Annex A. Arms control and disarmament agreements

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This annex lists multi- and bilateral treaties, conventions, protocols and agreements relating to arms control and disarmament. Unless otherwise stated, the status of agreements and of their parties and signatories is as of 1 January 2012.

Notes

1. The agreements are divided into universal treaties (i.e. multilateral treaties open to all states; section I), regional treaties (i.e. multilateral treaties open to states of a particular region; section II) and bilateral treaties (section III). Within each section, the agreements are listed in the order of the date on which they were adopted, signed or opened for signature (multilateral agreements) or signed (bilateral agreements). The date on which they entered into force and the depositary for multilateral treaties are also given.

2. The main source of information is the lists of signatories and parties provided by the depositaries of the treaties. In lists of parties and signatories, states whose name appears in italics ratified, acceded or succeeded to, or signed the agreement during 2011.

3. For some major treaties, the substantive parts of the most important reservations, declarations or interpretive statements made in connection with a state’s signature, ratification, accession or succession are given in notes below the entry.

4. States and organizations listed as parties have ratified, acceded to or succeeded to the agreements. Former non-self-governing territories, upon attaining statehood, sometimes make general statements of continuity to all agreements concluded by the former governing power. This annex lists as parties only those new states that have made an uncontested declaration on continuity or have notified the depositary of their succession. The Russian Federation continues the international obligations of the Soviet Union. Serbia continues the international obligations of the State Union of Serbia and Montenegro.

5. Unless stated otherwise, the multilateral agreements listed in this annex are open to all states or to all states in the respective zone (or region) for signature, ratification, accession or succession. Not all the signatories and parties are United Nations members. Taiwan, while not recognized as a sovereign state by many countries, is listed as a party to the agreements that it has ratified.

6. Where possible, the location (in a printed publication or online) of an accurate copy of the treaty text is given. This may be provided by a treaty depositary, an agency or secretariat connected with the treaty, or in the United Nations Treaty Series (available online at <http://treaties.un.org/>).
I. Universal treaties

**Protocol for the Prohibition of the Use in War of Asphyxiating, Poisonous or Other Gases, and of Bacteriological Methods of Warfare (1925 Geneva Protocol)**

_Signed at Geneva on 17 June 1925; entered into force on 8 February 1928; depositary French Government_

The protocol declares that the parties agree to be bound by the prohibition on the use of these weapons in war.

*Parties (138):* Afghanistan, Albania, Algeria, Angola, Antigua and Barbuda, Argentina, Australia, Austria, Bahrain, Bangladesh, Barbados, Belgium, Benin, Bhutan, Bolivia, Brazil, Bulgaria, Burkina Faso, Cambodia, Cameroon, Canada, Cape Verde, Central African Republic, Chile, China, Costa Rica, Côte d’Ivoire, Croatia, Cuba, Cyprus, Czech Republic, Denmark, Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Estonia, Ethiopia, Fiji, Finland, France, Gambia, Germany, Ghana, Greece, Grenada, Guatemala, Guinea-Bissau, Holy See, Hungary, Iceland, India, Indonesia, Iran, Iraq, Ireland, Israel, Italy, Japan, Jordan, Kenya, Korea (North), Korea (South), Kuwait, Laos, Latvia, Lebanon, Lesotho, Liberia, Libya, Liechtenstein, Lithuania, Luxembourg, Madagascar, Malawi, Malaysia, Maldives, Malta, Mauritius, Mexico, Monaco, Mongolia, Morocco, Nepal, Netherlands, New Zealand, Nicaragua, Niger, Nigeria, Norway, Pakistan, Panama, Papua New Guinea, Paraguay, Peru, Philippines, Poland, Portugal, Qatar, Romania, Russia, Rwanda, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Saudi Arabia, Senegal, Serbia, Sierra Leone, Slovakia, Slovenia, Solomon Islands, South Africa, Spain, Sri Lanka, Sudan, Swaziland, Sweden, Switzerland, Syria, Taiwan, Tanzania, Thailand, Togo, Tonga, Trinidad and Tobago, Tunisia, Turkey, Uganda, UK, Ukraine, Uruguay, USA, Venezuela, Viet Nam, Yemen

*Note:* On joining the protocol, some states entered reservations which upheld their right to employ chemical or biological weapons against non-parties to the protocol, against coalitions which included non-parties or in response to the use of these weapons by a violating party. Many of these states have withdrawn these reservations, particularly after the conclusion of the 1972 Biological and Toxin Weapons Convention and the 1993 Chemical Weapons Convention since the reservations are incompatible with their obligation under the conventions.

In addition to these, ‘explicit’, reservations, a number of states that made a declaration of succession to the protocol on gaining independence inherited ‘implicit’ reservations from their respective predecessor states. For example, these implicit reservations apply to the states that gained independence from France and the UK before the latter states withdrew or amended their reservations. States that acceded (rather than succeeded) to the protocol did not inherit reservations in this way.


**Convention on the Prevention and Punishment of the Crime of Genocide (Genocide Convention)**

_Adopted at Paris by the UN General Assembly on 9 December 1948; entered into force on 12 January 1951; depositary UN Secretary-General_

Under the convention any commission of acts intended to destroy, in whole or in part, a national, ethnic, racial or religious group as such is declared to be a crime punishable under international law.
Parties (142): Afghanistan, Albania*, Algeria*, Andorra, Antigua and Barbuda, Argentina*, Armenia, Australia, Austria, Azerbaijan, Bahamas, Bahrain*, Bangladesh*, Barbados, Belarus*, Belgium, Belize, Bolivia, Bosnia and Herzegovina, Brazil, Bulgaria*, Burkina Faso, Burundi, Cambodia, Canada, Cape Verde, Chile, China*, Colombia, Comoros, Congo (Democratic Republic of the), Costa Rica, Côte d’Ivoire, Croatia, Cuba, Cyprus, Czech Republic, Denmark, Ecuador, Egypt, El Salvador, Estonia, Ethiopia, Fiji, Finland, France, Gabon, Gambia, Georgia, Germany, Ghana, Greece, Guatemala, Guinea, Haiti, Honduras, Hungary*, Iceland, India*, Iran, Iraq, Ireland, Israel, Italy, Jamaica, Jordan, Kazakhstan, Korea (North), Korea (South), Kuwait, Kyrgyzstan, Laos, Latvia, Lebanon, Lesotho, Liberia, Libya, Liechtenstein, Lithuania, Luxembourg, Macedonia (Former Yugoslav Republic of), Malaysia*, Maldives, Mali, Mexico, Moldova, Monaco, Mongolia*, Montenegro*, Morocco*, Mozambique, Myanmar*, Namibia, Nepal, Netherlands, New Zealand, Nicaragua, Nigeria, Norway, Pakistan, Panama, Papua New Guinea, Paraguay, Peru, Philippines*, Poland*, Portugal*, Romania*, Russia*, Rwanda*, Saint Vincent and the Grenadines, Saudi Arabia, Senegal, Serbia*, Seychelles, Singapore*, Slovakia, Slovenia, South Africa, Spain*, Sri Lanka, Sudan, Sweden, Switzerland, Syria, Tanzania, Togo, Tonga, Trinidad and Tobago, Tunisia, Turkey, Uganda, UK, Ukraine*, United Arab Emirates, Uruguay, USA*, Uzbekistan, Venezuela*, Viet Nam*, Yemen*, Zimbabwe

* With reservation and/or declaration.

Signed but not ratified (1): Dominican Republic


Geneva Convention (IV) Relative to the Protection of Civilian Persons in Time of War

Signed at Geneva on 12 August 1949; entered into force on 21 October 1950; depositary Swiss Federal Council

The Geneva Convention (IV) establishes rules for the protection of civilians in areas covered by war and in occupied territories. This convention was formulated at the diplomatic conference held from 21 April to 12 August 1949. Other conventions adopted at the same time were: Convention (I) for the Amelioration of the Condition of the Wounded and Sick in Armed Forces in the Field; Convention (II) for the Amelioration of the Condition of the Wounded, Sick and Shipwrecked Members of Armed Forces at Sea; and Convention (III) Relative to the Treatment of Prisoners of War.

Parties (194): Afghanistan, Albania*, Algeria, Andorra, Angola*, Antigua and Barbuda, Argentina, Armenia, Australia*, Austria, Azerbaijan, Bahamas, Bahrain, Bangladesh*, Barbados*, Belarus, Belgium, Belize, Benin, Bhutan, Bolivia, Bosnia and Herzegovina, Botswana, Brazil, Brunei Darussalam, Bulgaria, Burkina Faso, Burundi, Cambodia, Cameroon, Canada, Cape Verde, Central African Republic, Chad, Chile, China*, Colombia, Comoros, Congo (Democratic Republic of the), Congo (Republic of the), Cook Islands, Costa Rica, Côte d’Ivoire, Croatia, Cuba, Cyprus, Czech Republic*, Denmark, Djibouti, Dominica, Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Estonia, Eritrea, Ethiopia, Fiji, Finland, France, Gabon, Gambia, Georgia, Germany*, Ghana, Greece, Grenada, Guatemala, Guinea, Guinea-Bissau*, Guyana, Haiti, Holy See, Honduras, Hungary, Iceland, India, Indonesia, Iran*, Iraq, Israel, Italy, Jamaica, Japan, Jordan, Kazakhstan, Kenya, Kiribati, Korea (North)*, Korea (South)*, Kuwait*, Kyrgyzstan, Laos, Latvia, Lebanon, Lesotho, Liberia, Libya, Liechtenstein, Lithuania, Luxembourg, Macedonia (Former Yugoslav Republic of)*, Madagascar, Malawi, Malaysia, Maldives, Mali, Malta, Marshall Islands, M}
Mauritania, Mauritius, Mexico, Micronesia, Moldova, Monaco, Mongolia, Montenegro, Morocco, Mozambique, Myanmar, Namibia, Nauru, Nepal, Netherlands, New Zealand*, Nicaragua, Niger, Nigeria, Norway, Oman, Pakistan*, Palau, Panama, Papua New Guinea, Paraguay, Peru, Philippines, Poland, Portugal*, Qatar, Romania, Russia*, Rwanda, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Samoa, San Marino, Sao Tome and Principe, Saudi Arabia, Senegal, Serbia, Seychelles, Sierra Leone, Singapore, Slovakia, Slovenia, Solomon Islands, Somalia, South Africa, Spain, Sri Lanka, Sudan, Suriname*, Swaziland, Sweden, Switzerland, Syria, Tajikistan, Tanzania, Thailand, Timor-Leste, Togo, Tonga, Trinidad and Tobago, Tunisia, Turkey, Turkmenistan, Tuvalu, Uganda, UK*, Ukraine*, United Arab Emirates, Uruguay*, USA*, Uzbekistan, Vanuatu, Venezuela, Viet Nam*, Yemen*, Zambia, Zimbabwe

* With reservation and/or declaration.

