of the exporter or the supplier, as applicable.

Article 21 – (1) After the export or transfer, as applicable, related to the brokering activity stipulated under article 20, paragraph (1), but not later than four months from that moment, the broker must obtain from the foreign partner the Delivery Verification Certificate or an equivalent document, issued or certified by the competent authority of the importing or destination country, as applicable, certifying that the military goods reached the destination.

(2) The Delivery Verification Certificate or the equivalent document shall be submitted to MFA/DEC, in original, within the term stipulated under paragraph (1).

(3) After examination and acceptance by the MFA/DEC, the Delivery Verification Certificate or the equivalent document shall be returned to the intermediary to be forwarded to the licensing authorities of the country of the exporter or of the supplier, as applicable.

Article 22 - (1) In the case of brokering activity defined in article 5, letter j), point (iii), registered persons must notify in writing MFA/DEC of any activities which are considered and the Romanian or foreign persons with which they are going to negotiate or carry out operations that involve the removal from or entry on the Romanian territory of military goods.

(2) The broker will present MFA/DEC all documents which attest to its trade relations with the exporter, the importer, the consignee or other mediators, including operations and relevant activities carried out after the issuance of the corresponding licence of brokering activities, but not later than 10 working days from their progression.

Article 23 (1) The persons referred to in article 3, (1) which carry out foreign trade operations with military products, have the obligation to provide the data owned, by periodic reports, on the work carried out, in accordance with the requirements of MFA/DEC.

(2) Periodic reporting procedure, the deadlines for reporting, as well as the reporting format shall be determined by order of the Minister of Foreign Affairs, published in the Official Journal of Romania, Part I.

(3) MFA/DEC requires legal or natural persons, in the process of examination of the applications for registration, certification or licensing, to report the way the previous foreign trade operations were carried out, if:

- a) the foreign trade operation has not made the subject of a periodic report as provided in paragraph (2);
- b) the foreign trade operation has made the subject of a periodic report as provided in paragraph (2), but in the process of examination or evaluation additional details are required about the final consignee, the end user, the destination and the final use of the military goods.

CHAPTER IV

Organization and functioning of the control regime

Article 24 – (1) In implementing the control regime stipulated in the present emergency ordinance, MFA/DEC shall co-ordinate the activity of the national control system for exports, imports and other operations with military goods, as the national authority.

(2) In order to accomplish its object, the Agency shall have the following main powers:

