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**Ministry of Foreign Affairs
Department for Export Controls**

**Export, brokering, transit and transshipment operations
with military good**



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1. PERMANENT EXPORTS PER DESTINATION

AFGHANISTAN			
	ML 1	ML 3	Total per destination
a ¹	1	0	1
a _i	1	0	1
a _g	0	0	0
a _{gen}	0	0	0
b	3 534 342	0	3 534 342
b _i	3 534 342	0	3 534 342
b _g	0	0	0
b _{gen}	0	0	0
c	877 372	3 616 705	4 494 077
c _i	877 372	3 616 705	4 494 077
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

light machine gun (1 000) (1 license) (G)²
 spare parts for light machine gun (G)

Military goods exported:

light machine gun (100)
 spare parts for machine gun cal. 12,7 mm
 spare parts for assault rifle and light machine gun
 cartridges cal. 12,7 mm

Footnote: Licenses issued pursuant to UN Security Council resolution for use in Afghanistan

¹ (a) = number of licenses issued; (a_i) = number of individual licenses; (a_g) = number of global licenses; (a_{gen}) = number of general licenses; (b) = value of licenses issued in euro; (b_i) = value of individual licenses in euro; (b_g) = value of global licenses in euro; (b_{gen}) = value of general licenses in euro; (c) = value of arms exports in euro; (c_i) = value of arms exports on individual licenses in euro; (c_g) = value of arms exports on global licenses in euro; (c_{gen}) = value of arms exports on general licenses in euro; (d) = total number of license refusals; (e) = criteria numbers of the Council Common Position 2008/944/CFSP of 8 December 2008 defining common rules governing control of exports of military technology and equipment on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

2020 Exchange rates:

1 EUR = 1,14220 USD
 1 EUR = 0,88970 GBP

² (G), (I), (C) final destination: Government, Industry, Commercial

AUSTRALIA

	ML 1	Total per destination
a	0	0
a _i	0	0
a _g	0	0
a _{gen}	0	0
b	0	0
b _i	0	0
b _g	0	0
b _{gen}	0	0
c	5 230	5 230
c _i	5 230	5 230
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Military goods exported:
hunting riflescope

AUSTRIA

	ML 3	Total per destination
a	1	1
a _i	1	1
a _g	0	0
a _{gen}	0	0
b	69 960	69 960
b _i	69 960	69 960
b _g	0	0
b _{gen}	0	0
c	69 960	69 960
c _i	69 960	69 960
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:
bombs components (I)

Military goods exported:
bombs components

AZERBAIJAN

	ML 1	ML 5	Total per destination
a	1	4	5
a _i	1	4	5
a _g	0	0	0
a _{gen}	0	0	0
b	14 096	68 254	82 350
b _i	14 096	68 254	82 350
b _g	0	0	0
b _{gen}	0	0	0
c	14 096	36 955	51 051
c _i	14 096	36 955	51 051
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

military scopes (I)
parts for IFF ground systems (repair) (G)

Military goods exported:

military scopes
parts for IFF ground systems

BELGIUM

	ML 5	ML 13	ML 15	Total per destination
a	1	1	1	3
a _i	1	1	1	3
a _g	0	0	0	0
a _{gen}	0	0	0	0
b	77 000	22 500	103 759	203 259
b _i	77 000	22 500	103 759	203 259
b _g	0	0	0	0
b _{gen}	0	0	0	0
c	0	0	159 611	159 611
c _i	0	0	103 759	103 759
c _g	0	0	55 852	55 852
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

optoelectronic turret system 12,7 mm (I)
bulletproof vest (C)
electronic subassemblies for optical camera (I)

Military goods exported:

electronic subassemblies for optical camera
equipment for imaging and countermeasure, components and accessories thereof

BRAZIL

	ML 11	Total per destination
a	0	0
a _i	0	0
a _g	0	0
a _{gen}	0	0
b	0	0
b _i	0	0
b _g	0	0
b _{gen}	0	0
c	53 391	53 391
c _i	0	0
c _g	53 391	53 391
c _{gen}	0	0
d	0	0
e	-	-

Military goods exported:

products for guidance and navigation equipment of fighter aircraft

BULGARIA

	ML 1	ML 2	ML 3	ML 8	ML 10	ML 11	ML 22	Total per destination
a	1	4	9	1	1	1	1	18
a _i	1	4	9	1	1	1	1	18
a _g	0	0	0	0	0	0	0	0
a _{gen}	0	0	0	0	0	0	0	0
b	2 411 665	4 333 861	32 307 358	105 000	2 364 075	1 392 943	80 811	42 995 713
b _i	2 411 665	4 333 861	32 307 358	105 000	2 364 075	1 392 943	80 811	42 995 713
b _g	0	0	0	0	0	0	0	0
b _{gen}	0	0	0	0	0	0	0	0
c	685 102	2 123 801	5 740 859	22 400	2 364 075	715 574	9 457	11 661 268
c _i	685 102	2 123 801	5 740 859	22 400	2 364 075	715 574	9 457	11 661 268
c _g	0	0	0	0	0	0	0	0
c _{gen}	0	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-	-

Licences issued for:

assault rifle (16 594) (1 license) (C)
 anti-aircraft machine gun with four barrels cal. 14,5 mm (429) (2 licenses) (C) and (I)
 anti-aircraft machine gun cal. 14,5 mm (64) (1 license) (repair and sale) (C)
 anti-aircraft machine gun with two barrels cal. 14,5 mm (44) (demilitarization/reconditioning/resale) (1 license) (C)
 anti-tank grenades cal. 40 mm (C)
 anti-tank grenades cal. 73 mm with explosive projectile and fuse (C)
 small arms ammunition (C)
 cartridges cal. 12,7 mm and cal. 14,5 mm (C)
 ammunition tape cal. 14,5 mm (C)
 military explosive (C)
 multipurpose military helicopter (1) (revision - inward processing) (G)

encryption equipment for multipurpose military helicopter (G)

training and assistance for multipurpose military helicopter encryption equipment (G)

Military goods exported:

assault rifle mm (4 717)

antiaircraft machine gun with two barrels cal. 14,5 mm (112)

antiaircraft machine gun with two barrels cal. 14,5 mm (12) (reparare și vânzare)

antiaircraft machine gun with four barrels cal. 14,5 mm (63)

antiaircraft machine gun with four barrels cal. 14,5 mm (39) (repair and sale)

cartridges cal. 12,7 mm and cal. 14,5 mm

small arms ammunition

antitank grenades cal. 40 mm

military explosive

multipurpose military helicopter (1) (revision - inward processing)

encryption equipment for multipurpose military helicopter

training and assistance for multipurpose military helicopter encryption equipment

CAMEROON

	ML 1	Total per destination
a	1	1
a _i	1	1
a _g	0	0
a _{gen}	0	0
b	2 384 553	2 384 553
b _i	2 384 553	2 384 553
b _g	0	0
b _{gen}	0	0
c	0	0
c _i	0	0
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

search and rescue helicopter (1) (inward processing repair) (1 license) (G)

-

CANADA

	ML 10	Total per destination
a	4	4
a _i	4	4
a _g	0	0
a _{gen}	0	0
b	344 005	344 005
b _i	344 005	344 005
b _g	0	0
b _{gen}	0	0
c	302 292	302 292
c _i	82 383	82 383
c _g	219 909	219 909
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

parts for military transport aircraft (I)

Military goods exported:

parts and assemblies for military transport aircraft

REPUBLIC OF CHAD (THE)

	ML 1	ML 3	Total per destination
a	1	2	3
a _i	1	2	3
a _g	0	0	0
a _{gen}	0	0	0
b	206 181	414 901	621 082
b _i	206 181	414 901	621 082
b _g	0	0	0
b _{gen}	0	0	0
c	206 181	318 639	524 820
c _i	206 181	318 639	524 820
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:machine gun cal. 12,7 mm and accessories (15) (1 license) (G)
small arms ammunition and accessories (G)**Produce militaire exportate:**machine gun cal. 12,7 mm and accessories (15)
cartridges cal. 12,7 mm

CROATIA

	ML 1	ML 10	Total per destination
a	0	1	1
a _i	0	1	1
a _g	0	0	0
a _{gen}	0	0	0
b	0	540	540
b _i	0	540	540
b _g	0	0	0
b _{gen}	0	0	0
c	1 537	955 665	957 202
c _i	1 537	463 518	465 055
c _g	0	492 147	492 147
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

products for military combat aircraft (G)

Military goods exported:

military scopes

products for military combat aircraft

spare parts for military combat aircraft

CZECH REPUBLIC

	ML 1	ML 10	ML 16	Total per destination
a	1	1	7	9
a _i	1	1	7	9
a _g	0	0	0	0
a _{gen}	0	0	0	0
b	4 973	8 000	52 434	65 407
b _i	4 973	8 000	52 434	65 407
b _g	0	0	0	0
b _{gen}	0	0	0	0
c	80 421	40 999	36 998	158 418
c _i	80 421	8 000	36 998	125 419
c _g	0	32 999	0	32 999
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

spare parts for sniper rifle (C)

equipment for military training aircraft (I)

aluminium extruded profile (I)

Military goods exported:

assault rifle and accessories (210)

spare parts for sniper rifle

equipment for military training aircraft

product for military combat aircraft
structure parts for military combat aircraft

DENMARK

	ML 21	Total per destination
a	0	0
a _i	0	0
a _g	0	0
a _{gen}	0	0
b	0	0
b _i	0	0
b _g	0	0
b _{gen}	0	0
c	3 273 223	3 273 223
c _i	0	0
c _g	3 273 223	3 273 223
c _{gen}	0	0
d	0	0
e	-	-

Military goods exported:

software for management system of tactical advantage situation

FRANCE (1/2)

	ML 3	ML 5	ML 10	ML 13	ML 15	ML 16
a	1	12	1	0	1	8
a _i	1	12	0	0	1	8
a _g	0	0	1	0	0	0
a _{gen}	0	0	0	0	0	0
b	10 068	387 011	2 000 000	0	86 276	43 293
b _i	10 068	387 011	0	0	86 276	43 293
b _g	0	0	2 000 000	0	0	0
b _{gen}	0	0	0	0	0	0
c	10 068	287 605	289 714	27 088	421 510	34 481
c _i	10 068	287 605	0	27 088	168 788	34 481
c _g	0	0	289 714	0	252 722	0
c _{gen}	0	0	0	0	0	0
d	0	0	0	0	0	0
e	-	-	-	-	-	-

FRANCE (2/2)

	ML 18	ML 21	ML 22	Total per destination
a	1	0	3	27
a _i	1	0	3	26
a _g	0	0	0	1
a _{gen}	0	0	0	0
a	39 000	0	226 400	2 792 048
b _i	39 000	0	226 400	792 048
b _g	0	0	0	2 000 000
b _{gen}	0	0	0	0
c	19 500	24 805	103 400	1 218 171
c _i	19 500	0	103 400	650 930
c _g	0	24 805	0	567 241
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

antitank grenades cal. 40 mm (C)
 parts of identification equipment (I)
 spare parts and equipment for military helicopter (I)
 optical components for imaging and counter-measure equipment (I)
 aluminium extruded profile (I)
 bloc test boxes for query interface (I)
 technical documentation to design of IFF (I)
 activități dezvoltare și producție cutii interfață platforme IFF (I)
 technical documentation and assistance for interrogation station (I)