Note: In 1989 the Palestine Liberation Organization (PLO) informed the depositary that it had decided to adhere to the four Geneva conventions and the protocols of 1977.


**Protocol I Additional to the 1949 Geneva Conventions, and Relating to the Protection of Victims of International Armed Conflicts**

**Protocol II Additional to the 1949 Geneva Conventions, and Relating to the Protection of Victims of Non-International Armed Conflicts**

*Opened for signature at Bern on 12 December 1977; entered into force on 7 December 1978; depositary Swiss Federal Council*

The protocols confirm that the right of parties that are engaged in international or non-international armed conflicts to choose methods or means of warfare is not unlimited and that the use of weapons or means of warfare that cause superfluous injury or unnecessary suffering is prohibited.


* With reservation and/or declaration.
1 Party only to Protocol I.
2 Party only to Protocol II.


Antarctic Treaty

Signed at Washington, DC, on 1 December 1959; entered into force on 23 June 1961; depositary US Government

The treaty declares the Antarctic an area to be used exclusively for peaceful purposes. It prohibits any measure of a military nature in the Antarctic, such as the establishment of military bases and fortifications, and the carrying out of military manoeuvres or the testing of any type of weapon. The treaty bans any nuclear explosion as well as the disposal of radioactive waste material in Antarctica. The treaty provides a right of on-site inspection of all stations and installations in Antarctica to ensure compliance with its provisions.

In accordance with Article IX, consultative meetings are convened at regular intervals to exchange information and hold consultations on matters pertaining to Antarctica, as well as to recommend to the governments measures in furtherance of the principles and objectives of the treaty.

The treaty is open for accession by UN members or by other states invited to accede with the consent of all the parties entitled to participate in the consultative meetings provided for in Article IX. States demonstrating their interest in Antarctica by conducting substantial scientific research activity there, such as the establishment of a scientific station or the despatch of a scientific expedition, are entitled to become consultative members.


* This state is a consultative member under Article IX of the treaty.


Treaty Banning Nuclear Weapon Tests in the Atmosphere, in Outer Space and Under Water (Partial Test-Ban Treaty, PTBT)

Signed at Moscow by three original parties on 5 August 1963 and opened for signature by other states at London, Moscow and Washington, DC, on 8 August 1963; entered into force on 10 October 1963; depositaries British, Russian and US governments

The treaty prohibits the carrying out of any nuclear weapon test explosion or any other nuclear explosion: (a) in the atmosphere, beyond its limits, including outer space, or under water, including territorial waters or high seas; and (b) in any other environment if such explosion causes radioactive debris to be present outside the territorial limits of the state under whose jurisdiction or control the explosion is conducted.

Parties (126): Afghanistan, Antigua and Barbuda, Argentina, Armenia, Australia, Austria, Bahamas, Bangladesh, Belarus, Belgium, Benin, Bhutan, Bolivia, Bosnia and Herzegovina, Botswana, Brazil, Bulgaria, Canada, Cape Verde, Central African Republic, Chad, Chile, Colombia, Congo (Democratic Republic of the), Costa Rica, Côte d’Ivoire, Croatia, Cyprus, Czech Republic, Denmark, Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Fiji, Finland, Gabon, Gambia, Germany, Ghana, Greece, Guatemala, Guinea-Bissau, Honduras, Hungary, Iceland, Indonesia, Iran, Iraq, Ireland, Israel, Italy, Jamaica, Japan, Jordan, Kenya, Korea (South), Kuwait, Laos, Lebanon, Liberia, Libya, Luxembourg, Madagascar, Malawi, Malaysia, Malta, Mauritania, Mauritius, Mexico, Mongolia, Montenegro, Morocco, Myanmar, Nepal, Netherlands, New Zealand, Nicaragua, Niger, Nigeria, Norway, Pakistan, Panama, Papua New Guinea, Peru, Philippines, Poland, Romania, Russia, Rwanda, Samoa, San Marino, Senegal, Serbia, Seychelles, Sierra Leone, Singapore, Slovakia, Slovenia, South Africa, Spain, Sri Lanka, Sudan, Suriname, Swaziland, Sweden, Switzerland, Syria, Taiwan, Tanzania, Thailand, Togo, Tonga, Trinidad and Tobago, Tunisia, Turkey, Uganda, UK, Ukraine, Uruguay, USA, Venezuela, Yemen, Zambia

Signed but not ratified (11): Algeria, Burkina Faso, Burundi, Cameroon, Ethiopia, Haiti, Mali, Paraguay, Portugal, Somalia, Viet Nam


Treaty on Principles Governing the Activities of States in the Exploration and Use of Outer Space, Including the Moon and Other Celestial Bodies (Outer Space Treaty)

Opened for signature at London, Moscow and Washington, DC, on 27 January 1967; entered into force on 10 October 1967; depositaries British, Russian and US governments

The treaty prohibits the placing into orbit around the earth of any object carrying nuclear weapons or any other kind of weapons of mass destruction, the installation of such weapons on celestial bodies, or the stationing of them in outer space in any other manner. The establishment of military bases, installations and fortifications, the testing of any type of weapons and the conducting of military manoeuvres on celestial bodies are also forbidden.

Parties (108): Afghanistan, Algeria, Antigua and Barbuda, Argentina, Australia, Austria, Bahamas, Bangladesh, Barbados, Belarus, Belgium, Benin, Brazil, Brunei Darussalam, Bulgaria,
Burkina Faso, Canada, Chile, China, Cuba, Cyprus, Czech Republic, Denmark, Dominica, Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Fiji, Finland, France, Germany, Greece, Grenada, Guinea-Bissau, Hungary, Iceland, India, Indonesia, Iraq, Ireland, Israel, Italy, Jamaica, Japan, Kazakhstan, Kenya, Korea (South), Kuwait, Laos, Lebanon, Libya, Luxembourg, Madagascar, Mali, Mauritius, Mexico, Mongolia, Montenegro, Morocco, Myanmar, Nepal, Netherlands, New Zealand, Niger, Nigeria, Norway, Pakistan, Papua New Guinea, Peru, Poland, Portugal, Romania, Russia, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, San Marino, Saudi Arabia, Seychelles, Sierra Leone, Singapore, Slovakia, Solomon Islands, South Africa, Spain, Sri Lanka, Swaziland, Sweden, Switzerland, Syria, Taiwan, Thailand, Togo, Tonga, Tunisia, Turkey, Uganda, UK, Ukraine, United Arab Emirates, Uruguay, USA, Venezuela, Viet Nam, Yemen, Zambia

Signed but not ratified (27): Bolivia, Botswana, Burundi, Cameroon, Central African Republic, Colombia, Congo (Democratic Republic of the), Congo (Republic of the), Ethiopia, Gambia, Ghana, Guyana, Haiti, Holy See, Honduras, Iran, Jordan, Lesotho, Macedonia (Former Yugoslav Republic of), Malaysia, Nicaragua, Panama, Philippines, Rwanda, Serbia, Somalia, Trinidad and Tobago


**Treaty on the Non-Proliferation of Nuclear Weapons (Non-Proliferation Treaty, NPT)**

*Opened for signature at London, Moscow and Washington, DC, on 1 July 1968; entered into force on 5 March 1970; depositaries British, Russian and US governments*

The treaty prohibits the transfer by a nuclear weapon state—defined in the treaty as those which have manufactured and exploded a nuclear weapon or other nuclear explosive device prior to 1 January 1967—to any recipient whatsoever of nuclear weapons or other nuclear explosive devices or of control over them, as well as the assistance, encouragement or inducement of any non-nuclear weapon state to manufacture or otherwise acquire such weapons or devices. It also prohibits the receipt by non-nuclear weapon states from any transferor whatsoever, as well as the manufacture or other acquisition by those states, of nuclear weapons or other nuclear explosive devices.

The parties undertake to facilitate the exchange of equipment, materials and scientific and technological information for the peaceful uses of nuclear energy and to ensure that potential benefits from peaceful applications of nuclear explosions will be made available to non-nuclear weapon parties to the treaty. They also undertake to pursue negotiations in good faith on effective measures relating to cessation of the nuclear arms race at an early date and to nuclear disarmament, and on a treaty on general and complete disarmament.

Non-nuclear weapon states undertake to conclude safeguard agreements with the International Atomic Energy Agency (IAEA) with a view to preventing diversion of nuclear energy from peaceful uses to nuclear weapons or other nuclear explosive devices. A Model Protocol Additional to the Safeguards Agreements, strengthening the measures, was approved in 1997; additional safeguards protocols are signed by states individually with the IAEA.
A Review and Extension Conference, convened in 1995 in accordance with the treaty, decided that the treaty should remain in force indefinitely.


* Party with safeguards agreements in force with the IAEA, as required by the treaty, or concluded by a nuclear weapon state, as defined in the treaty, on a voluntary basis.


**Additional Safeguards Protocols in force (115):** Afghanistan, Albania, Andorra, Angola, Armenia, Australia, Austria, Azerbaijan, Bahrain, Bangladesh, Belgium, Botswana, Bulgaria, Burkina Faso, Burundi, Canada, Central African Republic, Chad, Chile, China, Colombia, Comoros, Congo (Democratic Republic of the), Congo (Republic of), Costa Rica, Croatia, Cuba, Cyprus, Czech Republic, Denmark, Dominican Republic, Ecuador, El Salvador, Estonia, Euratom, Fiji, Finland, France, Gabon, Gambia, Georgia, Germany, Ghana, Greece, Guatemala, Haiti, Holy See, Hungary, Iceland, Indonesia, Ireland, Italy, Jamaica, Japan, Jordan, Kazakhstan, Kenya, Korea (South), Kuwait, Kyrgyzstan, Latvia, Lesotho, Libya, Lithuania, Luxembourg, Macedonia (Former Yugoslav Republic of), Madagascar, Malawi, Mali, Malta, Marshall Islands, Mauritius, Mexico, Monaco, Mongolia, Montenegro, Morocco, Mozambique, Netherlands, New Zealand, Nicaragua, Niger, Nigeria, Norway, Palau, Panama, Paraguay, Peru, Philippines, Poland, Portugal, Romania, Russia, Rwanda, Seychelles, Singapore, Slovakia, South Africa, Spain, Swaziland, Sweden, Switzerland, Tajikistan, Tanzania, Turkey, Turkmenistan, Uganda, UK, Ukraine, United Arab Emirates, Uruguay, USA, Uzbekistan

**Notes:** On 6 Feb. 2007 Iran informed the IAEA that it would no longer act in accordance with the provisions of its unratified Additional Safeguards Protocol. Taiwan, although it has not concluded a safeguards agreement, has agreed to apply the measures contained in the 1997 Model Additional Safeguards Protocol.