- a) to initiate draft laws, to draw up its own regulations, as well as joint regulations together with other authorities competent in this field, under the law, and to co-operate with them in implementing the provisions of the present emergency ordinance;
- b) to register and, as applicable, to certify the persons referred to in article 3 to carry out foreign trade operations with military goods, as stipulated under article 1 paragraph (1);
- c) to audit the implementation of the internal program ensuring the compliance to registered persons and, as applicable, certificated, who will benefit from the general transfer licences;
- d) to check, by looking into the records or facts, whenever necessary, the relevant aspects concerning the conclusion, progression or finalization of the operations stipulated under article 1, the object of which consists in the military goods, as well as the observance of their destination and final use;
- e) to check the compliance and accuracy of the declarations of persons carrying out the operations stipulated under article 1;
- f) to evaluate and to accept, as applicable, the international import certificate or equivalent documents issued by the competent authorities in the importer partners or consignees' countries, with a view to issuing the export, transfer or brokering activity licences for military goods;
- g) to evaluate and to accept, as applicable, the delivery control certificate or a certificate issued by the competent authorities from the countries of the importers or recipient partners or equivalent documents;
- h) to issue an international import certificate or an equivalent document, as well as the delivery verification certificate for imports or transfers of military goods;
- i) to examine and to approve, based on the Inter-ministry council's opinion, the applications for an export, import, transfer or brokering activity licence using military goods;
- j) to issue export, import, transfer or brokering activity licences for military goods;
- k) to examine and to approve licence applications for transit or transshipping operations on the territory of Romania;
- l) if violations of the provisions of the present emergency ordinance should be found, to order the discontinuance or prohibition of the progression of the operations of export, import, transfer, brokering activity, transit or transshipment involving military goods, as well as sanctions against the persons stipulated under article 3 that are found guilty of such violations;
- m) to apply the sanctions regime provided for in this emergency Ordinance through the control body set up by decision of the Director general;
- n) to inform periodically the Government and the Supreme Council for National Defense, on the operations with military goods which are being regulated by the present emergency ordinance;
- o) to draw up and to publish periodic reports on exports control of military products, under the law;
- p) to organize, with the support of the ministries and institutions concerned, information programs for economic agents relative to the principles, objectives, regulations and procedures regarding the control regime for exports and imports with military goods;
- q) to provide, on request, free specialized consultancy to economic agents and other persons interested in carrying out export, import, transfer, brokering activity, transit or transshipment with military goods, subject to the control regime regulated by the present emergency ordinance;
- r) to check how the obligations and undertakings assumed by Romania under the international treaties, agreements and arrangements in this field are being complied with;
- s) to represent Romania within the activities carried out by international organizations and bodies in charge in the field of controlling exports, imports and other operations involving military goods;
- t) is the national point of contact designated to ensure the link with other States parties in the fields which are the subject of the *Protocol against the illicit manufacture and trafficking of firearms, their parts and components and ammunition*, adopted at New York on 31 May 2001, in addition to the *United Nations Convention against transnational organized crime*, adopted at New York on 15 November 2000;
- u) is the national contact point ensuring the bond with the other States parties as regards the *International Instrument which allows the States to identify and track, fast and safe, small arms and light weapons*, adopted at New York on 5 December 2005, in the framework of the *UN program of action to prevent, combat and eradicate the illicit trade with small arms and light weapons*, in all its aspects, adopted on 20 July 2001;
- v) to co-operate with similar authorities from other states, for the purpose of:
 - (i) mutual information and consultation when registration, certification and licence applications for military goods are being submitted, if there are good grounds to believe they might be used for other purposes than the stated ones;
 - (ii) updating and consistently implementing the regulations in the matter, including the lists of military goods;
 - (iii) notifying the violations of the control regime, allowing the competent authorities in each country to sanction such violations;
- w) to initiate, together with the competent institutions, the updating of the lists of military goods subject to the control regime, in accordance with the international obligations and undertakings assumed by Romania;

x) to initiate, in collaboration with other authorities and other public Romanian institutions, actions for promoting Romania's specific interests in its relationships with the international organizations and bodies controlling the exports, imports and other operations involving military goods;

y) to use any other powers stipulated by the law in the field of control regime of exports imports and other operations involving military goods.

Article 25 – (1) MFA/DEC shall exercise its control powers by means of a control body, comprised of its own specialists and other experts, as external collaborators.

(2) The expenses incurred for the technical expert's investigation of the products, performed in laboratories, research institutes or in other institutions specialized in this field, with a view to finding whether they may be qualified as military goods subject to the control regime stipulated in the present emergency ordinance or not, shall be covered by the entity for the benefit of which the classification activity is being performed.

(3) In the exercise of its control powers, MFA/DEC's control unit shall have unrestricted access, under the law, to the necessary documents, data and information, whatever their holders may be.

(4) The persons stipulated under article 3 shall be under the obligation to send, at MFA/DEC's motivated request, all the documents, data and information requested, within the set delays, and to facilitate, under the terms of the law, unrestricted access of its control unit to their head offices and to any premises belonging to them, allowing them to check the relevant aspects relative to the conclusion, progression or finalization of the operations stipulated under article 1, as well as those regarding the final destination and use of military goods.

(5) The legal persons stipulated under article 3 and the public authorities having powers in this field shall be under the obligation to preserve for 15 years the documents on operations carried out with military goods subject to control.

(6) The persons involved in implementing the control regime for military goods that become acquainted with information that is a state secret, a professional secret or a trading secret shall be under the obligation to observe its status and only make it known to the pertinent authorities, under the terms of the law.