Military goods exported:

antitank grenades cal. 40 mm
 parts of identification equipment
 spare parts and equipment for military helicopter
 bulletproof vest
 optical components for imaging and counter-measure equipment
 aluminium extruded profile
 bloc test boxes for query interface
 software for military use
 technical documentation to design of IFF
 development and production activities for boxes interface of IFF platform
 technical documentation and assistance for interrogation station

GERMANY

	ML 1	ML 5	ML 9	ML 10	ML 15	ML 22	Total per destination
a	1	1	0	6	2	1	11
a _i	1	1	0	3	2	0	7
a _g	0	0	0	3	0	1	4
a _{gen}	0	0	0	0	0	0	0
b	46 350	2 962	0	1 634 562	778 854	10 000 000	12 462 728
b _i	46 350	2 962	0	52 562	778 854	0	880 728
b _g	0	0	0	1 582 000	0	10 000 000	11 582 000
b _{gen}	0	0	0	0	0	0	0
c	46 350	2 962	8 244	999 082	683 178	56 757	1 796 573
c _i	46 350	2 962	0	69 140	683 178	0	801 630
c _g	0	0	8 244	929 942	0	56 757	994 943
c _{gen}	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-

Licences issued for:

semi-automatic rifle (90) (1 license) (C)
 sniper rifle (15) (1 license) (C)
 components for military helmet (I)
 helicopter cargo mesh system (I)
 spare parts for naval military helicopter (I)
 assemble and subassemblies for military transport helicopter (I)
 machined parts and profile for military transport aircraft (I)
 camera mechanical components (I)
 maintenance documentation for civil and military aircraft (I)

Military goods exported:

semi-automatic rifle (90)
 sniper rifle (15)
 magazine for semi-automatic rifle and sniper rifle
 components for military helmet
 warship components
 sub-assemblies for system parachute
 helicopter cargo mesh system
 spare parts for naval military helicopter
 camera mechanical components
 assemblies and sub-assemblies for military transport aircraft (inward processing repair)
 machined parts and profile for military transport aircraft
 assemblies and subassemblies for military aircraft
 components for military aircraft
 military parachute and components therefor
 software and technology for military product development (projects)

HUNGARY

	ML 1	ML 15	Total per destination
a	1	2	3
a _i	1	2	3
a _g	0	0	0
a _{gen}	0	0	0
b	4 815	5 138 800	5 143 615
b _i	4 815	5 138 800	5 143 615
b _g	0	0	0
b _{gen}	0	0	0
c	0	1 827 400	1 827 400
c _i	0	1 827 400	1 827 400
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

sniper rifle (5) (1 license) (upgrade) (C)
border surveillance system (G)

Military goods exported:

border surveillance system

INDIA

	ML 1	ML 10	ML 16	Total per destination
a	1	2	1	4
a _i	1	2	1	4
a _g	0	0	0	0
a _{gen}	0	0	0	0
b	11 549	424 628	13 287	449 464
b _i	11 549	424 628	13 287	449 464
b _g	0	0	0	0
b _{gen}	0	0	0	0
c	11 549	150 700	10 157	172 406
c _i	11 549	150 700	10 157	172 406
c _g	0	0	0	0
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

magazine for small arms (C)
products for military combat aircraft (inward processing) (I)
products for engine of military combat aircraft (I)
aluminium extruded profile (I)

Military goods exported:

magazine for small arms
products for military transport helicopter (inward processing)
products for engine of military combat aircraft
aluminium extruded profile

ISRAEL (1/2)

	ML 3	ML 4	ML 5	ML 6	ML 10	ML11
a	5	2	5	2	3	1
a _i	5	2	5	1	0	1
a _g	0	0	0	1	3	0
a _{gen}	0	0	0	0	0	0
b	543 229	792 76	279 609	13 133 479	13 152 861	116 004
b _i	543 229	79 276	279 609	928	0	116 004
b _g	0	0	0	13 132 551	13 152 861	0
b _{gen}	0	0	0	0	0	0
c	447 023	2 099 581	156 236	6 781 715	9 092 058	3 452 028
c _i	447 023	42 415	118 622	928	0	116 004
c _g	0	2 057 166	37614	6 780 787	9 092 058	3 336 024
c _{gen}	0	0	0	0	0	0
d	0	0	0	0	0	0
e	-	-	-	-	-	-

ISRAEL (2/2)

	ML 14	ML 15	ML 17	ML 18	ML 21	ML 22	Total per destination
a	1	0	0	3	0	1	23
a _i	1	0	0	0	0	0	15
a _g	0	0	0	3	0	0	7
a _{gen}	0	0	0	0	0	0	0
b	8 265	0	0	14 550 867	0	0	41 863 590
b _i	8 265	0	0	0	0	0	1 027 311
b _g	0	0	0	14 550 867	0	0	40 836 279
b _{gen}	0	0	0	0	0	0	0
c	52 618	2 347 592	1 836 907	2 820 461	681 856	129 000	29 897 075
c _i	8 265	0	0	0	0	129 000	862 257
c _g	44 353	2 347 592	1 836 907	2 820 461	681 856	0	29 034 818
c _{gen}	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-

Licences issued for:

small arms ammunition (test) (I)
 cartridges loading device for machine gun (reexport) (G)
 antitank grenades cal. 40 mm (C)
 small guided missile component (I)
 small rocket engine (I)
 target equipment and accesories for cannon (I)
 mechanical parts for military ground vehicles (I)
 components for ground military vehicles (I)
 component parts for military aircraft (I)
 structure parts for military aircraft (I)
 kit components for guidance equipment (I)
 products for ground military training equipment (I)
 electronic equipment and components thereof (I)
 test equipment for military products (I)
 equipment and component manufacture (test and verification) (I)

Military goods exported:

cartridges loading device (re-export)
 cartridges cal. 25 mm
 antitank grenades cal. 40 mm
 warhead cal. 122 mm
 small guided missile component
 products for airborne systems
 target equipment and accessories for cannon
 products for fire control system
 components for ground military vehicles
 electronic subassemblies for military aircraft
 component parts for military aircraft
 kit components for guidance equipment
 electronic equipment for military use (surveillance, data secrecy, guidance & navigation, command and control)
 products for ground military training equipment
 spare parts for simulator maintenance
 imaging and countermeasure equipment, components and accessories thereof
 optical components for imaging and countermeasure equipment
 military cables with connectors
 test and inspection equipment
 software for test and inspection equipment
 documentation for guided missile components

ITALY				
	ML 10	ML 16	ML 17	Total per destination
a	0	1	2	3
a _i	0	1	2	3
a _g	0	0	0	0
a _{gen}	0	0	0	0
b	0	2 539	136 807	139 346
b _i	0	2 539	136 807	139 346
b _g	0	0	0	0
b _{gen}	0	0	0	0
c	167 655	0	136 807	304 462
c _i	167 655	0	136 807	304 462
c _g	0	0	0	0
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

aluminium extruded profile (I)
 safe transport boxes (I)
 cables with connectors for telecommunications (I)

Military goods exported:

parts for illuminating parachute
 cables with connectors for telecommunications
 safe transport boxes

LEBANON

	ML 10	ML 11	ML 18	ML 22	Total per destination
a	15	3	1	3	22
a _i	15	3	1	3	22
a _g	0	0	0	0	0
a _{gen}	0	0	0	0	0
b	2 152 401	147 768	389	500 000	2 800 558
b _i	2 152 401	147 768	389	500 000	2 800 558
b _g	0	0	0	0	0
b _{gen}	0	0	0	0	0
c	1 797 694	0	0	500 000	2 297 694
c _i	1 797 694	0	0	500 000	2 297 694
c _g	0	0	0	0	0
c _{gen}	0	0	0	0	0
d	0	0	0	0	0
e	-	-	-	-	-

Licences issued for:

spare parts and equipment for military transport helicopter (inward processing repair) (G)
 spare parts and equipment for military transport helicopter (G)
 echipament pentru elicopter militar de transport (G)
 complementary technical assistance for military transport helicopter (G)

Military goods exported:

spare parts and equipment for military transport helicopter (inward processing repair)
 spare parts and equipment for military transport helicopter
 complementary technical assistance for military transport helicopter

Footnote: Licences issued pursuant to UN Security Council resolution for use in Lebanon with the Government of Lebanon authorization

NORTH MACEDONIA, REPUBLIC OF

	ML 14	Total per destination
a	0	0
a _i	0	0
a _g	0	0
a _{gen}	0	0
b	0	0
b _i	0	0
b _g	0	0
b _{gen}	0	0
c	332 691	332 691
c _i	332 691	332 691
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Military goods exported:

system for simulators

MALAYSIA

	ML 5	Total per destination
a	1	1
a _i	1	1
a _g	0	0
a _{gen}	0	0
b	820 000	820 000
b _i	820 000	820 000
b _g	0	0
b _{gen}	0	0
c	0	0
c _i	0	0
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

laser warning system for armored vehicles (I)

REPUBLIC OF MOLDOVA

	ML 3	Total per destination
a	2	2
a _i	2	2
a _g	0	0
a _{gen}	0	0
b	508 280	508 280
b _i	508 280	508 280
b _g	0	0
b _{gen}	0	0
c	0	0
c _i	0	0
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

antitank grenade cal. 73 mm (G)

MOROCCO

	ML 10	Total per destination
a	1	1
a _i	1	1
a _g	0	0
a _{gen}	0	0
b	981	981
b _i	981	981
b _g	0	0
b _{gen}	0	0
c	0	0
c _i	0	0
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

radar antenna (G)

NETHERLANDS

	ML 10	ML 21	ML 22	Total per destination
a	4	0	4	8
a _i	3	0	0	3
a _g	1	0	4	5
a _{gen}	0	0	0	0
b	512 694	0	4 160 000	4 672 694
b _i	12 694	0	0	12 694
b _g	500 000	0	4 160 000	4 660 000
b _{gen}	0	0	0	0
c	9 183	1 023 448	1 151 150	2 183 781
c _i	9 183	0	0	9 183
c _g	0	1 023 448	1 151 150	2 174 598
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

products for aircraft demonstrator engine (I)
 parts for aircraft demonstrator engine (I)
 local models and complete model of the ship structure (I)
 documentation, execution technology and technical assistance for the combat ship (I)
 technical documentation for production and repair of helicopter components (I)

Military goods exported:

products for aircraft demonstrator engine
 software for military use
 technical documentation for helicopter components
 technical documentation for military ship
 local models and complete model of the ship structure
 documentation, execution technology and technical assistance for the combat ship

NIGERIA

	ML 3	ML 4	Total per destination
a	0	0	0
a _i	0	0	0
a _g	0	0	0
a _{gen}	0	0	0
b	0	0	0
b _i	0	0	0
b _g	0	0	0
b _{gen}	0	0	0
c	124 759	2 074 418	2 199 177
c _i	124 759	2 074 418	2 199 177
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Military goods exported:

antitank grenade cal. 23 mm
missiles for military aircraft

OMAN

	ML 3	Total per destination
a	1	1
a _i	1	1
a _g	0	0
a _{gen}	0	0
b	4 574 505	4 574 505
b _i	4 574 505	4 574 505
b _g	0	0
b _{gen}	0	0
c	0	0
c _i	0	0
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

antitank grenade cal. 40 mm (G)