Treaty on the Prohibition of the Emplacement of Nuclear Weapons and other Weapons of Mass Destruction on the Seabed and the Ocean Floor and in the Subsoil thereof (Seabed Treaty)

Opened for signature at London, Moscow and Washington, DC, on 11 February 1971; entered into force on 18 May 1972; depositaries British, Russian and US governments

The treaty prohibits implanting or emplacing on the seabed and the ocean floor and in the subsoil thereof beyond the outer limit of a 12-mile (19-kilometre) seabed zone any nuclear weapons or any other types of weapons of mass destruction as well as structures, launching installations or any other facilities specifically designed for storing, testing or using such weapons.

Parties (97): Afghanistan, Algeria, Antigua and Barbuda, Argentina, Australia, Austria, Bahamas, Belarus, Belgium, Benin, Bosnia and Herzegovina, Botswana, Brazil\(^1\), Bulgaria, Canada\(^2\), Cape Verde, Central African Republic, China, Congo (Republic of the), Côte d'Ivoire, Croatia, Cuba, Cyprus, Czech Republic, Denmark, Dominican Republic, Equatorial Guinea, Ethiopia, Finland, Germany, Ghana, Greece, Guatemala, Guinea-Bissau, Hungary, Iceland, India\(^3\), Iran, Iraq, Ireland, Italy\(^4\), Jamaica, Japan, Jordan, Korea (South), Laos, Latvia, Lesotho, Libya, Liechtenstein, Luxembourg, Malaysia, Malta, Mauritius, Mexico\(^5\), Mongolia, Montenegro, Morocco, Nepal, Netherlands, New Zealand, Nicaragua, Niger, Norway, Panama, Philippines, Poland, Portugal, Qatar, Romania, Russia, Rwanda, Saint Kitts and Nevis, Saint Vincent and the Grenadines, Sao Tome and Principe, Saudi Arabia, Serbia\(^6\), Seychelles, Singapore, Slovakia, Slovenia, Solomon Islands, South Africa, Spain, Swaziland, Sweden, Switzerland, Taiwan, Togo, Tunisia, Turkey\(^7\), UK, Ukraine, USA, Viet Nam\(^8\), Yemen, Zambia

\(^1\) It is the understanding of Brazil that the word ‘observation’, as it appears in para. 1 of Article III of the treaty, refers only to observation that is incidental to the normal course of navigation in accordance with international law.

\(^2\) Canada declared that Article I, para. 1, cannot be interpreted as indicating that any state has a right to implant or emplace any weapons not prohibited under Article I, para. 1, on the seabed and ocean floor, and in the subsoil thereof, beyond the limits of national jurisdiction, or as constituting any limitation on the principle that this area of the seabed and ocean floor and the subsoil thereof shall be reserved for exclusively peaceful purposes. Articles I, II and III cannot be interpreted as indicating that any state but the coastal state has any right to implant or emplace any weapon not prohibited under Article I, para. 1 on the continental shelf, or the subsoil thereof, appertaining to that coastal state, beyond the outer limit of the seabed zone referred to in Article I and defined in Article II. Article III cannot be interpreted as indicating any restrictions or limitation upon the rights of the coastal state, consistent with its exclusive sovereign rights with respect to the continental shelf, to verify, inspect or effect the removal of any weapon, structure, installation, facility or device implanted or emplaced on the continental shelf, or the subsoil thereof, appertaining to that coastal state, beyond the outer limit of the seabed zone referred to in Article I and defined in Article II.

\(^3\) The accession by India is based on its position that it has full and exclusive rights over the continental shelf adjoining its territory and beyond its territorial waters and the subsoil thereof. There cannot, therefore, be any restriction on, or limitation of, the sovereign right of India as a coastal state to verify, inspect, remove or destroy any weapon, device, structure, installation or facility, which might be implanted or emplaced on or beneath its continental shelf by any other country, or to take such other steps as may be considered necessary to safeguard its security.

\(^4\) Italy stated, inter alia, that in the case of agreements on further measures in the field of disarmament to prevent an arms race on the seabed and ocean floor and in their subsoil, the question of
the delimitation of the area within which these measures would find application shall have to be examined and solved in each instance in accordance with the nature of the measures to be adopted.  

5 Mexico declared that the treaty cannot be interpreted to mean that a state has the right to emplace weapons of mass destruction, or arms or military equipment of any type, on the continental shelf of Mexico. It reserves the right to verify, inspect, remove or destroy any weapon, structure, installation, device or equipment placed on its continental shelf, including nuclear weapons or other weapons of mass destruction.  

6 In 1974 the Ambassador of Yugoslavia transmitted to the US Secretary of State a note stating that in the view of the Yugoslav Government, Article III, para. 1, of the treaty should be interpreted in such a way that a state exercising its right under this article shall be obliged to notify in advance the coastal state, in so far as its observations are to be carried out ‘within the stretch of the sea extending above the continental shelf of the said state’. The USA objected to the Yugoslav reservation, which it considered incompatible with the object and purpose of the treaty.  

7 Turkey declared that the provisions of Article II cannot be used by a state party in support of claims other than those related to disarmament. Hence, Article II cannot be interpreted as establishing a link with the UN Convention on the Law of the Sea. Furthermore, no provision of the Seabed Treaty confers on parties the right to militarize zones which have been demilitarized by other international instruments. Nor can it be interpreted as conferring on the coastal states or other states the right to emplace nuclear weapons or other weapons of mass destruction on the continental shelf of a demilitarized territory.  

8 Viet Nam stated that no provision of the treaty should be interpreted in a way that would contradict the rights of the coastal states with regard to their continental shelf, including the right to take measures to ensure their security.

Signed but not ratified (20): Bolivia, Burundi, Cambodia, Cameroon, Colombia, Costa Rica, Gambia, Guinea, Honduras, Lebanon, Liberia, Madagascar, Mali, Myanmar, Paraguay, Senegal, Sierra Leone, Sudan, Tanzania, Uruguay


Convention on the Prohibition of the Development, Production and Stockpiling of Bacteriological (Biological) and Toxin Weapons and on their Destruction (Biological and Toxin Weapons Convention, BTWC)

Opened for signature at London, Moscow and Washington, DC, on 10 April 1972; entered into force on 26 March 1975; depositaries British, Russian and US governments

The convention prohibits the development, production, stockpiling or acquisition by other means or retention of microbial or other biological agents or toxins whatever their origin or method of production of types and in quantities that have no justification of prophylactic, protective or other peaceful purposes, as well as weapons, equipment or means of delivery designed to use such agents or toxins for hostile purposes or in armed conflict. The destruction of the agents, toxins, weapons, equipment and means of delivery in the possession of the parties, or their diversion to peaceful purposes, should be effected not later than nine months after the entry into force of the convention for each country. The parties hold annual political and technical meetings to strengthen implementation of the convention. A three-person Implementation Support Unit (ISU), based in Geneva, supports the parties in implementing the treaty, including facilitating the collection and distribution of annual confidence-building measures and supporting their efforts to achieve universal membership.
**Convention on the Prohibition of Military or Any Other Hostile Use of Environmental Modification Techniques (Enmod Convention)**

*Opened for signature at Geneva on 18 May 1977; entered into force on 5 October 1978; depositary UN Secretary-General*

The convention prohibits military or any other hostile use of environmental modification techniques having widespread, long-lasting or severe effects as the means of destruction, damage or injury to states party to the convention. The term ‘environmental modification techniques’ refers to any technique for changing—through the deliberate manipulation of natural processes—the dynamics, composition or structure of the earth, including its biota, lithosphere, hydrosphere and atmosphere, or of outer space. The understandings reached during the negotiations, but not written into the convention, define the terms ‘widespread’, ‘long-lasting’ and ‘severe’.

**Parties (76):** Afghanistan, Algeria, Antigua and Barbuda, Argentina, Armenia, Austria, Bangladesh, Belarus, Belgium, Benin, Brazil, Bulgaria, Cameroon, Canada, Cape Verde, Chile, China*, Costa Rica, Cuba, Cyprus, Czech Republic, Denmark, Dominica, Egypt, Estonia, Finland, Germany, Ghana, Greece, Guatemala, Honduras, Hungary, India, Ireland, Italy, Japan, Kazakhstan, Korea (North), Korea (South)*, Kuwait, Lithuania, Laos, Malawi, Mauritius, Mongolia, Netherlands*, New Zealand, Nicaragua, Niger, Norway, Pakistan, Panama, Papua New Guinea, Poland, Romania, Russia, Saint Lucia, Saint Vincent and the Grenadines, Sao Tome and Principe, Slovakia, Slovenia, Solomon Islands, Spain, Sri Lanka,
Sweden, Switzerland, Tajikistan, Tunisia, UK, Ukraine, Uruguay, USA, Uzbekistan, Viet Nam, Yemen

* With declaration.

Signed but not ratified (16): Bolivia, Congo (Democratic Republic of the), Ethiopia, Holy See, Iceland, Iran, Iraq, Lebanon, Liberia, Luxembourg, Morocco, Portugal, Sierra Leone, Syria, Turkey, Uganda


**Convention on the Physical Protection of Nuclear Material**

*Original convention opened for signature at New York and Vienna on 3 March 1980; entered into force on 8 February 1987; convention amended in 2005; depositary IAEA Director General*

The original convention obligates the parties to protect nuclear material for peaceful purposes while in international transport.

The amended convention—renamed the **Convention on the Physical Protection of Nuclear Material and Nuclear Facilities**—will obligate the parties to protect nuclear facilities and material used for peaceful purposes while in storage as well as transport. The amendments will take effect 30 days after they have been ratified, accepted or approved by two-thirds of the states parties to the convention.


* With reservation and/or declaration.