(7) In the case in which military goods are exported, imported, transferred or are used in brokering activity which are in connection with international instruments concerning the traceability, the persons referred to in article 3 shall be under the obligation to keep at least 20 years the documents related to the operations carried out. In the case of economic agents who manufacture military goods which are related to international instruments concerning to traceability, the period of storage of the documents is of at least 30 years.

Article 26 – (1) Within the control system for exports, imports and other operations with military goods, an Inter-ministry council shall be established, comprised of representatives, at least at the level of director, of the Ministry of Foreign Affairs, Ministry of National Defence, Ministry of Economy and Trade, Ministry of Administration and Home Affairs, Romanian Intelligence Service, Foreign Intelligence Service and National Customs Authority.

(2) The presidency of the Inter-ministry council shall be ensured by MFA/DEC, through its director general..

(3) The secretarial activity for the Inter-ministry council shall be ensured by MFA/DEC.

(4) The Inter-ministry council shall examine and endorse licence applications for:

- a) export, import and transfer of military goods;
- b) brokering activity.

(5) Organization and functioning of the Inter-Ministry Council shall be determined by order of the Minister of Foreign Affairs, which shall be published in the Official Journal of Romania, Part I.

Article 27– Applications for registration, certification and licence, shall be approved by order of the MFA/DEC's director general.

Article 28 The settlement of registration, certification and licence is subject to the presentation and, if necessary, of other notifications or acts of authorization issued under the law by the competent public authorities.

Article 29 – (1) MFA/DEC shall refuse the issuance of a license for operations with military goods specified in article 1, paragraph (1), with the assent of the Inter-ministry Council, if its release would be in violation of international commitments and obligations of Romania to apply the embargoes on arms trade imposed by the United Nations, the European Union or the Organization for Security and Cooperation in Europe.

(2) MFA/DEC may refuse to issue a licence for operations with military goods specified in article 1 (1), with the assent of the Inter-ministry Council, if the ultimate consignee's state or the ultimate consignee is subject to a unilateral embargo on trade in arms or restrictive measures, as applicable, established by Romania or other Member States of the European Union or NATO.

Article 30 – (1) In implementing the provisions of the present emergency ordinance, the Agency shall be an authorized beneficiary and a user of specific information, including those held by the state bodies authorized, under the law, to perform intelligence activities.

(2) MFA/DEC shall have unrestricted access, under the terms of the law, to all information concerning operations with military goods stipulated under article 1 paragraph (1), as well as to the information needed for assessing any forms of activities involving such products.

(3) The authorized public authorities, under the law, to perform intelligence activities shall be under the obligation to send the MFA/DEC the data obtained, referring to violations of the provisions of the present emergency ordinance.

(4) At the request of the MFA/DEC, the Ministry of Administration and Home Affairs or other competent institutions shall carry out specific checks and then inform it so that it may take the lawful actions.

(5) The National Customs Authority shall make available to the MFA/DEC, at the latter's request, all the necessary data concerning the export, import and other operations with military goods stipulated under article 1 paragraph (1).

CHAPTER V Sanctions

Article 31 – Violation of the provisions of the present emergency ordinance regarding operations involving military goods, as well as those on the truthfulness of declarations, which represent crimes under the law, shall be punishable according to the provisions of the Penal Code.

Article 32 – Failure to comply with the provisions of the present emergency ordinance shall entail, as applicable, disciplinary, administrative, civil or criminal liability, under the law.

Article 33 – Failure to observe the final destination and use of the military goods listed in the documents based on the declaration stipulated under article 14 (3) shall constitute an offence and shall be sanctioned by a fine from RON 20000 to RON 30000.

Article 34 – (1) Violation of the provisions of article 7 (2), article 15, article 18 (4) and (5), article 21 (1) and (2), article 22 and article 25 (4), (5) and (6) shall constitute an offence and shall be sanctioned by a fine from RON 20000 to RON 30000.

Article 35 (1) Violation of the provisions of article 23 (1) and article 25 (7) shall constitute an offence and shall be sanctioned by a fine from RON 5000 to RON 10000.