PAKISTAN

	ML 9	ML 10	Total per destination
a	1	13	14
a _i	1	13	14
a _g	0	0	0
a _{gen}	0	0	0
b _i	13 000 000	313 527	13 313 527
b _g	13 000 000	313 527	13 313 527
b _{gen}	0	0	0
c	21 112 113	325 423	21 437 536
c _i	21 112 113	325 423	21 437 536
c _g	0	0	0
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

military patrol vessel (1) (G)
 equipment for military transport helicopter (repairs) (G)
 spare parts for military transport helicopter (G)
 equipment for military transport helicopter (repairs) (G)

Military goods exported:

military patrol vessel (2)
 spare parts for military transport helicopter
 equipment for military transport helicopter (repairs)

POLAND

	ML 16	Total per destination
a	9	9
a _i	9	9
a _g	0	0
a _{gen}	0	0
b	42 033	42 033
b _i	42 033	42 033
b _g	0	0
b _{gen}	0	0
c	31 345	31 345
c _i	31 345	31 345
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

aluminium extruded profile (I)

Military goods exported:

aluminium extruded profile

SAUDI ARABIA

	ML 11	Total per destination
a	1	1
a _i	1	1
a _g	0	0
a _{gen}	0	0
b	16 160	16 160
b _i	16 160	16 160
b _g	0	0
b _{gen}	0	0
c	16 160	16 160
c _i	16 160	16 160
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

radio station accessories (G)

Military goods exported:

radio station accessories

SERBIA

	ML 2	ML 3	ML 4	Total per destination
a	1	1	3	5
a _i	1	1	3	5
a _g	0	0	0	0
a _{gen}	0	0	0	0
b	1 624 059	1 015 584	2 420 250	5 059 893
b _i	1 624 059	1 015 584	2 420 250	5 059 893
b _g	0	0	0	0
b _{gen}	0	0	0	0
c	1 624 059	1 015 584	423 300	3 062 943
c _i	1 624 059	1 015 584	423 300	3 062 943
c _g	0	0	0	0
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

antiaircraft machine gun cal. 14,5 mm (100) (1 license) (C)

cartridges cal. 12,7 mm (C)

battle components for artillery missiles cal. 122 mm (I)

Military goods exported:

antiaircraft machine gun cal. 14,5 mm (100)

cartridges cal. 12,7 mm

battle components for artillery missiles cal. 122 mm

SINGAPORE

	ML 15	Total per destination
a	2	2
a _i	2	2
a _g	0	0
a _{gen}	0	0
b	97 288	97 288
b _i	97 288	97 288
b _g	0	0
b _{gen}	0	0
c	65 499	65 499
c _i	65 499	65 499
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

subassemblies for infrared observation equipment (I)

Military goods exported:

subassemblies for infrared observation equipment

SLOVAKIA

	ML 3	ML 4	ML 8	Total per destination
a	4	1	1	6
a _i	4	1	1	6
a _g	0	0	0	0
a _{gen}	0	0	0	0
b	1 720 407	20 000	462 000	2 202 407
b _i	1 720 407	20 000	462 000	2 202 407
b _g	0	0	0	0
b _{gen}	0	0	0	0
c	10 345	0	0	10 345
c _i	10 345	0	0	10 345
c _g	0	0	0	0
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

cartridges loading device for machine gun (I)
 small arms ammunition (C)
 antitank grenades cal. 40 mm (C)
 defensive hand grenade C)
 military explosive (I)

Military goods exported:

cartridges loading device for machine gun
 small arms ammunition

SPAIN

	ML 10	ML 16	Total per destination
a	1	11	12
a _i	0	11	11
a _g	1	0	1
a _{gen}	0	0	0
b	303 225	38 242	341 467
b _i	0	38 242	38 242
b _g	303 225	0	303 225
b _{gen}	0	0	0
c	429 153	10 044	439 197
c _i	0	10 044	10 044
c _g	429 153	0	429 153
c _{gen}	0	0	0
d	0	0	0
e	-	-	-

Licences issued for:

parts and components for cranes integrated in military transport aircraft (I)
aluminium extruded profile (I)

Military goods exported:

parts and components for pressurized refueling system
aluminium extruded profile

SWEDEN

	ML 10	Total per destination
a	4	4
a _i	4	4
a _g	0	0
a _{gen}	0	0
b	97 597	97 597
b _i	97 597	97 597
b _g	0	0
b _{gen}	0	0
c	63 793	63 793
c _i	63 793	63 793
c _g	0	0
c _{gen}	0	0
d	0	0
e	-	-

Licences issued for:

parachute container (C)
illuminating parachute (I)

Military goods exported:

parachute container
illuminating parachute

SWITZERLAND

	ML 3	ML 5	ML 17	ML 22	Total per destination
a	1	1	1	0	3
ai	1	1	1	0	3
ag	0	0	0	0	0
a _{gen}	0	0	0	0	0
b	876	5 274 757	54 600	0	5 330 233
bi	876	5 274 757	54 600	0	5 330 233
bg	0	0	0	0	0
	0	0	0	0	0
c	0	2 957 319	46 489	142 829	3 146 637
ci	0	2 957 319	46 489	142 829	3 146 637
c _g	0	0	0	0	0
c _{gen}	0	0	0	0	0
d	0	0	0	0	0
e	-	-	-	-	-

Licences issued for:

cartridges (test) (I)
 optoelectronic system for observation, discovery, recognition and identification (I)
 camouflage covers (inward processing) (I)

Military goods exported:

cables for optoelectronic system for observation, discovery, recognition and identification
 optoelectronic system for observation, discovery, recognition and identification
 camouflage covers
 installation services of radio communication systems for military armoured vehicle

TURKEY

	ML 3	ML 4	ML 5	ML 8	ML 10	ML 15	ML 16	Total per destination
a	2	4	2	2	1	1	6	17
ai	2	4	2	2	1	1	6	17
ag	0	0	0	0	0	0	0	0
a _{gen}	0	0	0	0	0	0	0	0
b	119 580	4 931 394	2 119 794	275 784	0	525 302	117 702	8 089 556
bi	119 580	4 931 394	2 119 794	275 784	0	525 302	117 702	8 089 556
bg	0	0	0	0	0	0	0	0
b _{gen}	0	0	0	0	0	0	0	0
c	119 580	1 989 784	507 134	275 784	0	399 230	68 901	3 360 413
ci	119 580	1 989 784	507 134	275 784	0	399 230	68 901	3 360 413
c _g	0	0	0	0	0	0	0	0
c _{gen}	0	0	0	0	0	0	0	0
d	0	0	2	0	0	0	0	0
e	-	-	-	-	-	-	-	-

Licences issued for:

cartridges cal. 14,5 mm (test) (I)
 cartridges (C)

ammunition belts of links for light machine gun (C)
 components for artillery rocket cal. 122 mm (I)
 warheads cal. 107 mm (C)
 equipment for remote controlled platform (I)
 composition for ammunition production (I)
 military explosive (I)
 parts for aircraft demonstrator engine (I)
 optical components for imaging and countermeasure equipment (I)
 aluminium extruded profile (I)

Military goods exported:

cartridges cal. 14,5 mm (test)
 ammunition belts of links for light machine gun
 cartridges
 components for artillery rocket cal. 122 mm
 warheads cal. 107 mm
 warhead cal. 300 mm
 cables and spare parts for remote-controlled platform
 equipment for remote controlled platform
 composition for ammunition production
 military explosive
 optical components for imaging and countermeasure equipment
 aluminium extruded profile

UNITED ARAB EMIRATES

	ML 7	ML 10	ML 21	Total per destination
a	1	2	1	4
a _i	1	2	1	4
a _g	0	0	0	0
a _{gen}	0	0	0	0
b	7 648 450	47 796	113 050	7 809 296
b _i	7 648 450	47 796	113 050	7 809 296
b _g	0	0	0	0
b _{gen}	0	0	0	0
c	166 250	47 796	2 375	216 421
c _i	166 250	47 796	2 375	216 421
c _g	0	0	0	0
c _{gen}	0	0	0	0
d	0	0	0	0
e	-	-	-	-

Licences issued for:

equipment for nuclear and chemical detection (G)
 spare parts for military transport helicopter (G)
 software for CBRN detection echipamente (G)

Military goods exported:

equipment for nuclear and chemical detection
 equipment and spare parts for military transport
 software for CBRN detection echipamente

UNITED KINGDOM

	ML 2	ML 10	ML 16	ML 18	Total per destination
a	1	27	1	1	30
a _i	1	26	1	1	29
a _g	0	1	0	0	1
a _{gen}	0	0	0	0	0
b	260	2 023 205	1 655	2 861	2 027 981
b _i	260	1 585 453	1 655	2 861	1 590 229
b _g	0	437 752	0	0	437 752
b _{gen}	0	0	0	0	0
c	0	1 209 482	1 650	2 861	1 213 993
c _i	0	1 166 670	1 650	2 861	1 171 181
c _g	0	42 812	0	0	42 812
c _{gen}	0	0	0	0	0
d	0	0	0	0	0
e	-	-	-	-	-

Licences issued for:

components for antiaircraft machine gun (I)
 components for military parachute (I) and (C)
 recovery parachute and parts therefor (I)
 spare parts for personnel parachute (C)
 aircraft brake parachute (C)
 cargo parachute and spare parts (C)
 parachute container (C)
 personnel parachute (I)
 spare parts and equipment for military helicopter (I)
 aluminium extruded profile (I)
 testing equipment devices for military transport helicopter (G)

Military goods exported:

personnel parachute
 spare parts for personnel parachute
 recovery parachute
 cargo parachute
 aircraft brake parachute
 parachute container
 components for military parachute
 products for military aircraft parts
 assemblies and subassemblies for military aircraft, component and accessories therefor
 aluminium extruded profile
 testing equipment devices for military transport helicopter

UNITED STATES OF AMERICA

	ML 1	ML 2	ML 3	ML 10	ML21	Total per destination
a	11	2	1	0	0	14
a _i	11	1	1	0	0	13
a _g	0	1	0	0	0	1
a _{gen}	0	0	0	0	0	0
b	1 770 768	1 709 761	2 199 265	0	0	5 679 794
b _i	1 770 768	636 141	2 199 265	0	0	4 606 174
b _g	0	1 073 620	0	0	0	1 073 620
b _{gen}	0	0	0	0	0	0
c	10 510 621	636 141	4 933 211	2 778 618	159 469	19 018 060
c _i	10 510 621	636 141	4 933 211	0	159 469	16 239 442
c _g	0	0	0	2 778 618	0	2 778 618
c _{gen}	0	0	0	0	0	0
d	0	0	0	0	0	0
e	-	-	-	-	-	-

Licences issued for:

sniper rifle (21) (1 license) (C)
 magazine and cartridges for sniper rifle (C)
 machine gun cal. 12,7 mm (5) (1 license) (G)
 sets of components for assault rifle, semi-automatic rifles and machine gun cal. 12,7 mm (I)
 light machine gun cal. 12,7 mm (3) (1 license) (G)
 spare parts and accessories for light machine gun cal. 12,7 mm (G)
 components for light machine gun and assault rifle (G)
 sets of spare parts for light machine gun and sniper rifle (I)
 spare parts for assault rifle (G)
 sets of components for small arms (demilitarization) (C)
 magazines for small arms (C)
 antitank grenade launcher cal. 40 mm with related kits (160) (1 license) (G)
 grenade launcher kits cal. 40 mm (G)
 products for mortar system components (I)
 small arms cartridges (G)