Signed but not ratified (1): Haiti


Ratifications, acceptances or approvals of the amended convention deposited (52): Algeria, Antigua and Barbuda, Argentina, Australia, Austria, Bahrain, Bosnia and Herzegovina,
Bulgaria, Chile, China, Croatia, Czech Republic, Denmark*, Estonia, Fiji, Finland, Gabon, Germany, Greece, Hungary, India, Indonesia, Jordan, Kazakhstan, Kenya, Latvia, Libya, Liechtenstein, Lithuania, Macedonia (Former Yugoslav Republic of), Mali, Mauritania, Moldova, Nauru, Netherlands, Niger, Nigeria, Norway, Poland, Portugal, Romania, Russia, Saudi Arabia, Seychelles, Slovenia, Spain, Switzerland, Tunisia, Turkmenistan, UK, Ukraine, United Arab Emirates

* With reservation and/or declaration.


Convention on Prohibitions or Restrictions on the Use of Certain Conventional Weapons which may be Deemed to be Excessively Injurious or to have Indiscriminate Effects (CCW Convention, or ‘Inhumane Weapons’ Convention)

The convention, with protocols I, II and III, opened for signature at New York on 10 April 1981; entered into force on 2 December 1983; depositary UN Secretary-General

The convention is an ‘umbrella treaty’, under which specific agreements can be concluded in the form of protocols. In order to become a party to the convention a state must ratify at least two of the protocols.

The amendment to Article I of the original convention was opened for signature at Geneva on 21 November 2001. It expands the scope of application to non-international armed conflicts. The amended convention entered into force on 18 May 2004.

Protocol I prohibits the use of weapons intended to injure by fragments which are not detectable in the human body by X-rays.

Protocol II prohibits or restricts the use of mines, booby-traps and other devices.

Amended Protocol II, which entered into force on 3 December 1998, reinforces the constraints regarding anti-personnel mines.

Protocol III restricts the use of incendiary weapons.

Protocol IV, which entered into force on 30 July 1998, prohibits the employment of laser weapons specifically designed to cause permanent blindness to unenhanced vision.

Protocol V, which entered into force on 12 November 2006, recognizes the need for measures of a generic nature to minimize the risks and effects of explosive remnants of war.

Parties to the original convention and protocols (114): Albania, Antigua and Barbuda2, Argentina*, Australia, Austria, Bangladesh, Belarus, Belgium, Benin1, Bolivia, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Cambodia, Cameroon, Canada*, Cape Verde, Chile1, China*, Colombia, Costa Rica, Croatia, Cuba, Cyprus*, Czech Republic, Denmark, Djibouti, Dominican Republic, Ecuador, El Salvador, Estonia1, Finland, France*, Gabon1, Georgia, Germany, Greece, Guatemala, Guinea-Bissau, Holy See*, Honduras, Hungary, Iceland, India, Ireland, Israel1, Italy*, Jamaica1, Japan, Jordan1, Kazakhstan1, Korea (South)3, Laos, Latvia, Lesotho, Liberia, Liechtenstein, Lithuania1, Luxembourg, Macedonia (Former
Parties to the amended convention and original protocols (75): Albania, Argentina, Australia, Austria, Belarus, Belgium, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Canada, Chile, China, Colombia, Costa Rica, Croatia, Cuba, Czech Republic, Denmark, Dominican Republic, Ecuador, El Salvador, Estonia, Finland, France, Georgia, Germany, Greece, Guatemala, Guinea-Bissau, Holy See*, Hungary, Iceland, India, Ireland, Italy, Japan, Korea (South), Latvia, Liberia, Liechtenstein, Lithuania, Luxembourg, Macedonia (Former Yugoslav Republic of), Malta, Mexico*, Moldova, Montenegro, Netherlands, New Zealand, Nicaragua, Niger, Norway, Panama, Paraguay, Peru, Poland, Portugal, Romania, Russia, Serbia, Sierra Leone, Slovakia, Slovenia, Spain, Sri Lanka, Sweden, Switzerland, Tunisia, Turkey, UK, Ukraine, United Arab Emirates*, Uruguay, USA*, Uzbekistan, Venezuela

Signed but not ratified the original convention and protocols (5): Afghanistan, Egypt, Nigeria, Sudan, Viet Nam

Parties to the amended convention and original protocols (75): Albania, Argentina, Australia, Austria, Belarus, Belgium, Bosnia and Herzegovina, Brazil, Bulgaria, Burkina Faso, Canada, Chile, China, Colombia, Costa Rica, Croatia, Cuba, Czech Republic, Denmark, Dominican Republic, Ecuador, El Salvador, Estonia, Finland, France, Georgia, Germany, Greece, Guatemala, Guinea-Bissau, Holy See*, Hungary, Iceland, India, Ireland, Italy, Japan, Korea (South), Latvia, Liberia, Liechtenstein, Lithuania, Luxembourg, Macedonia (Former Yugoslav Republic of), Malta, Mexico*, Moldova, Montenegro, Netherlands, New Zealand, Nicaragua, Niger, Norway, Panama, Paraguay, Peru, Poland, Portugal, Romania, Russia, Serbia, Sierra Leone, Slovakia, Slovenia, Spain, Sri Lanka, Sweden, Switzerland, Tunisia, Turkey, UK, Ukraine, United Arab Emirates*, Uruguay, USA*

* With reservation and/or declaration.
1 Party only to 1981 protocols I and III.
2 Party only to 1981 protocols I and II.
3 Party only to 1981 Protocol I.
4 Party only to 1981 Protocol II.
5 Party only to 1981 Protocol III.
Pakistan, Panama, Paraguay, Peru, Philippines, Poland*, Portugal, Qatar, Romania, Russia, Saint Vincent and the Grenadines, Saudi Arabia, Serbia, Seychelles, Sierra Leone, Slovakia, Slovenia, South Africa*, Spain, Sri Lanka, Sweden*, Switzerland*, Tajikistan, Tunisia, Turkey, UK*, Ukraine, Uruguay, USA*, Uzbekistan

* With reservation and/or declaration.

Parties to Protocol V (76): Albania, Argentina*, Australia, Austria, Belarus, Belgium, Bosnia and Herzegovina, Brazil, Bulgaria, Cameroon, Canada, Chile, China*, Costa Rica, Croatia, Cyprus, Czech Republic, Denmark, Dominican Republic, Ecuador, El Salvador, Estonia, Finland, France, Gabon, Georgia, Germany, Guatemala, Guinea-Bissau, Holy See*, Honduras, Hungary, Iceland, India, Ireland, Italy, Jamaica, Korea (South), Latvia, Liberia, Liechtenstein, Lithuania, Luxembourg, Macedonia (Former Yugoslav Republic of), Madagascar, Mali, Malta, Moldova, Netherlands, New Zealand*, Nicaragua, Norway, Pakistan, Panama, Paraguay, Peru, Poland, Portugal, Qatar, Romania, Russia, Saint Vincent and the Grenadines, Saudi Arabia, Senegal, Sierra Leone, Slovakia, Slovenia, Spain, Sweden, Switzerland, Tajikistan, Tunisia, Ukraine, United Arab Emirates, Uruguay, USA*

* With reservation and/or declaration.

Note: In addition to the 76 parties as of 1 Jan. 2012, South Africa deposited its instrument of ratification on 24 Jan. 2012 and Laos on 2 Feb. 2012


Conventional on the Prohibition of the Development, Production, Stockpiling and Use of Chemical Weapons and on their Destruction (Chemical Weapons Convention, CWC)

Opened for signature at Paris on 13 January 1993; entered into force on 29 April 1997; depositary UN Secretary-General

The convention prohibits the development, production, acquisition, transfer, stockpiling and use of chemical weapons. The CWC regime consists of four ‘pillars’: disarmament, non-proliferation, assistance and protection against chemical weapons, and international cooperation on the peaceful uses of chemistry.

Each party undertakes to destroy its chemical weapons by 29 April 2012. Old and abandoned chemical weapons will continue to be destroyed as they are uncovered from, for example, former battlefields.

Parties (188): Afghanistan, Albania, Algeria, Andorra, Antigua and Barbuda, Argentina, Armenia, Australia, Austria, Azerbaijan, Bahamas, Bahrain, Bangladesh, Barbados, Belarus, Belgium, Belize, Benin, Bhutan, Bolivia, Bosnia and Herzegovina, Botswana, Brazil, Brunei Darussalam, Bulgaria, Burkina Faso, Burundi, Cambodia, Cameroon, Canada, Cape Verde, Central African Republic, Chad, Chile, China, Colombia, Comoros, Congo (Democratic Republic of the), Congo (Republic of the), Cook Islands, Costa Rica, Côte d’Ivoire, Croatia, Cuba, Cyprus, Czech Republic, Denmark, Djibouti, Dominica, Dominican Republic, Ecuador, El Salvador, Equatorial Guinea, Eritrea, Estonia, Ethiopia, Fiji, Finland, France, Gabon, Gambia, Georgia, Germany, Ghana, Greece, Grenada, Guatemala, Guinea, Guinea-Bissau, Guyana, Haiti, Holy See, Honduras, Hungary, Iceland, India, Indonesia, Iran, Iraq, Ireland, Italy, Jamaica, Japan, Jordan, Kazakhstan, Kenya, Kiribati, Korea (South), Kuwait, Kyrgyzstan, Laos, Latvia, Lebanon, Lesotho, Liberia, Libya, Liechtenstein, Lithuania, Luxembourg, Macedonia (Former Yugoslav Republic of), Madagascar, Malawi, Malaysia, Maldives, Mali, Malta, Marshall Islands, Mauritania, Mauritius, Mexico, Micronesia, Moldova, Monaco,
Mongolia, Montenegro, Morocco, Mozambique, Namibia, Nauru, Nepal, Netherlands, New Zealand, Nicaragua, Niger, Nigeria, Niue, Norway, Oman, Pakistan, Palau, Panama, Papua New Guinea, Paraguay, Peru, Philippines, Poland, Portugal, Qatar, Romania, Russia, Rwanda, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Samoa, San Marino, Sao Tome and Principe, Saudi Arabia, Senegal, Serbia, Seychelles, Sierra Leone, Singapore, Slovakia, Slovenia, Solomon Islands, South Africa, Spain, Sri Lanka, Sudan, Suriname, Swaziland, Sweden, Switzerland, Tajikistan, Tanzania, Thailand, Timor-Leste, Togo, Tonga, Trinidad and Tobago, Tunisia, Turkey, Turkmenistan, Tuvalu, Uganda, UK, Ukraine, United Arab Emirates, Uruguay, USA, Uzbekistan, Vanuatu, Venezuela, Viet Nam, Yemen, Zambia, Zimbabwe

Signed but not ratified (2): Israel, Myanmar


**Comprehensive Nuclear-Test-Ban Treaty (CTBT)**

*Opened for signature at New York on 24 September 1996; not in force; depositary UN Secretary-General*

The treaty would prohibit the carrying out of any nuclear weapon test explosion or any other nuclear explosion, and urges each party to prevent any such nuclear explosion at any place under its jurisdiction or control and refrain from causing, encouraging or in any way participating in the carrying out of any nuclear weapon test explosion or any other nuclear explosion.