(2) In case of recurrence of the offence stipulated under paragraph (1) at least once within a year of the fulfillment of the first offense, the penalty is a fine of from RON 10,000 to RON 20,000.

Article 36 – (1) Ascertainment and application of the penalties provided for offences under article 33, 34 and 35 shall be made by the members of the MFA/DEC control body, empowered as ascertainment agents through control mandate issued by the director general of the MFA/DEC.

(2) The MFA/DEC shall notify the criminal investigation bodies if the actions ascertained are deemed to be crimes, under the law.

Article 37– The sanctions stipulated in the present emergency ordinance shall also apply to legal entities.

Article 38 – The application of sanctions for the offences stipulated in the present emergency ordinance shall be lost by prescription within 5 years of the action being committed.

Article 39 – The provisions of Government Ordinance no. 2/2001 on the legal status of offences, approved with amendments and additions by Law no. 180/2002, with subsequent amendments and additions, shall apply to the offences stipulated in the present emergency ordinance, insofar as they do not contradict the present emergency ordinance.

Article 40 – If the violation of the provisions of the present emergency ordinance should be likely to cause serious consequences onto the regime of exports, imports and other operations involving military goods and on Romania meeting the international obligations and undertakings that it assumed in this field, the MFA/DEC may suspend or withdraw the registration or certification documents, as well as the licences issued, under the law.

CHAPTER VI

Final provisions

Article 41– The MFA/DEC shall belong, as a rightful member, to the decision-making structures of all the bodies created at a national level that carry out activities related to the control regime of exports, imports and other operations involving military goods.

Article 42 – The forms for registration, certification, licence, as well as other documents stipulated in the present emergency ordinance shall be set out by order of the Ministry of Foreign Affairs and published in the Official Journal of Romania, Part I.

Article 43 – (1) The present emergency ordinance shall come into effect within 15 days from the date of it being published in the Official Journal of Romania.

(2) On the date of the present emergency ordinance coming into effect, Government Ordinance no. 31/1994 on the regime of imports and export of strategic products, published in the Official Journal of Romania, Part I., no. 218 of 16 August 1994 and approved by Law no. 93/1994, as well as any other contrary provisions, shall be repealed.

NOTE:

We reproduce below the provisions of article II, III and IV, as well as the mention on the transposition of Community rules from the Emergency Ordinance of Government No. 55/2012, which are not incorporated in this republished form and which apply hereinafter as the provisions of the modifying act:

“Article II. – (1) The Ministry of Foreign Affairs through the Department for Exports Control - ANCEX elaborates methodological norms for the application of the provisions of Emergency Ordinance of Government No. 158/1999 concerning the control of exports, imports and other operations with military goods, approved with amendments and additions by law No. 595/2004, amended, within a period of 30 days from the date of entry into force of this Emergency Ordinance.

(2) The methodological norms referred to in paragraph (1) shall be approved by order of the Minister of Foreign Affairs and shall be published in The Official Journal of Romania, Part I.

Article III. - This Emergency Ordinance shall come into effect on the date of its publication in The Official Journal of Romania, Part I, with the exception of article I point 35-37, which come into effect 30 days after the date of its publication.

Article IV. - Authorizations, licences and permits issued before the coming into effect of this Emergency Ordinance will maintain their validity up to the expiry date inscribed on them. ”

*

This emergency Ordinance shall transpose the Directive 2009/43/EC of the European Parliament and of the Council of 6 May 2009 simplifying terms and conditions of transfer of goods related to defense within the community, published in the Official Journal of the European Union L146/1 from June 10 2009.