Military goods exported:

semi-automatic rifles (13 510)
 assemblies for semi-automatic rifle
 sniper rifle (821)
 components for sniper rifle
 sets of components for assault rifle, semi-automatic rifles and machine gun cal. 12,7 mm
 magazines for sniper rifle
 pistol (18 935)
 sets of components for small arms (demilitarization)
 magazines for small arms
 antitank grenade launcher cal. 40 mm with related kits (160)
 grenade launcher kits cal. 40 mm
 cartridges cal. 12,7 mm
 tracer cartridges
 maneuver ammunition
 small arms ammunition
 mechanical parts and components for military aircraft

(1/3)	ML 1	ML 2	ML 3	ML 4	ML 5	ML 6
a ³	20	8	30	10	27	2
a _i	20	7	30	10	27	1
a _g	0	1	0	0	0	1
a _{gen}	0	0	0	0	0	0
b	10 389 292	7 667 941	43 484 013	7 450 920	9 029 387	13 133 479
b _i	10 389 292	6 594 321	43 484 013	7 450 920	9 029 387	928
b _g	0	1 073 620	0	0	0	13 132 551
b _{gen}	0	0	0	0	0	0
c	12 438 459	4 384 001	16 406 733	6 587 083	3 948 211	6 781 715
c _i	12 438 459	4 384 001	16 406 733	6 587 083	3 910 597	928
c _g	0	0	0	0	37 614	6 780 787
c _{gen}	0	0	0	0	0	0
d	0	0	0	0	0	0
e	-	-	-	-	-	-

³ (a) = number of licenses issued; (a_i) = number of individual licenses; (a_g) = number of global licenses; (a_{gen}) = number of general licenses; (b) = value of licenses issued in euro; (b_i) = value of individual licenses in euro; (b_g) = value of global licenses in euro; (b_{gen}) = value of general licenses in euro; (c) = value of arms exports in euro; (c_i) = value of arms exports on individual licenses in euro; (c_g) = value of arms exports on global licenses in euro; (c_{gen}) = value of arms exports on general licenses in euro; (d) = total number of license refusals; (e) = criteria numbers of the Council Common Position 2008/944/CFSP of 8 December 2008 defining common rules governing control of exports of military technology and equipment on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

2020 Exchange rates:

1 EUR = 1,14220 USD
1 EUR = 0,88970 GBP

(2/3)	ML 7	ML 8	ML 9	ML 10	ML 11	ML 13	ML14
a	1	4	1	86	6	1	1
a _i	1	4	1	76	6	1	0
a _g	0	0	0	10	0	0	0
a _{gen}	0	0	0	0	0	0	0
b	7 648 450	842 784	13 000 000	25 380 097	1 672 875	22 500	8 265
b _i	7 648 450	842 784	13 000 000	7 404 259	1 672 875	22 500	8 265
b _g	0	0	0	17 975 838	0	0	0
b _{gen}	0	0	0	0	0	0	0
c	166 250	298 184	21 120 357	21 023 382	4 237 153	27 088	385 309
c _i	166 250	298 184	21 120 357	6 716 030	847 738	27 088	340 956
c _g	0	0	0	14 307 352	3 389 415	0	44 353
c _{gen}	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-

(3/3)	ML 15	ML 16	ML 17	ML 18	ML 21	ML 22	Total
a	9	44	3	6	1	13	273
a _i	9	44	3	3	1	7	251
a _g	0	0	0	3	0	5	20
a _{gen}	0	0	0	0	0	0	0
b	6 730 279	311 185	191 407	14 593 117	113 050	14 967 211	176 636 252
b _i	6 730 279	311 185	191 407	42 250	113 050	807 211	115 743 376
b _g	0	0	0	14 550 867	0	14 160 000	60 892 876
b _{gen}	0	0	0	0	0	0	0
c	5 904 020	193 576	2 020 203	2 842 822	5 165 176	2 092 593	116 022 315
c _i	3 247 854	193 576	183 296	22 361	161 844	884 686	77 938 021
c _g	2 656 166	0	1 836 907	2 820 461	5 003 332	1 207 907	38 084 294
c _{gen}	0	0	0	0	0	0	0
d	0	0	0	0	0	0	0
e	-	-	-	-	-	-	-

3. TEMPORARY EXPORTS/TRANSFERS PER DESTINATION

BELGIUM

	ML 5	Total per destination
a _{IT}	1	1
d	0	0
e	-	-

Licences issued for:

warning system and countermeasures (test)

CANADA

	ML 21	Total per destination
a _{IT} ⁴	1	1
d	0	0
e	-	-

Licences issued for:

software for communications and informatics (test)

HUNGARY

	ML 5	Total per destination
a _{IT}	1	1
d	0	0
e	-	-

Licences issued for:

border surveillance system (test)

ITALY

	ML 6	Total per destination
a _{IT}	1	1
d	0	0
e	-	-

Licences issued for:

land vehicles of logistic transports (technical tests)

⁴ a_{IT} = number of temporary licenses issued; (d) = total number of license refusals; (e) = criteria numbers of the Council Common Position 2008/944/CFSP of 8 December 2008 defining common rules governing control of exports of military technology and equipment on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets). T = temporary operation indicative

2020 Exchange rates:

1 EUR = 1,14220 USD

1 EUR = 0,88970 GBP

MALAYSIA

	ML 5	Total per destination
a _{IT}	1	1
d	0	0
e	-	-

Licences issued for:

remote-controlled platform for detection, recognition, identification (exhibition)

4. BROKERING ACTIVITIES

Brokering activities – activities carried out by a natural or legal person regarding:

- the negotiation or organisation of transactions involving the export, import or transfer of military goods from a third country to another third country;
- the purchase, sale, export or transfer of military goods which are in that person’s ownership from a third country to another third country

During the 2020 year no brokering licence application has been received

⁵ (a) = number of licenses issued; (a_i) = number of individual licenses; (a_g) = number of global licenses; (a_{gen}) = number of general licenses; (b) = value of licenses issued in euro; (b_i) = value of individual licenses in euro; (b_g) = value of global licenses in euro; (b_{gen}) = value of general licenses in euro; (c) = value of arms exports in euro; (c_i) = value of arms exports on individual licenses in euro; (c_g) = value of arms exports on global licenses in euro; (c_{gen}) = value of arms exports on general licenses in euro; (d) = total number of license refusals; (e) = criteria numbers of the Council Common Position 2008/944/CFSP of 8 December 2008 defining common rules governing control of exports of military technology and equipment on which refusals are based (the approximate number of times each criterion is invoked is indicated in brackets)

2020 Exchange rates:

1 EUR = 1,14220 USD
1 EUR = 0,88970 GBP

5. TRANSIT AND TRANSHIPMENT

ML Cat	Value [EUR]	Number of operations	Product name	Exporter Country	Neighbouring Entrance Country	Neighbouring Exit Country	Importer Country	Final Destination	Transport means
Transit Operations									
ML8	370 950	1	hexogen	Poland	Hungary	Bulgaria	Turkey	Turkey	Rutier
ML1	132 671	1	assault weapons with spare parts and accessories, machine gun	Bulgaria	Bulgaria	Hungary	USA	Mauritania	Road
ML1	217 009	1	armament spare parts	Bulgaria	Bulgaria	Otopeni Airport	Afghanistan	Afghanistan	Road - Aero
ML 10	246 651	1	spare parts for trainer military aircraft	Bulgaria	Bulgaria	Republic of Moldova	Ukraine	Ukraine	Road
ML4,8	213 184	4	aviation engine, mine container cal. 60 mm	Bulgaria	Bulgaria	Ukraine	Ukraine	Ukraine	Road
ML10	246 651	1	spare parts for trainer military aircraft	Bulgaria	Bulgaria	Republic of Moldova	Ukraine	Ukraine	Road
ML10, 22,18,3,4,6,8	4 993 999	15	spare parts and engine for aviation, ABV unit, machine for loading hunting cartridge, ammunition assembly equipment, primers, anti-tank warhead components, optical devices for guided missile, primers, detonators, projectiles cal. 122 mm, container for dynamic protection, pyrotechnic compositions	Ukraine	Ukraine	Bulgaria	Bulgaria	Bulgaria	Road
ML13	679 061	2	bulletproof vest and components for them	USA	Constanta Seaport	Republic of Moldova	Republic of Moldova	Republic of Moldova	Maritime Road -
ML14, 21	441 254	1	simulators, software	USA	Hungary	Republic of Moldova	Republic of Moldova	Republic of Moldova	Road
ML2	288 916	1	cannons	Czech Republic	Hungary	Constanta Seaport	Lebanon	Lebanon	Road - Maritime

ML2	1 935 939	1	cannons ,smoke system	Ukraine	Ukraine	Bulgaria	Bulgaria	Algeria	Road
ML2,3	68 144	1	grenades, grenade launcher - various tipos	Bulgaria	Bulgaria	Hungary	Australia	Australia	Road
ML3,4	2 143 745	6	antitank grenades, cartridge tapes, various projectiles, missiles and grenades	Bulgaria	Bulgaria	Hungary	USA	USA	Road
ML3	1 421 500	1	blind cartridges	Belarus	Ukraine	Bulgaria	United Arab Emirates (by Cyprus)	USA	Road
ML3	143 650	1	grenades	Bulgaria	Bulgaria	Hungary	Israel	Honduras	Road
ML3	2 887 905	2	primers	Ukraine	Ukraine	Serbia	Serbia	Serbia	Road
ML3	4 995 000	1	cartridges	Serbia	The Iron Gates	Constanta Seaport	Saudi Arabia (through Bahrain)	Saudi Arabia	River- Maritime
ML4	482 800	1	anti-hail rockets	Bulgaria	Bulgaria	Republic of Moldova	Republic of Moldova	Republic of Moldova	Road
ML4	6 042	2	projectiles cal. 122 mm	Belarus	Hungary	Bulgaria	Bulgaria	Bulgaria	Road
ML4	82 400	1	primers detonators	Hungary	Hungary	Bulgaria	Chad	Chad	Road
ML6	59 373 000	4	armored for tanks	Slovackia	Hungary	Bulgaria	Turkey	Turkey	Road
ML6	21 888	1	armored components	Bulgaria	Bulgaria	Hungary	Indonesia	Indonesia	Road
ML6	2 802 429	1	armored vehicle	Hungary	Hungary	Bulgaria	Bulgaria	Bulgaria	Road
ML6	2 802 429	1	armored vehicle	Bulgaria	Bulgaria	Hungary	Switzerland	Switzerland	Road
Total	86 750 566	51							

Footnote: The value of transit operations represents the value of the products transiting the Romanian territory

Transshipment Operations						Type of transshipment			
ML1	217 009	1	armament spare parts	Bulgaria	Bulgaria	Otopeni Airport	Afghanistan	Afghanistan	Road – Aero
ML13	679 061	2	bulletproof vest and components for them	USA	Constanta Seaport	Republic of Moldova	Republic of Moldova	Republic of Moldova	Maritime Road -
ML2	288 916	1	cannons	Czech Republic	Hungary	Constanta Seaport	Lebanon	Lebanon	Road - Maritime