The treaty will enter into force 180 days after the date of the deposit of the instruments of ratification of the 44 states listed in an annex to the treaty. All the 44 states possess nuclear power reactors and/or nuclear research reactors.

*States whose ratification is required for entry into force (44)*: Algeria, Argentina, Australia, Austria, Bangladesh, Belgium, Brazil, Bulgaria, Canada, Chile, China*, Colombia, Congo (Democratic Republic of the), Egypt*, Finland, France, Germany, Hungary, India*, Indonesia, Iran*, Israel*, Italy, Japan, Korea (North)*, Korea (South), Mexico, Netherlands, Norway, Pakistan*, Peru, Poland, Romania, Russia, Slovakia, South Africa, Spain, Sweden, Switzerland, Turkey, UK, Ukraine, USA*, Viet Nam

* Has not ratified the treaty.

*Ratifications deposited (155)*: Afghanistan, Albania, Algeria, Andorra, Argentina, Antigua and Barbuda, Armenia, Australia, Austria, Azerbaijan, Bahamas, Bahrain, Bangladesh, Barbados, Belarus, Belgium, Belize, Benin, Bolivia, Bosnia and Herzegovina, Botswana, Brazil, Bulgaria, Burkina Faso, Burundi, Cambodia, Cameroon, Canada, Cape Verde, Central African Republic, Chile, Colombia, Congo (Democratic Republic of the), Cook Islands, Costa Rica, Côte d’Ivoire, Croatia, Cyprus, Czech Republic, Denmark, Djibouti, Dominican Republic, Ecuador, El Salvador, Eritrea, Estonia, Ethiopia, Fiji, Finland, France, Gabon, Georgia, Germany, Ghana, Greece, Grenada, Guinea, Guyana, Haiti, Holy See, Honduras, Hungary, Iceland, Ireland, Italy, Jamaica, Japan, Jordan, Kazakhstan, Kenya, Kiribati, Korea (South), Kuwait, Kyrgyzstan, Laos, Latvia, Lebanon, Lesotho, Liberia, Libya, Liechtenstein, Lithuania, Luxembourg, Macedonia (Former Yugoslav Republic of), Madagascar, Malawi, Malaysia, Maldives, Mali, Malta, Marshall Islands, Mauritania, Mexico, Micronesia, Moldova, Monaco, Mongolia, Montenegro, Morocco, Mozambique, Namibia, Nauru, Netherlands, New Zealand, Nicaragua, Niger, Nigeria, Norway, Oman, Palau, Panama, Paraguay, Peru, Philippines, Poland, Portugal, Qatar, Romania, Russia, Rwanda, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Samoa, San Marino, Senegal, Serbia, Seychelles, Sierra Leone, Singapore, Slovakia, Slovenia, South Africa, Spain, Sudan, Suriname, Sweden, Switzerland, Tajikistan, Tanzania,
ARMS CONTROL AND DISARMAMENT AGREEMENTS

Togo, Trinidad and Tobago, Tunisia, Turkey, Turkmenistan, Uganda, UK, Ukraine, United Arab Emirates, Uruguay, Uzbekistan, Vanuatu, Venezuela, Viet Nam, Zambia

Note: In addition to the 155 parties as of 1 Jan. 2012, Guatemala deposited its instrument of ratification on 12 Jan. 2012 (with a reservation and/or declaration) and Indonesia on 6 Feb. 2012.

Signed but not ratified (25): Angola, Brunei Darussalam, Chad, China, Comoros, Congo (Republic of the), Egypt, Equatorial Guinea, Gambia, Guinea-Bissau, Iran, Iraq, Israel, Myanmar, Nepal, Papua New Guinea, Sao Tome and Principe, Solomon Islands, Sri Lanka, Swaziland, Thailand, Timor-Leste, USA, Yemen, Zimbabwe


Convention on the Prohibition of the Use, Stockpiling, Production and Transfer of Anti-Personnel Mines and on their Destruction (APM Convention)

Opened for signature at Ottawa on 3–4 December 1997 and at New York on 5 December 1997; entered into force on 1 March 1999; depositary UN Secretary-General

The convention prohibits anti-personnel mines (APMs), which are defined as mines designed to be exploded by the presence, proximity or contact of a person and which will incapacitate, injure or kill one or more persons.

Each party undertakes to destroy all its stockpiled APMs as soon as possible but not later than four years after the entry into force of the convention for that state party. Each party also undertakes to destroy all APMs in mined areas under its jurisdiction or control not later than 10 years after the entry into force of the convention for that state party.

Parties (158): Afghanistan, Albania, Algeria, Andorra, Angola, Antigua and Barbuda, Argentina*, Australia*, Austria*, Bahamas, Bangladesh, Barbados, Belarus, Belgium, Belize, Benin, Bhutan, Bolivia, Bosnia and Herzegovina, Botswana, Brazil, Brunei Darussalam, Bulgaria, Burkina Faso, Burundi, Cambodia, Cameroon, Canada*, Cape Verde, Central African Republic, Chad, Chile*, Colombia, Comoros, Congo (Democratic Republic of the), Congo (Republic of the), Cook Islands, Costa Rica, Côte d’Ivoire, Croatia, Cyprus, Czech Republic*, Denmark, Djibouti, Dominica, Dominican Republic, Ecuador, El Salvador, Equatorial Guinea, Eritrea, Estonia, Ethiopia, Fiji, France, Gabon, Gambia, Germany, Ghana, Greece*, Grenada, Guatemala, Guinea, Guinea-Bissau, Guyana, Haiti, Holy See, Honduras, Hungary, Iceland, Indonesia, Iraq, Ireland, Italy, Jamaica, Japan, Jordan, Kenya, Kiribati, Kuwait, Latvia, Lesotho, Liberia, Liechtenstein, Lithuania*, Luxembourg, Macedonia (Former Yugoslav Republic of), Madagascar, Malawi, Malaysia, Maldives, Mali, Malta, Mauritania, Mauritius*, Mexico, Moldova, Monaco, Montenegro*, Mozambique, Namibia, Nauru, Netherlands, New Zealand, Nicaragua, Niger, Nigeria, Niue, Norway, Palau, Panama, Papua New Guinea, Paraguay, Peru, Philippines, Portugal, Qatar, Romania, Rwanda, Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Samoa, San Marino, Sao Tome and Principe, Senegal, Serbia*, Seychelles, Sierra Leone, Slovakia, Slovenia, Solomon Islands, South Africa*, South Sudan, Spain, Sudan, Suriname, Swaziland, Sweden*, Switzerland*, Tajikistan, Tanzania, Thailand, Timor-Leste, Togo, Trinidad and Tobago, Tunisia, Turkey, Turkmenistan, Tuvalu, Uganda, UK*, Ukraine, Uruguay, Vanuatu, Venezuela, Yemen, Zambia, Zimbabwe

* With reservation and/or declaration.

Note: In addition to the 158 parties as of 1 Jan. 2012, Finland deposited its instrument of ratification on 9 Jan. 2012.
**Convention on Cluster Munitions**

*Adopted at Dublin on 30 May 2008; opened for signature at Oslo on 3 December 2008; entered into force on 1 August 2010; depositary UN Secretary-General*

The convention’s objectives are to prohibit the use, production, transfer and stockpiling of cluster munitions that cause unacceptable harm to civilians, and to establish a framework for cooperation and assistance that ensures adequate provision of care and rehabilitation for victims, clearance of contaminated areas, risk reduction education and destruction of stockpiles. The convention does not apply to mines.

*Parties (67):* Afghanistan, Albania, Antigua and Barbuda, Austria, Belgium*, Bosnia and Herzegovina, Botswana, Bulgaria, Burkina Faso, Burundi, Cape Verde, Chile, Comoros, Cook Islands, Costa Rica, Croatia, Czech Republic, Denmark, Dominican Republic, Ecuador, El Salvador*, Fiji, France, Germany, Ghana, Grenada, Guatemala, Guinea-Bissau, Holy See*, Ireland, Italy, Japan, Laos, Lebanon, Lesotho, Lithuania, Luxembourg, Macedonia (Former Yugoslav Republic of), Malawi, Mali, Malta, Mexico, Moldova, Monaco, Montenegro, Mozambique, Netherlands, New Zealand, Nicaragua, Niger, Norway, Panama, Portugal, Samoa, Saint Vincent and the Grenadines, San Marino, Senegal, Seychelles, Sierra Leone, Slovenia, Spain, Swaziland, Trinidad and Tobago, Tunisia, UK, Uruguay, Zambia

* With reservation and/or declaration.

Note: In addition to the 67 parties as of 1 Jan. 2012, Mauritania deposited its instrument of ratification on 1 Feb. 2012.

*Signed but not ratified (43):* Angola, Australia, Benin, Bolivia, Cameroon, Canada, Central African Republic, Chad, Colombia, Congo (Democratic Republic of the), Congo (Republic of the), Côte d’Ivoire, Cyprus, Djibouti, Gambia, Guinea, Haiti, Honduras, Hungary, Iceland, Indonesia, Iraq, Jamaica, Kenya, Liberia, Liechtenstein, Madagascar, Namibia, Nauru, Nigeria, Palau, Paraguay, Peru, Philippines, Rwanda, Sao Tome and Principe, Somalia, South Africa, Sweden, Switzerland, Tanzania, Togo, Uganda


II. Regional treaties

**Treaty for the Prohibition of Nuclear Weapons in Latin America and the Caribbean (Treaty of Tlatelolco)**

*Original treaty opened for signature at Mexico City on 14 February 1967; entered into force on 22 April 1968; treaty amended in 1990, 1991 and 1992; depositary Mexican Government*

The treaty prohibits the testing, use, manufacture, production or acquisition by any means, as well as the receipt, storage, installation, deployment and any form of possession of any nuclear weapons by Latin American and Caribbean countries.
The parties should conclude agreements individually with the IAEA for the application of safeguards to their nuclear activities. The IAEA has the exclusive power to carry out special inspections.

