Executive summary
SIPRI Policy Paper No. 24

Air transportation has played a key role in fuelling the war economies that have devastated much of Africa in recent decades. It is instrumental in the transfer of small arms and light weapons (SALW) as well as in the extraction and transfer of precious minerals, metals and hydrocarbons. Air transportation actors are also important facilitators of illicit flows of illegal narcotics and tobacco destined for European, North American and Middle Eastern markets. At the same time, those air cargo carriers that have been reportedly involved in these commodity flows that have been so destabilizing are also enmeshed in humanitarian aid, peace support, stability operations and defence logistics supply chains of United Nations agencies, European Union (EU) and North Atlantic Treaty Organization (NATO) member states and non-governmental organizations (NGOs).

Transportation represents the ‘choke point’ for destabilizing or illicit commodity flows. Air and maritime transport actors are far easier to trace than arms brokers, drug cartels or resource smugglers as the former must legitimately register their aircraft, vessels and associated companies. As such, transporters are the only non-state actors involved in destabilizing or illicit commodity flows required to operate overtly. This characteristic makes

About the Authors
Hugh Griffiths (United Kingdom) is a Researcher with the Countering Illicit Trafficking-Mechanism Assessment Project (CIT-MAP) of the SIPRI Arms Transfers Programme. From 1995 until 2007 he worked for governments, the UN and non-governmental organizations in Eastern Europe and the Balkans, conducting investigative field research, analysis and programme management on issues surrounding humanitarian aid, clandestine political economies, conflict, and small arms and light weapons.

Mark Bromley (United Kingdom) is a Researcher with the SIPRI Arms Transfers Programme. Previously, he was a Policy Analyst for the British American Security Information Council (BASIC). His areas of research include the export control policies of EU member states, transparency in the field of international arms transfers and the illicit trafficking of small arms and light weapons.
them possible to track via databases, flight and maritime records and field research and subject to control. The EU, through its institutions, legislation, member states and European Security and Defence Policy (ESDP) is uniquely placed to influence the behaviour of these actors.

Unlike the global arms trade, UN mechanisms and standards to regulate aviation and maritime transportation are already in place and are increasingly enforced by supranational organizations such as the EU. Existing EU air safety mechanisms have already effectively targeted a wide range of companies named as involved in SALW flows by banning them from EU airspace. Such companies are thus prevented from accessing the world’s largest regulated market.

As the world’s largest humanitarian aid and development donor, EU institutions, member states, NGOs and other partners can reinforce these ‘market denial’ trends by adding ethical transportation clauses to humanitarian aid, peace support, stability operations and defence logistics supply chain contracts. These clauses can preclude involvement with air transport actors associated with destabilizing or illicit flows.

ESDP missions in Africa and Eastern Europe can effectively support evolving EU information-sharing efforts through the insertion of pre-departure and in-country training modules and related components that sensitize civilian and military ESDP personnel to non-governmental air and maritime transportation issues. Such measures combined with Internet-based platforms and databases may also be used to address a lack of awareness and information coordination regarding air cargo companies within the humanitarian aid, peacekeeping and defence contractor communities in order to reduce UN agency, NGO or commercial usage.
The application of relatively inexpensive yet empirically proven open-source, data-centred project models combined with field research can also provide EU and member state policymakers with the type of coordinated information systems necessary to systematically monitor air transport actors engaged in destabilizing or illicit commodity flows in Africa, Eastern Europe, the Middle East, South America and Central Asia.

RECOMMENDATIONS

1. The EU and member states should deny humanitarian aid, peace support, stability operations and defence logistics supply chain contracts to air transport companies engaged in destabilizing or illicit commodity flows, in particular the transfer of SALW.

2. The EU should support the efforts of African partners to improve air safety through the provision of ‘dual-use’ transport development capacity—building projects that specifically target unsafe air cargo companies engaged in destabilizing commodity flows.

3. ESDP mission planning and operational structures should take into account the key role of air transport companies in many ESDP mission areas and provide the requisite sensitization, awareness and reporting training.

4. The EU’s air safety mechanism should be refined and resourced to take account of the evasion techniques used by unsafe air cargo companies seeking to access EU markets.

Air transport is instrumental in the transfer of SALW as well as in the extraction and transfer of precious minerals, metals and hydrocarbons.
1. Introduction
2. The role of air cargo carriers in destabilizing or illicit SALW flows
3. The role of air cargo carriers in war economies
4. The role of air cargo carriers in humanitarian aid and peacekeeping operations
5. The European Union response
6. The impact of EU air safety bans
7. Conclusions: policy options for the European Union

The Policy Paper summarized here is part of an on-going study by the Countering Illicit Trafficking–Mechanism Assessment Project (CIT-MAP) at SIPRI. CIT-MAP takes a multidisciplinary approach to the problem of destabilizing or illicit arms transfers. The project draws on investigative field research and empirical analysis which are synthesized in solution-oriented reports.