6. EXPORT LICENCES BY RECIPIENT REGION AND COUNTRY

SUB-SAHARIAN AFRICA					
		ML 1	ML 3	ML 4	Total per destination
CAMEROON	a ⁵	1	0	0	1
	b	2 384 553	0	0	2 384 553
	c	0	0	0	0
REPUBLIC OF CHAD (THE)	a	1	2	0	3
	b	206 181	414 901	0	621 082
	c	206 181	318 639	0	524 820
NIGERIA	a	0	0	0	0
	b	0	0	0	0
	c	0	124 759	2 074 418	2 199 177
Total per category	a	2	2	0	4
	b	2 590 734	414 901	0	3 005 635
	c	206 181	443 398	2 074 418	2 723 997

⁵(a) = number of licences issued; (b) = value of licences issued in Euros; (c) = value of arms exports in Euros

2020 Exchange rates

1 EUR = 1,14220 USD
1 EUR = 0,88970 GBP

NORTH AFRICA

		ML 10	Total per destination
MOROCCO	a	1	1
	b	981	981
	c	0	0
Total per category	a	1	1
	b	981	981
	c	0	0

NORTH AMERICA

		ML 1	ML 2	ML 3	ML 10	ML 21	Total per destination
CANADA	a	0	0	0	4	0	4
	b	0	0	0	344 005	0	344 005
	c	0	0	0	302 292	0	302 292
UNITED STATES OF AMERICA	a	11	2	1	0	0	14
	b	1 770 768	1 709 761	2 199 265	0	0	5 679 794
	c	10 510 621	636 141	4 933 211	2 778 618	159 469	19 018 060
Total per category	a	11	2	1	4	0	18
	b	1 770 768	1 709 761	2 199 265	344 005	0	6 023 799
	c	10 510 621	636 141	4 933 211	3 080 910	159 469	19 320 352

SOUTH AMERICA

		ML 11	Total per destination
BRAZIL	a	0	0
	b	0	0
	c	53 391	53 391
Total per category	a	0	0
	b	0	0
	c	53 391	53 391

SOUTH-EAST ASIA

		ML 5	ML 15	Total per destination
MALAYSIA	a	1	0	1
	b	820 000	0	820 000
	c	0	0	0
SINGAPORE	a	0	2	2
	b	0	97 288	97 288
	c	0	65 499	65 499
Total per category	a	1	2	3
	b	820 000	97 288	917 288
	c	0	65 499	65 499

SOUTH ASIA

		ML 1	ML 3	ML 9	ML 10	ML 16	Total per destination
AFGHANISTAN	a	1	0	0	0	0	1
	b	3 534 342	0	0	0	0	3 534 342
	c	877 372	3 616 705	0	0	0	4 494 077
INDIA	a	1	0	0	2	1	4
	b	11 549	0	0	424 628	13 287	449 464
	c	11 549	0	0	150 700	10 157	172 406
PAKISTAN	a	0	0	1	13	0	14
	b	0	0	13 000 000	313 527	0	13 313 527
	c	0	0	21 112 113	325 423	0	21 437 536
Total per category	a	2	0	1	15	1	19
	b	3 545 891	0	13 000 000	738 155	13 287	17 297 333
	c	888 921	3 616 705	21 112 113	476 123	10 157	26 104 019

EUROPEAN UNION (1/3)

		ML 1	ML 2	ML 3	ML 4	ML 5	ML 8
AUSTRIA	a	0	0	1	0	0	0
	b	0	0	69 960	0	0	0
	c	0	0	69 960	0	0	0
BELGIUM	a	0	0	0	0	1	0
	b	0	0	0	0	77 000	0
	c	0	0	0	0	0	0
BULGARIA	a	1	4	9	0	0	1
	b	2 411 665	4 333 861	32 307 358	0	0	105 000
	c	685 102	2 123 801	5 740 859	0	0	22 400
CROATIA	a	0	0	0	0	0	0
	b	0	0	0	0	0	0
	c	1 537	0	0	0	0	0

		ML 1	ML 2	ML 3	ML 4	ML 5	ML 8
CZECH REPUBLIC	a	1	0	0	0	0	0
	b	4 973	0	0	0	0	0
	c	80 421	0	0	0	0	0
DENMARK	a	0	0	0	0	0	0
	b	0	0	0	0	0	0
	c	0	0	0	0	0	0
FRANCE	a	0	0	1	0	12	0
	b	0	0	10 068	0	387 011	0
	c	0	0	10 068	0	287 605	0
GERMANY	a	1	0	0	0	1	0
	b	46 350	0	0	0	2 962	0
	c	46 350	0	0	0	2 962	0
HUNGARY	a	1	0	0	0	0	0
	b	4 815	0	0	0	0	0
	c	0	0	0	0	0	0
ITALY	a	0	0	0	0	0	0
	b	0	0	0	0	0	0
	c	0	0	0	0	0	0
NETHERLANDS	a	0	0	0	0	0	0
	b	0	0	0	0	0	0
	c	0	0	0	0	0	0
POLAND	a	0	0	0	0	0	0
	b	0	0	0	0	0	0
	c	0	0	0	0	0	0
SLOVAKIA	a	0	0	4	1	0	1
	b	0	0	1 720 407	20 000	0	462 000
	c	0	0	10 345	0	0	0

		ML 1	ML 2	ML 3	ML 4	ML 5	ML 8
SPAIN	a	0	0	0	0	0	0
	b	0	0	0	0	0	0
	c	0	0	0	0	0	0
SWEDEN	a	0	0	0	0	0	0
	b	0	0	0	0	0	0
	c	0	0	0	0	0	0
Total per category	a	4	4	15	1	14	2
	b	2 467 803	4 333 861	34 107 793	20 000	466 973	567 000
	c	813 410	2 123 801	5 831 232	0	290 567	22 400

EUROPEAN UNION (2/3)

		ML 9	ML 10	ML 11	ML 13	ML 15	ML 16
AUSTRIA	a	0	0	0	0	0	0
	b	0	0	0	0	0	0
	c	0	0	0	0	0	0
BELGIUM	a	0	0	0	1	1	0
	b	0	0	0	22 500	103 759	0
	c	0	0	0	0	159 611	0
BULGARIA	a	0	1	1	0	0	0
	b	0	2 364 075	1 392 943	0	0	0
	c	0	2 364 075	715 574	0	0	0
CROATIA	a	0	1	0	0	0	0
	b	0	540	0	0	0	0
	c	0	955 665	0	0	0	0
CZECH REPUBLIC	a	0	1	0	0	0	7
	b	0	8 000	0	0	0	52 434
	c	0	40 999	0	0	0	36 998

		ML 9	ML 10	ML 11	ML 13	ML 15	ML 16
DENMARK	a	0	0	0	0	0	0
	b	0	0	0	0	0	0
	c	0	0	0	0	0	0
FRANCE	a	0	1	0	0	1	8
	b	0	2 000 000	0	0	86 276	43 293
	c	0	289 714	0	27 088	421 510	34 481
GERMANY	a	0	6	0	0	2	0
	b	0	1 634 562	0	0	778 854	0
	c	8 244	999 082	0	0	683 178	0
HUNGARY	a	0	0	0	0	2	0
	b	0	0	0	0	5 138 800	0
	c	0	0	0	0	1 827 400	0
ITALY	a	0	0	0	0	0	1
	b	0	0	0	0	0	2 539
	c	0	167 655	0	0	0	0
NETHERLAND	a	0	4	0	0	0	0
	b	0	512 694	0	0	0	0
	c	0	9 183	0	0	0	0
POLAND	a	0	0	0	0	0	9
	b	0	0	0	0	0	42 033
	c	0	0	0	0	0	31 345
SLOVAKIA	a	0	0	0	0	0	0
	b	0	0	0	0	0	0
	c	0	0	0	0	0	0
SPAIN	a	0	1	0	0	0	11
	b	0	303 225	0	0	0	38 242
	c	0	429 153	0	0	0	10 044

		ML 9	ML 10	ML 11	ML 13	ML 15	ML 16
SWEDEN	a	0	4	0	0	0	0
	b	0	97 597	0	0	0	0
	c	0	63 793	0	0	0	0
Total per category	a	0	18	1	1	6	36
	b	0	6 917 182	1 392 943	22 500	6 107 689	178 541
	c	8 244	5 319 319	715 574	27 088	3 091 699	112 868

EUROPEAN UNION (3/3)

		ML 17	ML 18	ML 21	ML 22	Total per destination
AUSTRIA	a	0	0	0	0	1
	b	0	0	0	0	69 960
	c	0	0	0	0	69 960
BELGIUM	a	0	0	0	0	3
	b	0	0	0	0	203 259
	c	0	0	0	0	159 611
BULGARIA	a	0	0	0	1	18
	b	0	0	0	80 811	42 995 713
	c	0	0	0	9 457	11 661 268
CROATIA	a	0	0	0	0	1
	b	0	0	0	0	540
	c	0	0	0	0	957 202
CZECH REPUBLIC	a	0	0	0	0	9
	b	0	0	0	0	65 407
	c	0	0	0	0	158 418
DENMARK	a	0	0	0	0	0
	b	0	0	0	0	0
	c	0	0	3 273 223	0	3 273 223

		ML 17	ML 18	ML 21	ML 22	Total per destinație
FRANCE	a	0	1	0	3	27
	b	0	39 000	0	226 400	2 792 048
	c	0	19 500	24 805	103 400	1 218 171
GERMANY	a	0	0	0	1	11
	b	0	0	0	10 000 000	12 462 728
	c	0	0	0	56 757	1 796 573
HUNGARY	a	0	0	0	0	3
	b	0	0	0	0	5 143 615
	c	0	0	0	0	1 827 400
ITALY	a	2	0	0	0	3
	b	136 807	0	0	0	139 346
	c	136 807	0	0	0	304 462
NETHERLAND	a	0	0	0	4	8
	b	0	0	0	4 160 000	4 672 694
	c	0	0	1 023 448	1 151 150	2 183 781
POLAND	a	0	0	0	0	9
	b	0	0	0	0	42 033
	c	0	0	0	0	31 345
POLAND	a	0	0	0	0	6
	b	0	0	0	0	775 835
	c	0	0	0	0	10 345
SLOVAKIA	a	0	0	0	0	6
	b	0	0	0	0	2 202 407
	c					10 345
SPAIN	a	0	0	0	0	12
	b	0	0	0	0	341 467
	c	0	0	0	0	439 197
SWEDEN	a	0	0	0	0	4
	b	0	0	0	0	97 597

		ML 17	ML 18	ML 21	ML 22	Total per destination
	c	0	0	0	0	63 793
Total per category	a	2	1	0	9	115
	b	136 807	39 000	0	14 467 211	71 228 814
	c	136 807	19 500	4 321 476	1 320 764	24 154 749

OTHER EUROPEAN COUNTRIES (1/2)