**Council Common Position 2008/944/CFSP
of 8 December 2008
defining common rules governing control of exports
of military technology and equipment**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty of the European Union, and in particular Article 15 thereof,
Whereas:

- (1) Member States intend to build on the Common Criteria agreed at the Luxembourg and Lisbon European Councils in 1991 and 1992, and on the European Union Code of Conduct on Arms Exports adopted by the Council in 1998.
- (2) Member States recognise the special responsibility of military technology and equipment exporting States.
- (3) Member States are determined to set high common standards which shall be regarded as the minimum for the management of, and restraint in, transfers of military technology and equipment by all Member States, and to strengthen the exchange of relevant information with a view to achieving greater transparency.
- (4) Member States are determined to prevent the export of military technology and equipment which might be used for internal repression or international aggression or contribute to regional instability.
- (5) Member States intend to reinforce cooperation and to promote convergence in the field of exports of military technology and equipment within the framework of the Common Foreign and Security Policy (CFSP).
- (6) Complementary measures have been taken against illicit transfers, in the form of the EU Programme for Preventing and Combating Illicit Trafficking in Conventional Arms.
- (7) The Council adopted on 12 July 2002 Joint Action 2002/589/CFSP⁶ on the European Union's contribution to combating the destabilising accumulation and spread of small arms and light weapons (1).
- (8) The Council adopted on 23 June 2003 Common Position 2003/468/CFSP⁷ (2) on the control of arms brokering.
- (9) The European Council adopted in December 2003 a strategy against the proliferation of weapons of mass destruction, and in December 2005 a strategy to combat illicit accumulation and trafficking of SALW and their ammunition, which imply an increased common interest of Member States of the European Union in a coordinated approach to the control of exports of military technology and equipment.
- (10) The UN Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects was adopted in 2001.
- (11) The United Nations Register of Conventional Arms was established in 1992.
- (12) States have a right to transfer the means of self-defence, consistent with the right of self-defence recognised by the UN Charter.
- (13) The wish of Member States to maintain a defence industry as part of their industrial base as well as their defence effort is acknowledged.
- (14) The strengthening of a European defence technological and industrial base, which contributes to the implementation of the Common Foreign and Security Policy, in particular the Common European Security and Defence Policy, should be accompanied by cooperation and convergence in the field of military technology and equipment.

⁶ JO L 191, 19.7.2002, p. 1.

⁷ JO L 156, 25.6.2003, p. 79.

(15) Member States intend to strengthen the European Union's export control policy for military technology and equipment through the adoption of this Common Position, which updates and replaces the European Union Code of Conduct on Arms Exports adopted by the Council on 8 June 1998.

(16) On 13 June 2000, the Council adopted the Common Military List of the European Union, which is regularly reviewed, taking into account, where appropriate, similar national and international list⁸.

(17) The Union must ensure the consistency of its external activities as a whole in the context of its external relations, in accordance with Article 3, second paragraph of the Treaty; in this respect the Council takes note of the Commission proposal to amend Council Regulation (EC) No 1334/2000 of 22 June 2000 setting up a Community regime for the control of exports of dual use items and technology⁹.

HAS ADOPTED THIS COMMON POSITION:

Article 1

(1) Each Member State shall assess the export licence applications made to it for items on the EU Common Military List mentioned in Article 12 on a case-by-case basis against the criteria of Article 2.

(2) The export licence applications as mentioned in paragraph 1 shall include:

- applications for licences for physical exports, including those for the purpose of licensed production of military equipment in third countries,
- applications for brokering licences,
- applications for 'transit' or 'transshipment' licences,
- applications for licences for any intangible transfers of software and technology by means such as electronic media, fax or telephone.

Member States' legislation shall indicate in which case an export licence is required with respect to these applications.

Article 2

Criteria

(1) **Criterion One:** Respect for the international obligations and commitments of Member States, in particular the sanctions adopted by the UN Security Council or the European Union, agreements on non-proliferation and other subjects, as well as other international obligations.

An export licence shall be denied if approval would be inconsistent with, *inter alia*:

- (a) the international obligations of Member States and their commitments to enforce United Nations, European Union and Organisation for Security and Cooperation in Europe arms embargoes;
- (b) the international obligations of Member States under the Nuclear Non-Proliferation Treaty, the Biological and Toxin Weapons Convention and the Chemical Weapons Convention;
- (c) the commitment of Member States not to export any form of anti-personnel landmine;
- (d) the commitments of Member States in the framework of the Australia Group, the Missile Technology Control Regime, the Zangger Committee, the Nuclear Suppliers Group, the Wassenaar Arrangement and The Hague Code of Conduct against Ballistic Missile Proliferation.