The treaty is open for signature by all the independent states of the Latin American and Caribbean zone as defined in the treaty.

Under Additional Protocol I states with territories within the zone (France, the Netherlands, the UK and the USA) undertake to apply the statute of military denuclearization to these territories.

Under Additional Protocol II the recognized nuclear weapon states—China, France, Russia, the UK and the USA—undertake to respect the statute of military denuclearization of Latin America and the Caribbean and not to contribute to acts involving a violation of the treaty, nor to use or threaten to use nuclear weapons against the parties to the treaty.

Parties to the original treaty (33): Antigua and Barbuda, Argentina\(^1\), Bahamas, Barbados\(^1\), Belize\(^2\), Bolivia, Brazil\(^1\), Chile\(^1\), Colombia\(^1\), Costa Rica\(^1\), Cuba\(^1\), Dominica, Dominican Republic\(^3\), Ecuador\(^1\), El Salvador\(^1\), Grenada\(^1\), Guatemala\(^1\), Guyana\(^1\), Haiti, Honduras, Jamaica\(^1\), Mexico\(^1\), Nicaragua\(^3\), Panama\(^1\), Paraguay\(^1\), Peru\(^1\), Saint Kitts and Nevis, Saint Lucia, Saint Vincent and the Grenadines, Suriname\(^1\), Trinidad and Tobago, Uruguay\(^1\), Venezuela\(^1\)

\(^2\) Has ratified the amendments of 1990 and 1992 only.
\(^3\) Has ratified the amendment of 1992 only.
\(^4\) Has ratified the amendment of 1990 only.

Parties to Additional Protocol I (4): France\(^1\), Netherlands, UK\(^2\), USA\(^3\)

Parties to Additional Protocol II (5): China\(^4\), France\(^5\), Russia\(^6\), UK\(^2\), USA\(^7\)

\(^1\) France declared that Protocol I shall not apply to transit across French territories situated within the zone of the treaty, and destined for other French territories. The protocol shall not limit the participation of the populations of the French territories in the activities mentioned in Article 1 of the treaty, and in efforts connected with the national defence of France. France does not consider the zone defined in the treaty as established in accordance with international law; it cannot, therefore, agree that the treaty should apply to that zone.

\(^2\) When signing and ratifying protocols I and II, the UK made the following declarations of understanding: The signing and ratification by the UK could not be regarded as affecting in any way the legal status of any territory for the international relations of which the UK is responsible, lying within the limits of the geographical zone established by the treaty. Should any party to the treaty carry out any act of aggression with the support of a nuclear weapon state, the UK would be free to reconsider the extent to which it could be regarded as bound by the provisions of Protocol II.

\(^3\) The USA ratified Protocol I with the following understandings: The provisions of the treaty do not affect the exclusive power and legal competence under international law of a state adhering to this Protocol to grant or deny transit and transport privileges to its own or any other vessels or aircraft irrespective of cargo or armaments; the provisions do not affect rights under international law of a state adhering to this protocol regarding the exercise of the freedom of the seas, or regarding passage through or over waters subject to the sovereignty of a state. The declarations attached by the USA to its ratification of Protocol II apply also to Protocol I.

\(^4\) China declared that it will never send its means of transportation and delivery carrying nuclear weapons into the territory, territorial sea or airspace of Latin American countries.

\(^5\) France stated that it interprets the undertaking contained in Article 3 of Protocol II to mean that it presents no obstacle to the full exercise of the right of self-defence enshrined in Article 51 of the UN Charter; it takes note of the interpretation by the Preparatory Commission for the Denuclearization of Latin America according to which the treaty does not apply to transit, the granting or denying of which lies within the exclusive competence of each state party in accordance with international law. In 1974 France made a supplementary statement to the effect that it was prepared to consider its
obligations under Protocol II as applying not only to the signatories of the treaty, but also to the territories for which the statute of denuclearization was in force in conformity with Protocol I.

6 On signing and ratifying Protocol II, the USSR stated that it assumed that the effect of Article 1 of the treaty extends to any nuclear explosive device and that, accordingly, the carrying out by any party of nuclear explosions for peaceful purposes would be a violation of its obligations under Article 1 and would be incompatible with its non-nuclear weapon status. For states parties to the treaty, a solution to the problem of peaceful nuclear explosions can be found in accordance with the provisions of Article V of the NPT and within the framework of the international procedures of the IAEA. It declared that authorizing the transit of nuclear weapons in any form would be contrary to the objectives of the treaty.

Any actions undertaken by a state or states parties to the treaty which are not compatible with their non-nuclear weapon status, and also the commission by one or more states parties to the treaty of an act of aggression with the support of a state which is in possession of nuclear weapons or together with such a state, will be regarded by the USSR as incompatible with the obligations of those countries under the treaty. In such cases it would reserve the right to reconsider its obligations under Protocol II. It further reserves the right to reconsider its attitude to this protocol in the event of any actions on the part of other states possessing nuclear weapons which are incompatible with their obligations under the said protocol.

7 The USA signed and ratified Protocol II with the following declarations and understandings: Each of the parties retains exclusive power and legal competence to grant or deny non-parties transit and transport privileges. As regards the undertaking not to use or threaten to use nuclear weapons against the parties, the USA would consider that an armed attack by a party, in which it was assisted by a nuclear weapon state, would be incompatible with the treaty.


Amended treaty text: Agency for the Prohibition of Nuclear Weapons in Latin America and the Caribbean, <http://www.opanal.org/opanal/Tlatelolco/P-Tlatelolco-i.htm>

South Pacific Nuclear Free Zone Treaty (Treaty of Rarotonga)

Opened for signature at Rarotonga on 6 August 1985; entered into force on 11 December 1986; depositary Secretary General of the Pacific Islands Forum Secretariat

The treaty prohibits the manufacture or acquisition of any nuclear explosive device, as well as possession or control over such device by the parties anywhere inside or outside the zone defined in an annex. The parties also undertake not to supply nuclear material or equipment, unless subject to IAEA safeguards, and to prevent in their territories the stationing as well as the testing of any nuclear explosive device and undertake not to dump, and to prevent the dumping of, radioactive waste and other radioactive matter at sea anywhere within the zone. Each party remains free to allow visits, as well as transit, by foreign ships and aircraft.

The treaty is open for signature by the members of the Pacific Islands Forum.

Under Protocol 1 France, the UK and the USA undertake to apply the treaty prohibitions relating to the manufacture, stationing and testing of nuclear explosive devices in the territories situated within the zone for which they are internationally responsible.

Under Protocol 2 China, France, Russia, the UK and the USA undertake not to use or threaten to use a nuclear explosive device against the parties to the treaty or against any territory within the zone for which a party to Protocol 1 is internationally responsible.
Under Protocol 3 China, France, Russia, the UK and the USA undertake not to test any nuclear explosive device anywhere within the zone.

*Parties (13):* Australia, Cook Islands, Fiji, Kiribati, Nauru, New Zealand, Niue, Papua New Guinea, Samoa, Solomon Islands, Tonga, Tuvalu, Vanuatu

*Parties to Protocol 1 (2):* France, UK; *signed but not ratified (1):* USA

*Parties to Protocol 2 (4):* China, France¹, Russia, UK²; *signed but not ratified (1):* USA

*Parties to Protocol 3 (4):* China, France, Russia, UK; *signed but not ratified (1):* USA

¹ France declared that the negative security guarantees set out in Protocol 2 are the same as the Conference on Disarmament declaration of 6 Apr. 1995 referred to in UN Security Council Resolution 984 of 11 Apr. 1995.

² On ratifying Protocol 2 in 1997, the UK declared that nothing in the treaty affects the rights under international law with regard to transit of the zone or visits to ports and airfields within the zone by ships and aircraft. The UK will not be bound by the undertakings in Protocol 2 in case of an invasion or any other attack on the UK, its territories, its armed forces or its allies, carried out or sustained by a party to the treaty in association or alliance with a nuclear weapon state or if a party violates its non-proliferation obligations under the treaty.


**Treaty on Conventional Armed Forces in Europe (CFE Treaty)**

*Original treaty signed at Paris on 19 November 1990; entered into force on 9 November 1992; depositary Dutch Government*

The treaty sets ceilings on five categories of treaty-limited equipment (TLE)—battle tanks, armoured combat vehicles, artillery of at least 100-mm calibre, combat aircraft and attack helicopters—in an area stretching from the Atlantic Ocean to the Ural Mountains (the Atlantic-to-the-Urals, ATTU).

The treaty was negotiated and signed by the member states of the Warsaw Treaty Organization and NATO within the framework of the Conference on Security and Co-operation in Europe (from 1995 the Organization for Security and Co-operation in Europe, OSCE).

The **1992 Tashkent Agreement**, adopted by the former Soviet republics with territories within the ATTU area of application (with the exception of Estonia, Latvia and Lithuania) and the **1992 Oslo Document** (Final Document of the Extraordinary Conference of the States Parties to the CFE Treaty) introduced modifications to the treaty required because of the emergence of new states after the break-up of the USSR.

*Parties (30):* Armenia, Azerbaijan, Belarus, Belgium², Bulgaria², Canada², Czech Republic², Denmark², France, Georgia, Germany², Greece, Hungary², Iceland², Italy², Kazakhstan, Luxembourg², Moldova², Netherlands², Norway, Poland, Portugal², Romania, Russia¹, Slovakia², Spain, Turkey², UK², Ukraine, USA²

¹ On 14 July 2007 Russia declared its intention to suspend its participation in the CFE Treaty and associated documents and agreements, which took effect on 12 Dec. 2007.

² In Nov.–Dec. 2011, these countries notified the depositary that they will cease to perform their obligations under the treaty with regard to Russia.

The first review conference of the CFE Treaty adopted the **1996 Flank Document**, which reorganized the flank areas geographically
and numerically, allowing Russia and Ukraine to deploy TLE in a less constraining manner.


**Concluding Act of the Negotiation on Personnel Strength of Conventional Armed Forces in Europe (CFE-1A Agreement)**

Signed by the parties to the CFE Treaty at Helsinki on 10 July 1992; entered into force simultaneously with the CFE Treaty; depositary Dutch Government

The politically binding agreement sets ceilings on the number of personnel of the conventional land-based armed forces of the parties within the ATTU area.