		ML 1	ML 2	ML 3	ML 4	ML 5	ML 8	MI 10	ML 14
AZERBAIJAN	a	1	0	0	0	4	0	0	0
	b	14 096	0	0	0	68 254	0	0	0
	c	14 096	0	0	0	36 955	0	0	0
NORTH MACEDONIA, REPUBLIC OF	a	0	0	0	0	0	0	0	0
	b	0	0	0	0	0	0	0	0
	c	0	0	0	0	0	0	0	332 691
REPUBLIC OF MOLDOVA	a	0	0	2	0	0	0	0	0
	b	0	0	508 280	0	0	0	0	0
	c	0	0	0	0	0	0	0	0
SERBIA	a	0	1	1	3	0	0	0	0
	b	0 1 624 059	1 015 584	2 420 250	0	0	0	0	0
	c	0 1 624 059	1 015 584	423 300	0	0	0	0	0
SWITZERLAND	a	1	0	0	0	1	0	0	0
	b	876	0	0	0	5 274 757	0	0	0
	c	0	0	0	0	2 957 319	0	0	0
TURKEY	a	0	0	2	4	2	2	0	0
	b	0	0	119 580	4 931 394	2 119 794	275 784	0	0
	c	0	0	119 580	1 989 784	507 134	275 784	0	0
	a	0	1	0	0	0	0	27	0

UNITED KINGDOM	b	0	260	0	0	0	0	2 023 205	0
	c	0	0	0	0	0	0	1 209 482	0
Total per category	a	2	2	5	7	7	2	28	0
	b	14 972	1 624 319	1 643 444	7 351 644	7 462 805	275 784	2 026 716	0
	c	14 096	1 624 059	1 135 164	2 413 084	3 501 408	275 784	1 209 482	332 691

OTHER EUROPEAN COUNTRIES (2/2)

		ML 15	ML 16	ML 17	ML 18	ML 22	Total per destinație
AZERBAIJAN	a	0	0	0	0	0	5
	b	0	0	0	0	0	82 350
	c	0	0	0	0	0	51 051
NORTH MACEDONIA, REPUBLIC OF	a	0	0	0	0	0	0
	b	0	0	0	0	0	0
	c	0	0	0	0	0	332 691
REPUBLIC OF MOLDOVA	a	0	0	0	0	0	2
	b	0	0	0	0	0	508 280
	c	0	0	0	0	0	0
SERBIA	a	0	0	0	0	0	5
	b	0	0	0	0	0	5 059 893
	c	0	0	0	0	0	3 062 943
SWITZERLAND	a	0	0	1	0	0	3
	b	0	0	54 600	0	0	5 330 233
	c	0	0	46 489	0	142 829	3 146 637
TURKEY	a	1	6	0	0	0	18
	b	525 302	117 702	0	0	0	8 093 067
	c	399 230	68 901	0	0	0	3 360 413
	a	0	1	0	1	0	30

UNITED KINGDOM	b	0	1 655	0	2 861	0	2 027 981
	c	0	1 650	0	2 861	0	1 213 993
Total per category	a	1	7	1	1	0	63
	b	525 302	119 357	54 600	2 861	0	21 101 804
	c	399 230	70 551	46 489	2 861	142 829	11 167 728

MIDDLE EAST (1/2)

		ML 3	ML 4	ML 5	ML 6	ML 7	ML 10	ML 11
ISRAEL	a	5	2	5	2	0	3	1
	b	543 229	79 276	279 609	13 133 479	0	13 152 861	116 004
	c	447 023	2 099 581	156 236	6 781 715	0	9 092 058	3 452 028
LEBANON	a	0	0	0	0	0	15	3
	b	0	0	0	0	0	2 152 401	147 768
	c	0	0	0	0	0	1 797 694	0
OMAN	a	1	0	0	0	0	0	0
	b	4 574 505	0	0	0	0	0	0
	c	0	0	0	0	0	0	0
SAUDI ARABIA	a	0	0	0	0	0	0	1
	b	0	0	0	0	0	0	16 160
	c	0	0	0	0	0	0	16 160
UNITED ARAB EMIRATES	a	0	0	0	0	1	2	0
	b	0	0	0	0	7 648 450	47 796	0
	c	0	0	0	0	166 250	47 796	0
Total per category	a	6	2	5	2	1	20	5
	b	5 117 734	79 276	279 609	13 133 479	7 648 450	15 353 058	279 932
	c	447 023	2 099 581	156 236	6 781 715	166 250	10 937 548	3 468 188

MIDDLE EAST (2/2)

		ML 14	ML 15	ML 17	ML 18	ML 21	ML 22	Total per category
ISRAEL	a	1	0	0	3	0	0	22
	b	8 265	0	0	14 550 867	0	0	41 863 590
	c	52 618	2 347 592	1 836 907	2 820 461	681 856	129 000	29 897 075
LEBANON	a	0	0	0	1	0	3	22
	b	0	0	0	389	0	500 000	2 800 558
	c	0	0	0	0	0	500 000	2 297 694
OMAN	a	0	0	0	0	0	0	1
	b	0	0	0	0	0	0	4 574 505
	c	0	0	0	0	0	0	0
SAUDI ARABIA	a	0	0	0	0	0	0	1
	b	0	0	0	0	0	0	16 160
	c	0	0	0	0	0	0	16 160
UNITED ARAB EMIRATES	a	0	0	0	0	1	0	4
	b	0	0	0	0	113 050	0	7 809 296
	c	0	0	0	0	2 375	0	216 421
Total per category	a	1	0	0	4	1	3	50
	b	8 265	0	0	14 551 256	113 050	500 000	57 064 109
	c	52 618	2 347 592	1 836 907	2 820 461	684 231	629 000	32 427 350

OCEANIA

		ML 1	Total per destination
AUSTRALIA	a	0	0
	b	0	0
	c	5 230	5 230
Total per category	a	0	0
	b	0	0
	c	5 230	5 230

Controlul exporturilor de arme / Raport anual 2020

Annex 1

GOVERNMENT'S EMERGENCY ORDINANCE
on the control regime of exports, imports and other operations
with military goods
(unofficial translation)

CHAPTER I

General provisions

Article 1- (1) The following operations with military goods shall be subject to the control regime stipulated in the present emergency ordinance:

- a) export, import and transfer, either permanent or temporary, from or to outside the territory of Romania;
- b) brokering activity;
- c) international transit via Romania;
- d) transshipment (transfers from one transport vector to another) on the territory of Romania.

(2) An exception to the provisions of the present emergency ordinance shall be the operations stipulated in the paragraph (1), performed in connection with the participation:

- a) of national forces for Defense, public order and national security in missions, operations, exercises, training, and ceremonial activities, outside the territory of Romania.
- b) of foreign armed forces or law enforcement structures abroad which have the approval of the Romanian authorities for entering, staying, carrying out operations or the transit through the territory of Romania.

(3) The provisions of the present emergency ordinance shall not apply to transit and transshipment when they are related to a transfer of military products between two member states of the European Union.

(4) The provisions of the present emergency ordinance shall not apply to the control of operations stipulated in paragraph (1) performed with firearms, parts and components thereof, as well as for civil ammunitions, performed by the persons referred to in article 3.

Article 2 – The destination and final use of the military goods making the object of the operations stipulated under article 1 shall also be subject to control.

Article 3 – (1) The provisions of the present emergency ordinance shall apply to all persons carrying out the operations stipulated under article 1.

(2) By *person* one shall understand any natural or legal entity residing in Romania, including public authorities.

(3) The provisions of paragraph (1) shall also apply to Romanian natural entities outside the Romanian territory, in compliance with the international law.

Article 4 – The operations stipulated under article 1 shall be authorized by means of a licence, as applicable, under the provisions of the present emergency ordinance.

Article 5 – For the purpose of the present emergency ordinance, the terms and phrases below shall have the following meanings:

- a) *military goods* – weapons, ammunition, missiles, bombs, torpedoes, mines, land, air and marine vehicles and other products, equipments and systems designed and built for military purposes, their components, parts and accessories, as well as related software and technology;
- b) *export* - removing a military product from the Romanian territory, temporarily or permanently, by a person or natural entity to any third country, including re-export activities and technical assistance;
- c) *import* – placing a military product on the Romanian territory, temporarily or permanently, from a person or natural entity from any third country;
- d) *transfer* – any shipment or movement of a military product to a recipient or provider from another member state of the European Union;
- e) *transit* – the transport of military products through Romanian territory, which come from other countries and are intended to other countries;
- f) *transshipment* – the operation of changing the means of transport during transit operations or during insertion or removal operations in or from the territory of Romania;
- g) *technical assistance* – any technical support dealing with the development, manufacture, assembly, testing, maintenance, repair or any technical service in the form of instruction, training, transmission of information or

operational qualifications or consultancy services for military goods. Technical assistance shall also include forms of orally transmitted assistance;

- h) *foreign trade operations* – operations involving the military goods stipulated under article 1 (1) a) and b);
- i) *transmission of software or technology by electronic means* – transmission of software or technology by electronic means, by fax, Internet or telephone to a destination outside Romania; this shall not include oral transmission of technology via telephone unless a document or pertinent parts of it are being read to a correspondent over the telephone or described to him/her so as to obtain the same result;
- j) *brokering activity* – activities carried out by a person regarding:
 - (i) negotiation or organization of transactions that may involve the export, the import or the transfer of military from a third country to any other third country;
 - (ii) purchase, sale, export or transfer of military goods that are in their ownership from a third country to any other third country;
 - (iii) negotiating or arranging transactions involving the removal or insertion of or in the Romanian territory of military products;
- k) *exporter* - any natural or legal person, or any association of persons:
 - (i) on account of which the export declaration is made and who is the owner of the goods to be exported, or a person invested with the right to alienation of the goods when the declaration is accepted;
 - (ii) which, when the ownership or the alienation of goods belongs to a person established outside the European Union in accordance with the contract on which the export is based, is the contracting party established in the European Union
- l) *importer* –any natural or legal person, or any association of persons in whose name the military products are put into free circulation, undergo active improvement, are processed under customs control or are temporary admitted;
- m) *supplier* - any natural or legal person with residence/Headquarters in the European Union which has legal responsibility for transfer;
- n) *recipient* - any natural or legal person with residence/Headquarters in the European Union which has legal responsibility for the reception of a transfer;
- o) *registration* - confirmation of operation of fulfillment by the applicant of legal provisions for carrying out foreign trade operations with military products;
- p) *certification* - operation through which it is established the credibility of a recipient enterprise;
- q) *transfer licence* - authorization issued to a supplier, respectively recipient, to transfer military products, as applicable, to a recipient or from a supplier from another Member State of the European Union;
- r) *export licence* - authorization issued to an export to provide military products by a legal or natural person from a third country;
- s) *import licence* - authorization issued to an importer to receive military goods from a legal or natural person from a third country;
- t) *brokering activity license* - authorization issued to a person to carry out a brokering activity with military goods;
- u) *transit licence* - authorization issued to a person to carry out a transit operation with military goods;
- v) *transshipment license* - authorization issued to a person for a transshipment operation.

Article 6 – The list comprising the military goods subject to the control regime for exports, imports and other operations shall be set out by order of the Minister of Foreign Affairs, which is published in the Official Jurnal of Romania, Part 1.