(2) **Criterion Two:** Respect for human rights in the country of final destination as well as respect by that country of international humanitarian law.

Having assessed the recipient country's attitude towards relevant principles established by international human rights instruments, Member States shall:

- a) deny an export licence if there is a clear risk that the military technology or equipment to be exported might be used for internal repression;
- b) exercise special caution and vigilance in issuing licences, on a case-by-case basis and taking account of the nature of the military technology or equipment, to countries where serious violations of human rights have been established by the competent bodies of the United Nations, by the European Union or by the Council of Europe;

For these purposes, technology or equipment which might be used for internal repression will include, *inter alia*, technology or equipment where there is evidence of the use of this or similar technology or equipment for

⁸ Last amended 10 March 2008, OJ C 98, 18.4.2008, p. 1.

⁹ JO L 159, 30.6.2000, p. 1.

internal repression by the proposed end-user, or where there is reason to believe that the technology or equipment will be diverted from its stated end-use or end-user and used for internal repression. In line with Article 1 of this Common Position, the nature of the technology or equipment will be considered carefully, particularly if it is intended for internal security purposes. Internal repression includes, *inter alia*, torture and other cruel, inhuman and degrading treatment or punishment, summary or arbitrary executions, disappearances, arbitrary detentions and other major violations of human rights and fundamental freedoms as set out in relevant international human rights instruments, including the Universal Declaration on Human Rights and the International Covenant on Civil and Political Rights.

Having assessed the recipient country's attitude towards relevant principles established by instruments of international humanitarian law, Member States shall:

a) deny an export licence if there is a clear risk that the military technology or equipment to be exported might be used in the commission of serious violations of international humanitarian law.

(3) **Criterion Three:** Internal situation in the country of final destination, as a function of the existence of tensions or armed conflicts.

Member States shall deny an export licence for military technology or equipment which would provoke or prolong armed conflicts or aggravate existing tensions or conflicts in the country of final destination.

(4) **Criterion Four:** Preservation of regional peace, security and stability. Member States shall deny an export licence if there is a clear risk that the intended recipient would use the military technology or equipment to be exported aggressively against another country or to assert by force a territorial claim. When considering these risks, Member States shall take into account *inter alia*:

(a) the existence or likelihood of armed conflict between the recipient and another country;

(b) a claim against the territory of a neighbouring country which the recipient has in the past tried or threatened to pursue by means of force;

(c) the likelihood of the military technology or equipment being used other than for the legitimate national security and defence of the recipient;

(d) the need not to affect adversely regional stability in any significant way.

(5) **Criterion Five:** National security of the Member States and of territories whose external relations are the responsibility of a Member State, as well as that of friendly and allied countries. Member States shall take into account:

a) the potential effect of the military technology or equipment to be exported on their defence and security interests as well as those of Member State and those of friendly and allied countries, while recognising that this factor cannot affect consideration of the criteria on respect for human rights and on regional peace, security and stability;

b) the risk of use of the military technology or equipment concerned against their forces or those of Member States and those of friendly and allied countries.

(6) **Criterion Six:** Behaviour of the buyer country with regard to the international community, as regards in particular its attitude to terrorism, the nature of its alliances and respect for international law.

Member States shall take into account, *inter alia*, the record of the buyer country with regard to:

(a) its support for or encouragement of terrorism and international organised crime;

(b) its compliance with its international commitments, in particular on the non-use of force, and with international humanitarian law;

(c) its commitment to non-proliferation and other areas of arms control and disarmament, in particular the signature, ratification and implementation of relevant arms control and disarmament conventions referred to in point (b) of Criterion One.

(7) **Criterion Seven:** Existence of a risk that the military technology or equipment will be diverted within the buyer country or re-exported under undesirable conditions.