**Agreement on Adaptation of the Treaty on Conventional Armed Forces in Europe**

Signed by the parties to the CFE Treaty at Helsinki on 19 November 1999; not in force; depositary Dutch Government

The agreement would replace the CFE Treaty bloc-to-bloc military balance with regional balance, establish individual state limits on TLE holdings, and provide for a new structure of limitations and new military flexibility mechanisms, flank sub-limits and enhanced transparency. It would open the CFE regime to all the other European states. It will enter into force when it has been ratified by all the signatories. The 1999 Final Act, with annexes, contains politically binding arrangements with regard to Georgia, Moldova and Central Europe, and withdrawals of armed forces from foreign territories.

Ratifications deposited (3): Belarus, Kazakhstan, Russia

* With reservation and/or declaration.

1 On 14 July 2007 Russia declared its intention to suspend its participation in the CFE Treaty and associated documents and agreements, which took effect on 12 Dec. 2007.

Note: Ukraine has ratified the 1999 Agreement on Adaptation of the CFE Treaty but has not deposited its instrument with the depositary.


Treaty text as amended by 1999 agreement: SIPRI Yearbook 2000, pp. 627–42
Treaty on Open Skies

Opened for signature at Helsinki on 24 March 1992; entered into force on 1 January 2002; depositaries Canadian and Hungarian governments

The treaty obligates the parties to submit their territories to short-notice unarmed surveillance flights. The area of application stretches from Vancouver, Canada, eastward to Vladivostok, Russia.

The treaty was negotiated between the member states of the Warsaw Treaty Organization and NATO. It was opened for signature by the NATO member states, former member states of the Warsaw Treaty Organization and the states of the former Soviet Union (except for Estonia, Latvia and Lithuania). For six months after entry into force of the treaty, any other participating state of the Organization for Security and Co-operation in Europe could apply for accession to the treaty, and from 1 July 2002 any state can apply to accede to the treaty.

Parties (34): Belarus, Belgium, Bosnia and Herzegovina, Bulgaria, Canada, Croatia, Czech Republic, Denmark, Estonia, Finland, France, Georgia, Germany, Greece, Hungary, Iceland, Italy, Latvia, Lithuania, Luxembourg, Netherlands, Norway, Poland, Portugal, Romania, Russia, Slovakia, Slovenia, Spain, Sweden, Turkey, UK, Ukraine, USA

Signed but not ratified (1): Kyrgyzstan


Treaty on the Southeast Asia Nuclear Weapon-Free Zone (Treaty of Bangkok)

Signed at Bangkok on 15 December 1995; entered into force on 27 March 1997; depositary Thai Government

The treaty prohibits the development, manufacture, acquisition or testing of nuclear weapons inside or outside the zone as well as the stationing and transport of nuclear weapons in or through the zone. Each state party may decide for itself whether to allow visits and transit by foreign ships and aircraft. The parties undertake not to dump at sea or discharge into the atmosphere anywhere within the zone any radioactive material or waste or dispose of radioactive material on land. The parties should conclude an agreement with the IAEA for the application of full-scope safeguards to their peaceful nuclear activities.

The zone includes not only the territories but also the continental shelves and exclusive economic zones of the states parties.

The treaty is open for all states of South East Asia.

Under a Protocol to the treaty, China, France, Russia, the UK and the USA are to undertake not to use or threaten to use nuclear weapons against any state party to the treaty. They should further undertake not to use nuclear weapons
within the South East Asia nuclear weapon-free zone. The protocol will enter into force for each state party on the date of its deposit of the instrument of ratification.

**Parties (10):** Brunei Darussalam, Cambodia, Indonesia, Laos, Malaysia, Myanmar, Philippines, Singapore, Thailand, Viet Nam

**Protocol:** no signatures, no parties

**Treaty and protocol texts:** ASEAN Secretariat, [http://www.aseansec.org/5181.htm](http://www.aseansec.org/5181.htm)

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**African Nuclear-Weapon-Free Zone Treaty (Treaty of Pelindaba)**

*Signed at Cairo on 11 April 1996; entered into force on 15 July 2009; depositary Secretary-General of the African Union*

The treaty prohibits the research, development, manufacture and acquisition of nuclear explosive devices and the testing or stationing of any nuclear explosive device. Each party remains free to allow visits and transit by foreign ships and aircraft. The treaty also prohibits any attack against nuclear installations. The parties undertake not to dump or permit the dumping of radioactive waste and other radioactive matter anywhere within the zone. Each party should individually conclude an agreement with the IAEA for the application of comprehensive safeguards to their peaceful nuclear activities.

The zone includes the territory of the continent of Africa, island states members of the African Union (AU) and all islands considered by the AU to be part of Africa.

The treaty is open for signature by all the states of Africa.

Under **Protocol I** China, France, Russia, the UK and the USA are to undertake not to use or threaten to use a nuclear explosive device against the parties to the treaty.

Under **Protocol II** China, France, Russia, the UK and the USA are to undertake not to test nuclear explosive devices within the zone.

Under **Protocol III** states with territories within the zone for which they are internationally responsible are to undertake to observe certain provisions of the treaty with respect to these territories. This protocol is open for signature by France and Spain.

The protocols entered into force simultaneously with the treaty for those protocol signatories that had deposited their instruments of ratification.

**Parties (32):** Algeria, Benin, Botswana, Burkina Faso, Burundi, Cameroon, Côte d’Ivoire, Equatorial Guinea, Ethiopia, Gabon, Gambia, Ghana, Guinea, Kenya, Lesotho, Libya, Madagascar, Malawi, Mali, Mauritania, Mauritius, Mozambique, Nigeria, Rwanda, Senegal, South Africa, Swaziland, Tanzania, Togo, Tunisia, Zambia, Zimbabwe

**Note:** In addition to the 32 parties as of 1 Jan. 2012, Chad and Guinea-Bissau deposited their instruments of ratification in Jan. 2012.

**Signed but not ratified (20):** Angola, Cape Verde, Central African Republic, Comoros, Congo (Democratic Republic of the), Congo (Republic of the), Djibouti, Egypt, Eritrea, Liberia, Morocco, Namibia, Niger, Sahrawi Arab Democratic Republic (Western Sahara), Sao Tome and Principe, Seychelles, Sierra Leone, Somalia, Sudan, Uganda
Protocol I, ratifications deposited (4): China, France\(^1\), Russia\(^2\), UK\(^3\); signed but not ratified (1): USA\(^4\)

Protocol II, ratifications deposited (4): China, France, Russia\(^2\), UK\(^3\); signed but not ratified (1): USA\(^4\)

Protocol III, ratifications deposited (1): France

\(^1\) France stated that the protocols did not affect its right to self-defence, as stipulated in Article 51 of the UN Charter. It clarified that its commitment under Article 1 of Protocol I was equivalent to the negative security assurances given by France to non-nuclear weapon states parties to the NPT, as confirmed in its declaration made on 6 Apr. 1995 at the Conference on Disarmament, and as referred to in UN Security Council Resolution 984 of 11 Apr. 1995.

\(^2\) Russia stated that as long as a military base of a nuclear state was located on the islands of the Chagos archipelago these islands could not be regarded as fulfilling the requirements put forward by the treaty for nuclear weapon-free territories. Moreover, since certain states declared that they would consider themselves free from the obligations under the protocols with regard to the mentioned territories, Russia could not consider itself to be bound by the obligations under Protocol I in respect to the same territories. Russia interpreted its obligations under Article 1 of Protocol I as follows: It would not use nuclear weapons against a state party to the treaty, except in the case of invasion or any other armed attack on Russia, its territory, its armed forces or other troops, its allies or a state towards which it had a security commitment, carried out or sustained by a non-nuclear weapon state party to the treaty, in association or alliance with a nuclear weapon state.

\(^3\) The UK stated that it did not accept the inclusion of the British Indian Ocean Territory within the African nuclear weapon-free zone without its consent, and did not accept, by its adherence to Protocols I and II, any legal obligations in respect of that territory. Moreover, it would not be bound by its undertaking under Article 1 of Protocol I in case of an invasion or any other attack on the UK, its dependent territories, its armed forces or other troops, or its allies or a state towards which it had a security commitment, carried out or sustained by a party to the treaty in association or alliance with a nuclear weapon state, or if any party to the treaty was in material breach of its own non-proliferation obligations under the treaty.

\(^4\) The USA stated, with respect to Protocol I, that it would consider an invasion or any other attack on the USA, its territories, its armed forces or other troops, or its allies or on a state towards which it had a security commitment, carried out or sustained by a party to the treaty in association or alliance with a nuclear weapon state, to be incompatible with the treaty party’s corresponding obligations. The USA also stated that neither the treaty nor Protocol II would apply to the activities of the UK, the USA or any other state not party to the treaty on the island of Diego Garcia or elsewhere in the British Indian Ocean Territory. Therefore, no change was required in the operations of US armed forces in Diego Garcia and elsewhere in these territories.


**Agreement on Sub-Regional Arms Control (Florence Agreement)**

*Adopted at Florence and entered into force on 14 June 1996*

The agreement was negotiated under the auspices of the OSCE in accordance with the mandate in Article IV of Annex I-B of the 1995 General Framework Agreement for Peace in Bosnia and Herzegovina (Dayton Agreement). It sets numerical ceilings on armaments of the former warring parties. Five categories of heavy conventional weapons are included: battle tanks, armoured combat vehicles, heavy artillery (75 mm and above), combat aircraft and attack helicopters. The limits were reached by 31 October 1997; by that date 6580 weapon items, or 46 per cent of pre-June 1996 holdings, had been destroyed. By 1 January 2010, a further 2650 items had been destroyed voluntarily. The implementation of the agreement is monitored and assisted by the OSCE’s Personal Representative of the Chairman-in-Office, the Contact Group (France, Ger-
many, Italy, Russia, the UK and the USA) and supported by other OSCE supporting states.

In 2006 the number of parties fell from five to three with the dissolution of the defence ministries of the sub-national entities of Bosnia and Herzegovina. The remaining parties agreed on six legally binding amendments in March 2006. The number of parties rose to four in 2007, following the independence of Montenegro.

Parties (4): Bosnia and Herzegovina, Croatia, Montenegro, Serbia


Inter-American Convention Against the Illicit Manufacturing of and Trafficking in Firearms, Ammunition, Explosives, and Other Related Materials (CIFTA)

Adopted at Washington, DC, on 13 November 1997; opened for signature at Washington, DC, on 14 November 1997; entered into force on 1 July 1998; depositary General Secretariat of the Organization of American States

The purpose of the convention is to prevent, combat and eradicate the illicit manufacturing of and trafficking in firearms, ammunition, explosives and other related materials; and to promote and facilitate cooperation and the exchange of information and experience among the parties.