Article 7 – (1) Export, re-export, transfer and technical assistance of military goods that are not included in the list comprising the products subject to the control of exports, imports and other operations stipulated at article 6 shall be subject to the licensing procedure in compliance with the provisions of the present emergency ordinance, if the exporter, the supplier or the intermediary is informed by the Ministry of Foreign Affairs, through the Department for the control of exports, hereinafter referred to as the MFA/DEC, that the products in question are or may be used, entirely or partially, for:

- a) developing, manufacturing, maintaining, stockpiling, or using military goods; or
- b) developing, manufacturing, maintaining, stockpiling, or using vectors able to carry on and deliver weapons of mass destruction to their target.

(2) If the exporter, the supplier or the intermediary should be acquainted with the fact that some products that are not controlled in accordance with the list comprising the military goods set out by Government decision are meant, either entirely or partially, to be used for one of the purposes stipulated under paragraph (1), he/she/it shall be under the obligation to inform MFA/DEC, which in turn will decide on the opportunity whether that export, re-export or transfer is subject to licensing procedure or not.

(3) The operations stipulated under paragraphs (1) and (2) shall be subject to the control regime stipulated by the present emergency ordinance by order of the Minister of Foreign Affairs, and shall be notified to the interested persons.

Article 8 – The control regime for exports, imports and other operations with military goods shall be accomplished in compliance with:

- a) the fundamental guidelines of Romania's foreign policy;

- b) Romania's national security and economic interests;
- c) the principles and criteria referred to in the Common Position of 2008/944/CFSP of the European Council in December 2008 defining common rules governing the control of exports of military technology and equipment;
- d) the obligations deriving from the implementation of embargoes on weapons transfers established by the United Nations Security Council Resolutions, a common position or joint action adopted by the Council of the European Union, a decision of the Organization for Security and Co-operation in Europe, or established by other EU or NATO member states;
- e) the objectives of non-proliferation of weapons of mass destruction, of vectors carrying such weapons, and of other military goods used for the purpose of destabilizing accumulations;
- f) the international conventions, treaties and agreements, the non-proliferation mechanisms Romania is a party to, and other international undertakings assumed by Romania as a participating state in the international non-proliferation and export controls regimes;
- g) the principle of co-operation with the states promoting non-proliferation policies similar to Romania's policies in this field.

Article 9 – MAE by ANCEX, is the national authority in the field of operations with military goods stipulated under article 1, paragraph (1) and ensures the implementation of the Government's policy in this field too.

CHAPTER II

Registration, certification and licensing regime

Article 10 – (1) The legal persons stipulated under article 3 may only require carrying out foreign trade operations with military goods only after receiving confirmation of registration issued by MFA/DEC, under the law.
(2) The addressing of the requests for registration referred to in paragraph (1) of the persons referred to in article 3 is subject to obtaining the opinion of the Ministry of National Defense.

Article 11 – The persons referred to in article 3, which also have the quality of recipient, may receive general transfer licences of military products, issued by the authorities of other Member States of the European Union, only after their approval by the MFA/DEC.

Article 12 - For each operation stipulated under article 1 b), the persons stipulated under article 3 shall be under the obligation to request to MFA/DEC the release of licences, according to the provisions of article 13.

Article 13 – (1) The operations stipulated under article 1 (1) a) shall be based on licences, which can be included in one of the following categories:

- a) *individual licence* – shall be granted to a registered person, in order to carry out an operation with one or several military goods, to or from a single importer, recipient, exporter or supplier;
 - b) *global licence* – shall be granted to a registered person, in order to carry out operations with one or several military goods, to or from several importers, recipients, exporters or suppliers.
 - c) *general licence* – it is adopted by order of the Minister of Foreign Affairs, which shall be published in the Official Journal of Romania, Part I, and can be used by registered persons and, where appropriate, certified by the MINISTRY of FOREIGN AFFAIRS by ANCEX in compliance with the terms and conditions contained in the licence to perform repetitive operations with one or more military goods, to or from several importers, recipients, exporters, suppliers.
- (2) The operations referred to in article 1 paragraph (1) shall be carried out on the basis of the licence of transfer, whether recipients or suppliers are EU Member States, respectively on the basis of licences of export, import, transit, brokering activity or transshipment, for exporters or importers from non-Member States of the European Union.
- (3) For the operations referred to in article 1 paragraph (1) the MFA/DEC issues, if necessary, individual licences, global or general ones of export, import, transfer, transit, brokering activity or trans-shipment of military goods.
- (4) Transfer operations, export, re-export, import, brokering activity with military goods subject to international reporting is carried out only on the basis of an individual licence.

Article 14– (1) The users referred to in article 3 shall be under the obligation to apply in its own name MFA/DEC for a registration or, where appropriate, certification, as well as licence of export, import, transfer, transit or brokering activity or transshipment of military goods. General transfer licence authorises Romanian suppliers to perform transfers with Romanian military goods, in compliance with the terms and conditions contained in the transfer licence.

(2) The terms to be met in order to be issued a registration, a certification or a licence, as applicable, shall be set out by means of methodological regulations of implementation.

(3) The persons stipulated under article 3 shall be under the obligation to state before MFA/DEC the destination of the military goods, as well as their final recipient or user.

- (4) The registering and certification applications shall be solved no later than 90 days from the date of the documentation being submitted, under the law.
- (5) Applications for export, import, transfer and brokering activity licences shall be solved no later than 90 days from the date of the necessary documentation being submitted, under the law.
- (6) Applications for transit or transshipment licence shall be answered no later than 30 days from the date of the documentation being submitted, under the law.
- (7) Applications for registering, certification or licence, together with the data and information concerning any one of the elements regarding operations involving military goods, shall be submitted by the managers of the interested legal persons or, as applicable, by the natural entities.
- (8) The responsibility for the correctness of the data and information provided in support of the application shall belong to the applicants mentioned in paragraph (7).

Article 15 - People registered, certified, where appropriate, as well as the licence holders shall be under the obligation to notify the MFA/DEC of about any changes or differences occurred in the data listed in the registration documents, certification or licences, as well as during the hand-over or take-over of such military goods. If such changes or differences alter the terms based on which the registration document, certification or licence was issued, the latter documents shall be cancelled, and stating such changes or differences may be deemed as application for a new application for registration, certification or license, as applicable.

- Article 16** – (1) The registration validity shall be no longer than 3 years from the date of issuance.
- (2) The validity of certification shall not exceed 5 years from the date of issuance.
 - (3) The validity of individual licenses shall be no longer than one year from their date of issuance. The validity of such licences may be prolonged for up to one year.
 - (4) The validity of global licenses shall not exceed 3 years from their date of issuance. The validity of such licenses may be prolonged for up to two years.
 - (5) The validity of the general transfer licences shall be established by order of the Minister of Foreign Affairs, which shall be published in the Official Journal of Romania, Part I.
 - (6) The validity of general export or of import licenses shall be no longer than 3 years from their date of issuance. The validity of such licences may be prolonged, as applicable, for up to 2 years.
 - (7) The validity of individual transit or transshipment licenses shall not exceed 90 days from their date of issuance.
 - (8) The document confirming the registration, certification and licences can be used only by their holders, for the purposes for which it was issued, subject to the limits and conditions provided for by them, and may not be transferred, directly or indirectly.

- Article 17** – (1) The persons stipulated under article 3 shall be under the obligation to declare and present the military goods for customs operations only before the customs facilities set out in the documents issued by MFA/ DEC.
- (2) Transit and transshipment for military goods on the territory of Romania shall only take place via the customs facilities set out under paragraph (1).

CHAPTER III

Control regime within the relationships with foreign partners

- Article 18**– (1) As regards exports or transfer of military goods, the exporter shall be under the obligation to ask the foreign partner to produce an ensuring document from the end user - international import certificate or an equivalent document, issued or certified by the competent authority in the importer's country, respectively the consignee, or the declaration of the ultimate consignee, as applicable, according to which the latter undertakes to comply with the destination and final use, as stated, and, as applicable, not to re-export, respectively not to re-transfer the imported or transferred products without prior written approval by MFA/DEC. The ensuring document from the end user, in original, shall necessarily be enclosed with the export or transfer licence application.
- (2) The applicant for an export license, transfer or brokering activity shall be under the obligation to notify the MFA/DEC the identification data of all persons of whom he/she acknowledges that they are involved in the operation of the export, transfer or brokering activity.
 - (3) In the case of exports, or brokering activity using military goods which are performed through foreign partners registered in States which ensure the anonymity of the shareholder, export or brokering activity operation can be performed only if the foreign partner is represented in Romania by a person registered to the MFA/DEC.
 - (4) After the delivery of the military goods has taken place, but no later than 4 months from that time, the holder of an export, transfer or brokering activity license shall have to obtain from the foreign partner the delivery verification

certificate or another equivalent document, issued or certified by the competent authority in the importing country, attesting the fact that the commodity has reached its destination.

(5) The delivery verification certificate or the equivalent document shall be produced to the MFA/DEC, in original, within the delay stipulated under paragraph (4).

Article 19– (1) As regards imports or transfer of military goods, the license applicant, at the request of the foreign partner, shall be under the obligation to request MFA/DEC to issue the international import certificate or the certification of an equivalent document.

(2) The applicant for the import or transfer licence shall be under the obligation to inform MFA/DEC about the statements of assurance of the end-user, respectively of the end use that he/she previously sent to the foreign partner.

(3) The applicant for the import or transfer licence shall be under the obligation to notify MFA/DEC the identification data of all persons of whom he/she acknowledge that they are involved in the respective operation of import or transfer.

(4) After the import has been completed, but no later than two months from that time, the holder of the licence, following the foreign partner's request, shall be under the obligation to apply with the MFA/DEC for release of a delivery verification certificate or an equivalent document.

(5) Should the foreign partner request additional proof that the import has been performed, the National Customs Authority shall issue such a confirmation.

(6) If the external partner requires additional evidence of import, the National Customs Authority will issue such a confirmation.

Article 20 – (1) In the case of brokering activity as defined in article 5, letter j), point (i) and (ii) the applicant for a license must submit the ensuring document provided by the end user, issued or certified by the competent authority of the country of the importer, respectively the consignee, through which the latter undertakes to respect the final use and destination, declared, and not re-export or re-transfer military goods unless with approval, written, of the authorities of the country of the exporter or supplier, as applicable.

(2) The ensuring document from the end user, in original or certified copy, must be enclosed in a license request for brokering activity.

(3) After examination and acceptance by the MFA/DEC, the ensuring document, in original, provided by the end user shall be returned to the license applicant in order to be transmitted to the licensing authorities of the country of the exporter or the supplier, as applicable.

Article 21 – (1) After the export or transfer, as applicable, related to the brokering activity stipulated under article 20, paragraph (1), but not later than four months from that moment, the broker must obtain from the foreign partner the Delivery Verification Certificate or an equivalent document, issued or certified by the competent authority of the importing or destination country, as applicable, certifying that the military goods reached the destination.

(2) The Delivery Verification Certificate or the equivalent document shall be submitted to MFA/DEC, in original, within the term stipulated under paragraph (1).

(3) After examination and acceptance by the MFA/DEC, the Delivery Verification Certificate or the equivalent document shall be returned to the intermediary to be forwarded to the licensing authorities of the country of the exporter or of the supplier, as applicable.

Article 22 - (1) In the case of brokering activity defined in article 5, letter j), point (iii), registered persons must notify in writing MFA/DEC of any activities which are considered and the Romanian or foreign persons with which they are going to negotiate or carry out operations that involve the removal from or entry on the Romanian territory of military goods.