In assessing the impact of the military technology or equipment to be exported on the recipient country and the risk that such technology or equipment might be diverted to an undesirable end-user or for an undesirable end use, the following shall be considered:

(a) the legitimate defence and domestic security interests of the recipient country, including any participation in United Nations or other peace-keeping activity;

(b) the technical capability of the recipient country to use such technology or equipment;

- (c) the capability of the recipient country to apply effective export controls;
- (d) the risk of such technology or equipment being re-exported to undesirable destinations, and the record of the recipient country in respecting any re-export provision or consent prior to re-export which the exporting Member State considers appropriate to impose;
- (e) the risk of such technology or equipment being diverted to terrorist organisations or to individual terrorists;
- (f) the risk of reverse engineering or unintended technology transfer.

(8) **Criterion Eight:** Compatibility of the exports of the military technology or equipment with the technical and economic capacity of the recipient country, taking into account the desirability that states should meet their legitimate security and defence needs with the least diversion of human and economic resources for armaments.

Member States shall take into account, in the light of information from relevant sources such as United Nations Development Programme, World Bank, International Monetary Fund and Organisation for Economic Cooperation and Development reports, whether the proposed export would seriously hamper the sustainable development of the recipient country. They shall consider in this context the recipient country's relative levels of military and social expenditure, taking into account also any EU or bilateral aid.

Article 3

This Common Position shall not affect the right of Member States to operate more restrictive national policies.

Article 4

1) Member States shall circulate details of applications for export licences which have been denied in accordance with the criteria of this Common Position together with an explanation of why the licence has been denied. Before any Member State grants a licence which has been denied by another Member State or States for an essentially identical transaction within the last three years, it shall first consult the Member State or States which issued the denial(s). If following consultations, the Member State nevertheless decides to grant a licence, it shall notify the Member State or States issuing the denial(s), giving a detailed explanation of its reasoning.

2) The decision to transfer or deny the transfer of any military technology or equipment shall remain at the national discretion of each Member State. A denial of a licence is understood to take place when the Member State has refused to authorise the actual sale or export of the military technology or equipment concerned, where a sale would otherwise have come about, or the conclusion of the relevant contract. For these purposes, a notifiable denial may, in accordance with national procedures, include denial of permission to start negotiations or a negative response to a formal initial enquiry about a specific order.

3) Member States shall keep such denials and consultations confidential and not use them for commercial advantage.

Article 5

Export licences shall be granted only on the basis of reliable prior knowledge of end use in the country of final destination. This will generally require a thoroughly checked end-user certificate or appropriate documentation and/or some form of official authorisation issued by the country of final destination. When assessing applications for licences to export military technology or equipment for the purposes of production in third countries, Member States shall in particular take account of the potential use of the finished product in the country of production and of the risk that the finished product might be diverted or exported to an undesirable end user.

Article 6

Without prejudice to Regulation (EC) No 1334/2000, the criteria in Article 2 of this Common Position and the consultation procedure provided for in Article 4 are also to apply to Member States in respect of dual-use goods and technology as specified in Annex I to Regulation (EC) No 1334/2000 where there are serious grounds for believing that the end-user of such goods and technology will be the armed forces or internal security forces or similar entities in the recipient country. References in this Common Position to military technology or equipment shall be understood to include such goods and technology.

Article 7

In order to maximise the effectiveness of this Common Position, Member States shall work within the framework of the CFSP to reinforce their cooperation and to promote their convergence in the field of exports of military technology and equipment.

Article 8

- 1) Each Member State shall circulate to other Member States in confidence an annual report on its exports of military technology and equipment and on its implementation of this Common Position.
- 2) An EU Annual Report, based on contributions from all Member States, shall be submitted to the Council and published in the 'C' series of the *Official Journal of the European Union*.
- 3) In addition, each Member State which exports technology or equipment on the EU Common Military List shall publish a national report on its exports of military technology and equipment, the contents of which will be in accordance with national legislation, as applicable, and will provide information for the EU Annual Report on the implementation of this Common Position as stipulated in the User's Guide.