Parties (30): Antigua and Barbuda, Argentina*, Bahamas, Barbados, Belize, Bolivia, Brazil, Chile, Colombia, Costa Rica, Dominica, Dominican Republic, Ecuador, El Salvador, Grenada, Guatemala, Guyana, Haiti, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, Saint Kitts and Nevis, Saint Lucia, Suriname, Trinidad and Tobago, Uruguay, Venezuela

* With reservation.

Signed but not ratified (4): Canada, Jamaica, Saint Vincent and the Grenadines, USA


Inter-American Convention on Transparency in Conventional Weapons Acquisitions

Adopted at Guatemala City on 7 June 1999; entered into force on 21 November 2002; depositary General Secretariat of the Organization of American States

The objective of the convention is to contribute more fully to regional openness and transparency in the acquisition of conventional weapons by exchanging information regarding such acquisitions, for the purpose of promoting confidence among states in the Americas.

Parties (15): Argentina, Brazil, Canada, Chile, Costa Rica, Dominican Republic, Ecuador, El Salvador, Guatemala, Mexico, Nicaragua, Paraguay, Peru, Uruguay, Venezuela

Signed but not ratified (6): Bolivia, Colombia, Dominica, Haiti, Honduras, USA
**ECOWAS Convention on Small Arms and Light Weapons, their Ammunition and Other Related Materials**

*Adopted by the member states of the Economic Community of West African States (ECOWAS) at Abuja, on 14 June 2006; entered into force on 29 September 2009; depositary President of the ECOWAS Commission*

The convention obligates the parties to prevent and combat the excessive and destabilizing accumulation of small arms and light weapons in the 15 ECOWAS member states.

*Parties (11): Benin, Burkina Faso, Cape Verde, Ghana, Liberia, Mali, Niger, Nigeria, Senegal, Sierra Leone, Togo*

*Signed but not ratified (4): Côte d’Ivoire, Gambia, Guinea, Guinea-Bissau*

**Convention text:** ECOWAS Small Arms Control Programme, <http://www.ecosap.ecowas.int/>

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**Treaty on a Nuclear-Weapon-Free Zone in Central Asia (Treaty of Semipalatinsk)**

*Signed at Semipalatinsk on 8 September 2006; entered into force on 21 March 2009; depositary Kyrgyz Government*

The treaty obligates the parties not to conduct research on, develop, manufacture, stockpile or otherwise acquire, possess or have control over any nuclear weapons or other nuclear explosive device by any means anywhere.

Under a *Protocol* China, France, Russia, the UK and the USA are to undertake not to use or threaten to use a nuclear explosive device against the parties to the treaty. This protocol will enter into force for each party on the date of its deposit of its instrument of ratification.

*Parties (5): Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan, Uzbekistan*

*Protocol: no signatures, no parties*


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**Central African Convention for the Control of Small Arms and Light Weapons, Their Ammunition and All Parts and Components That Can Be Used for Their Manufacture, Repair and Assembly (Kinshasa Convention)**

*Adopted at Kinshasa on 30 April 2010; opened for signature at Brazzaville on 19 November 2010; not in force; depositary UN Secretary-General*

The objectives of the convention are to prevent, combat and eradicate illicit trade and trafficking in small arms and light weapons (SALW) in Central Africa; to strengthen the control in the region of the manufacture, trade, transfer and use of SALW; to combat armed violence and ease the human suffering in the
region caused by SALW; and to foster cooperation and confidence among the states parties. The convention will enter into force 30 days after the date of deposit of the sixth instrument of ratification.

Signed but not ratified (11): Angola, Burundi, Cameroon, Central African Republic, Chad, Congo (Democratic Republic of the), Congo (Republic of the), Equatorial Guinea, Gabon, Rwanda, Sao Tome and Principe


**Vienna Document 2011 on Confidence- and Security-Building Measures**

*Adopted by the participating states of the Organization for Security and Co-operation in Europe at Vienna on 30 November 2011; entered into force on 1 December 2011*


The Vienna Document 2011 incorporated revisions on such matters as the timing of verification activities and demonstrations of new types of weapon and equipment systems, and established a procedure for updating the Vienna Document every five years.


**III. Bilateral treaties**

**Treaty on the Limitation of Anti-Ballistic Missile Systems (ABM Treaty)**

*Signed by the USA and the USSR at Moscow on 26 May 1972; entered into force on 3 October 1972; not in force from 13 June 2002*

The parties—Russia and the USA—undertook not to build nationwide defences against ballistic missile attack and to limit the development and deployment of permitted strategic missile defences. The treaty prohibited the parties from giving air defence missiles, radars or launchers the technical ability to counter strategic ballistic missiles and from testing them in a strategic ABM mode.

The **1974 Protocol** to the ABM Treaty introduced further numerical restrictions on permitted ballistic missile defences.
In 1997, Belarus, Kazakhstan, Russia, Ukraine and the USA signed a memorandum of understanding designating Belarus, Kazakhstan and Ukraine as parties to the treaty along with Russia as successor states of the USSR and a set of Agreed Statements specifying the demarcation line between strategic missile defences (which are not permitted under the treaty) and non-strategic or theatre missile defences (which are permitted under the treaty). The set of 1997 agreements on anti-missile defence were ratified by Russia in April 2000, but because the USA did not ratify them they did not enter into force. On 13 December 2001 the USA announced its withdrawal from the treaty, which came into effect on 13 June 2002.


**Treaty on the Limitation of Underground Nuclear Weapon Tests (Threshold Test-Ban Treaty, TTBT)**

_Signed by the USA and the USSR at Moscow on 3 July 1974; entered into force on 11 December 1990_

The parties—Russia and the USA—undertake not to carry out any underground nuclear weapon test having a yield exceeding 150 kilotons. The 1974 verification protocol was replaced in 1990 with a new protocol.


**Treaty on Underground Nuclear Explosions for Peaceful Purposes (Peaceful Nuclear Explosions Treaty, PNET)**

_Signed by the USA and the USSR at Moscow and Washington, DC, on 28 May 1976; entered into force on 11 December 1990_

The parties—Russia and the USA—undertake not to carry out any individual underground nuclear explosion for peaceful purposes having a yield exceeding 150 kilotons or any group explosion having an aggregate yield exceeding 150 kilotons; and not to carry out any group explosion having an aggregate yield exceeding 1500 kilotons unless the individual explosions in the group could be identified and measured by agreed verification procedures. The 1976 verification protocol was replaced in 1990 with a new protocol.


_Signed by the USA and the USSR at Washington, DC, on 8 December 1987; entered into force on 1 June 1988_

The treaty obligated the original parties—the USA and the USSR—to destroy all ground-launched ballistic and cruise missiles with a range of 500–5500 kilo-
metre (intermediate-range, 1000–5500 km; and shorter-range, 500–1000 km) and their launchers by 1 June 1991. A total of 2692 missiles were eliminated by May 1991. In 1994 treaty membership was expanded to include Belarus, Kazakhstan and Ukraine. For 10 years after 1 June 1991 on-site inspections were conducted to verify compliance. The use of surveillance satellites for data collection has continued after the end of on-site inspections on 31 May 2001.

*Treaty text: US Department of State, [http://www.state.gov/t/avc/trty/102360.htm]*

**Treaty on the Reduction and Limitation of Strategic Offensive Arms (START I)**

*Signed by the USA and the USSR at Moscow on 31 July 1991; entered into force on 5 December 1994; expired on 5 December 2009*

The treaty obligated the original parties—the USA and the USSR—to make phased reductions in their offensive strategic nuclear forces over a seven-year period. It set numerical limits on deployed strategic nuclear delivery vehicles (SNDVs)—intercontinental ballistic missiles (ICBMs), submarine-launched ballistic missiles (SLBMs) and heavy bombers—and the nuclear warheads they carry. In the Protocol to Facilitate the Implementation of START ([1992 Lisbon Protocol](http://www.state.gov/t/avc/trty/146007.htm)), which entered into force on 5 December 1994, Belarus, Kazakhstan and Ukraine also assumed the obligations of the former USSR under the treaty.

A follow-on treaty, New START, entered into force on 5 February 2011.

*Treaty and protocol texts: US Department of State, [http://www.state.gov/t/avc/trty/102887.htm]*

**Treaty on Further Reduction and Limitation of Strategic Offensive Arms (START II)**

*Signed by Russia and the USA at Moscow on 3 January 1993; not in force*

The treaty obligated the parties to eliminate their MIRVed ICBMs and reduce the number of their deployed strategic nuclear warheads to no more than 3000–3500 each (of which no more than 1750 may be deployed on SLBMs) by 1 January 2003. On 26 September 1997 the two parties signed a Protocol to the treaty providing for the extension until the end of 2007 of the period of implementation of the treaty.

*Note: START II was ratified by the US Senate and the Russian Parliament, but the two parties never exchanged the instruments of ratification. The treaty thus never entered into force. On 14 June 2002, as a response to the taking effect on 13 June of the USA's withdrawal from the ABM Treaty, Russia declared that it would no longer be bound by START II.*

*Treaty and protocol texts: US Department of State, [http://www.state.gov/t/avc/trty/102887.htm]*
Treaty on Strategic Offensive Reductions (SORT Treaty, Moscow Treaty)

Signed by Russia and the USA at Moscow on 24 May 2002; entered into force on 1 June 2003; not in force from 5 February 2011

The treaty obligated the parties to reduce the number of their operationally deployed strategic nuclear warheads so that the aggregate numbers did not exceed 1700–2200 for each party by 31 December 2012. The treaty was superseded by New START on 5 February 2011.


Treaty on Measures for the Further Reduction and Limitation of Strategic Offensive Arms (New START, Prague Treaty)

Signed by Russia and the USA at Prague on 8 April 2010; entered into force on 5 February 2011

The treaty obligates the parties—Russia and the USA—to each reduce their number of (a) deployed ICBMs, SLBMs and heavy bombers to 700; (b) warheads on deployed ICBMs and SLBMs and warheads counted for deployed heavy bombers to 1550; and (c) deployed and non-deployed ICBM launchers, SLBM launchers and heavy bombers to 800. The reductions must be achieved by 5 February 2018; a Bilateral Consultative Commission will resolve questions about compliance and other implementation issues. A protocol to the treaty contains verifications mechanisms.

The treaty follows on from START I and supersedes SORT. It will remain in force for 10 years unless superseded earlier by a subsequent agreement.