Article 9

Member States shall, as appropriate, assess jointly through the CFSP framework the situation of potential or actual recipients of exports of military technology and equipment from Member States, in the light of the principles and criteria of this Common Position.

Article 10

While Member States, where appropriate, may also take into account the effect of proposed exports on their economic, social, commercial and industrial interests, these factors shall not affect the application of the above criteria.

Article 11

Member States shall use their best endeavors to encourage other States which export military technology or equipment to apply the criteria of this Common Position. They shall regularly exchange experiences with those third states applying the criteria on their military technology and equipment export control policies and on the application of the criteria.

Article 12

Member States shall ensure that their national legislation enables them to control the export of the technology and equipment on the EU Common Military List. The EU Common Military List shall act as a reference point for Member States' national military technology and equipment lists, but shall not directly replace them.

Article 13

The User's Guide to the European Code of Conduct on Exports of Military Equipment, which is regularly reviewed, shall serve as guidance for the implementation of this Common Position.

Article 14

This Common Position shall take effect on the date of its adoption.

Article 15

This Common Position shall be reviewed three years after its adoption.

Article 16

This Common Position shall be published in the *Official Journal of the European Union*.

National List
with military goods under the export control regime¹⁰
(summary)

- ML1** Smooth-bore weapons with a calibre of less than 20 mm, other arms and automatic weapons with a calibre of 12.7 mm (calibre 0.50 inches) or less and accessories, and specially designed components therefor.
- ML2** Smooth-bore weapons with a calibre of 20 mm or more, other weapons or armament with a calibre greater than 12.7 mm (calibre 0.50 inches), projectors and accessories, and specially designed components therefore.
- ML3** Ammunition and fuze setting devices and specially designed components therefor.
- ML4** Bombs, torpedoes, rockets, missiles, other explosive devices and charges and related equipment and accessories, specially designed for military use, and specially designed components therefore.
- ML5** Fire control, and related alerting and warning equipment, and related systems, test and alignment and countermeasure equipment, specially designed for military use, and specially designed components and accessories therefor.
- ML6** Ground vehicles and components.
- ML7** Chemical or biological toxic agents, "riot control agents", radioactive materials, related equipment, components, and materials.
- ML8** "Energetic materials", and related substances.
- ML9** Vessels of war, special naval equipment and accessories and components therefor, specially designed for military use.
- ML10** "Aircraft", "lighter-than-air vehicles", unmanned airborne vehicles, aero-engines and "aircraft" equipment, related equipment and components, specially designed or modified for military use.
- ML11** Electronic equipment, not controlled elsewhere on the Munitions List, and specially designed components therefor.
- ML12** High velocity kinetic energy weapon systems and related equipment and specially designed components therefor.
- ML13** Armoured or protective equipment and constructions and components.
- ML14** Specialised equipment for military training or for simulating military scenarios, simulators specially designed for training in the use of any firearm or weapon controlled by ML1 or ML2, and specially designed components and accessories therefor.
- ML15** Imaging or countermeasure equipment, specially designed for military use, and specially designed components and accessories therefor.
- ML16** Forgings, castings and other unfinished products the use of which in a controlled product is identifiable by material composition, geometry or function, and which are specially designed

¹⁰ Adopted by Order of the Minister of Foreign Affairs no. 996/2014 (published in Official Journal of Romania, Part I, no. 328, 17 April 2014)

for any
products controlled by ML1 to ML4, ML6, ML9, ML10, ML12 or ML19.

- ML17** Miscellaneous equipment, materials and libraries, and specially designed components therefor.
- ML18** Equipment for the production of products referred to in the Munitions List.
- ML19** Directed energy weapon systems (DEW), related or countermeasure equipment and test models, and specially designed components therefor.
- ML20** Cryogenic and "superconductive" equipment and specially designed components and accessories therefor.
- ML21** "Software" specially designed or modified for the "development", "production" or "use" of equipment or materials controlled by the Munitions List.
- ML22** "Technology" which is "required" for the "development", "production" or "use" of items controlled in the Munitions List.

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