(2) The broker will present MFA/DEC all documents which attest to its trade relations with the exporter, the importer, the consignee or other mediators, including operations and relevant activities carried out after the issuance of the corresponding licence of brokering activities, but not later than 10 working days from their progression.

Article 23 (1) The persons referred to in article 3, which carry out foreign trade operations with military products, have the obligation to provide the data owned, by periodic reports, on the work carried out, in accordance with the requirements of MFA/DEC.

(2) Periodic reporting procedure, the deadlines for reporting, as well as the reporting format shall be determined by order of the Minister of Foreign Affairs, published in the Official Journal of Romania, Part I.

(3) MFA/DEC requires legal or natural persons, in the process of examination of the applications for registration, certification or licensing, to report the way the previous foreign trade operations were carried out, if:

a) the foreign trade operation has not made the subject of a periodic report as provided in paragraph (2);

b) the foreign trade operation has made the subject of a periodic report as provided in paragraph (2), but in the process of examination or evaluation additional details are required about the final consignee, the end user, the destination and the final use of the military goods.

CHAPTER IV

Organization and functioning of the control regime

Article 24 – (1) In implementing the control regime stipulated in the present emergency ordinance, MFA/DEC shall co-ordinate the activity of the national control system for exports, imports and other operations with military goods, as the national authority.

(2) In order to accomplish its object, the Agency shall have the following main powers:

- a) to initiate draft laws, to draw up its own regulations, as well as joint regulations together with other authorities competent in this field, under the law, and to co-operate with them in implementing the provisions of the present emergency ordinance;
- b) to register and, as applicable, to certify the persons referred to in article 3 to carry out foreign trade operations with military goods, as stipulated under article 1 paragraph (1);
- c) to audit the implementation of the internal program ensuring the compliance to registered persons and, as applicable, certificated, who will benefit from the general transfer licences;
- d) to check, by looking into the records or facts, whenever necessary, the relevant aspects concerning the conclusion, progression or finalization of the operations stipulated under article 1, the object of which consists in the military goods, as well as the observance of their destination and final use;
- e) to check the compliance and accuracy of the declarations of persons carrying out the operations stipulated under article 1;
- f) to evaluate and to accept, as applicable, the international import certificate or equivalent documents issued by the competent authorities in the importer partners or consignees' countries, with a view to issuing the export, transfer or brokering activity licences for military goods;
- g) to evaluate and to accept, as applicable, the delivery control certificate or a certificate issued by the competent authorities from the countries of the importers or recipient partners or equivalent documents;
- h) to issue an international import certificate or an equivalent document, as well as the delivery verification certificate for imports or transfers of military goods;
- i) to examine and to approve, based on the Inter-ministry council's opinion, the applications for an export, import, transfer or brokering activity licence using military goods;
- j) to issue export, import, transfer or brokering activity licences for military goods;
- k) to examine and to approve licence applications for transit or transshipping operations on the territory of Romania;
- l) if violations of the provisions of the present emergency ordinance should be found, to order the discontinuance or prohibition of the progression of the operations of export, import, transfer, brokering activity, transit or transshipment involving military goods, as well as sanctions against the persons stipulated under article 3 that are found guilty of such violations;
- m) to apply the sanctions regime provided for in this emergency Ordinance through the control body set up by decision of the Director general;
- n) to inform periodically the Government and the Supreme Council for National Defense, on the operations with military goods which are being regulated by the present emergency ordinance;
- o) to draw up and to publish periodic reports on exports control of military products, under the law;
- p) to organize, with the support of the ministries and institutions concerned, information programs for economic agents relative to the principles, objectives, regulations and procedures regarding the control regime for exports and imports with military goods;
- q) to provide, on request, free specialized consultancy to economic agents and other persons interested in carrying out export, import, transfer, brokering activity, transit or transshipment with military goods, subject to the control regime regulated by the present emergency ordinance;
- r) to check how the obligations and undertakings assumed by Romania under the international treaties, agreements and arrangements in this field are being complied with;
- s) to represent Romania within the activities carried out by international organizations and bodies in charge in the field of controlling exports, imports and other operations involving military goods;
- t) is the national point of contact designated to ensure the link with other States parties in the fields which are the subject of the *Protocol against the illicit manufacture and trafficking of firearms, their parts and components and ammunition*, adopted at New York on 31 May 2001, in addition to the *United Nations Convention against transnational organized crime*, adopted at New York on 15 November 2000;
- u) is the national contact point ensuring the bond with the other States parties as regards the *International Instrument which allows the States to identify and track, fast and safe, small arms and light weapons*, adopted at New York on 5 December 2005, in the framework of the *UN program of action to prevent, combat and eradicate the illicit trade with small arms and light weapons*, in all its aspects, adopted on 20 July 2001;
- v) to co-operate with similar authorities from other states, for the purpose of:

- (i) mutual information and consultation when registration, certification and licence applications for military goods are being submitted, if there are good grounds to believe they might be used for other purposes than the stated ones;
- (ii) updating and consistently implementing the regulations in the matter, including the lists of military goods;

- (iii) notifying the violations of the control regime, allowing the competent authorities in each country to sanction such violations;
- w) to initiate, together with the competent institutions, the updating of the lists of military goods subject to the control regime, in accordance with the international obligations and undertakings assumed by Romania;
- x) to initiate, in collaboration with other authorities and other public Romanian institutions, actions for promoting Romania's specific interests in its relationships with the international organizations and bodies controlling the exports, imports and other operations involving military goods;
- y) to use any other powers stipulated by the law in the field of control regime of exports imports and other operations involving military goods.

Article 25 – (1) MFA/DEC shall exercise its control powers by means of a control body, comprised of its own specialists and other experts, as external collaborators.

(2) The expenses incurred for the technical expert's investigation of the products, performed in laboratories, research institutes or in other institutions specialized in this field, with a view to finding whether they may be qualified as military goods subject to the control regime stipulated in the present emergency ordinance or not, shall be covered by the entity for the benefit of which the classification activity is being performed.

(3) In the exercise of its control powers, MFA/DEC's control unit shall have unrestricted access, under the law, to the necessary documents, data and information, whatever their holders may be.

(4) The persons stipulated under article 3 shall be under the obligation to send, at MFA/DEC's motivated request, all the documents, data and information requested, within the set delays, and to facilitate, under the terms of the law, unrestricted access of its control unit to their head offices and to any premises belonging to them, allowing them to check the relevant aspects relative to the conclusion, progression or finalization of the operations stipulated under article 1, as well as those regarding the final destination and use of military goods.

(5) The legal persons stipulated under article 3 and the public authorities having powers in this field shall be under the obligation to preserve for 15 years the documents on operations carried out with military goods subject to control.

(6) The persons involved in implementing the control regime for military goods that become acquainted with information that is a state secret, a professional secret or a trading secret shall be under the obligation to observe its status and only make it known to the pertinent authorities, under the terms of the law.

(7) In the case in which military goods are exported, imported, transferred or are used in brokering activity which are in connection with international instruments concerning the traceability, the persons referred to in article 3 shall be under the obligation to keep at least 20 years the documents related to the operations carried out. In the case of economic agents who manufacture military goods which are related to international instruments concerning to traceability, the period of storage of the documents is of at least 30 years.

Article 26 – (1) Within the control system for exports, imports and other operations with military goods, an Inter-ministry council shall be established, comprised of representatives, at least at the level of director, of the Ministry of Foreign Affairs, Ministry of National Defence, Ministry of Economy and Trade, Ministry of Administration and Home Affairs, Romanian Intelligence Service, Foreign Intelligence Service and National Customs Authority.

(2) The presidency of the Inter-ministry council shall be ensured by MFA/DEC, through its director general.

(3) The secretarial activity for the Inter-ministry council shall be ensured by MFA/DEC.

(4) The Inter-ministry council shall examine and endorse licence applications for:

- a) export, import and transfer of military goods;
- b) brokering activity.

(5) Organization and functioning of the Inter-Ministry Council shall be determined by order of the Minister of Foreign Affairs, which shall be published in the Official Journal of Romania, Part I.

Article 27– Applications for registration, certification and licence, shall be approved by order of the MFA/DEC's director general.

Article 28 The settlement of registration, certification and licence is subject to the presentation and, if necessary, of other notifications or acts of authorization issued under the law by the competent public authorities.

Article 29 – (1) MFA/DEC shall refuse the issuance of a license for operations with military goods specified in article 1, paragraph (1), with the assent of the Inter-ministry Council, if its release would be in violation of international commitments and obligations of Romania to apply the embargoes on arms trade imposed by the United Nations, the European Union or the Organization for Security and Cooperation in Europe.

(2) MFA/DEC may refuse to issue a licence for operations with military goods specified in article 1 (1), with the assent of the Inter-ministry Council, if the ultimate consignee's state or the ultimate consignee is subject to a unilateral embargo on trade in arms or restrictive measures, as applicable, established by Romania or other Member States of the European Union or NATO.

Article 30 – (1) In implementing the provisions of the present emergency ordinance, the Agency shall be an authorized beneficiary and a user of specific information, including those held by the state bodies authorized, under the law, to perform intelligence activities.

(2) MFA/DEC shall have unrestricted access, under the terms of the law, to all information concerning operations with military goods stipulated under article 1 paragraph (1), as well as to the information needed for assessing any forms of activities involving such products.

(3) The authorized public authorities, under the law, to perform intelligence activities shall be under the obligation to send the MFA/DEC the data obtained, referring to violations of the provisions of the present emergency ordinance.

(4) At the request of the MFA/DEC, the Ministry of Administration and Home Affairs or other competent institutions shall carry out specific checks and then inform it so that it may take the lawful actions.

(5) The National Customs Authority shall make available to the MFA/DEC, at the latter's request, all the necessary data concerning the export, import and other operations with military goods stipulated under article 1 paragraph (1).

CHAPTER V

Sanctions

Article 31 – Violation of the provisions of the present emergency ordinance regarding operations involving military goods, as well as those on the truthfulness of declarations, which represent crimes under the law, shall be punishable according to the provisions of the Penal Code.

Article 32 – Failure to comply with the provisions of the present emergency ordinance shall entail, as applicable, disciplinary, administrative, civil or criminal liability, under the law.

Article 33 – Failure to observe the final destination and use of the military goods listed in the documents based on the declaration stipulated under article 14 (3) shall constitute an offence and shall be sanctioned by a fine from RON 20000 to RON 30000.

Article 34 – (1) Violation of the provisions of article 7 (2), article 15, article 18 (4) and (5), article 21 (1) and (2), article 22 and article 25 (4), (5) and (6) shall constitute an offence and shall be sanctioned by a fine from RON 20000 to RON 30000.

Article 35 (1) Violation of the provisions of article 23 (1) and article 25 (7) shall constitute an offence and shall be sanctioned by a fine from RON 5000 to RON 10000.

(2) In case of recurrence of the offence stipulated under paragraph (1) at least once within a year of the fulfillment of the first offense, the penalty is a fine of from RON 10,000 to RON 20,000.

Article 36 – (1) Ascertainment and application of the penalties provided for offences under article 33, 34 and 35 shall be made by the members of the MFA/DEC control body, empowered as ascertainment agents through control mandate issued by the director general of the MFA/DEC.

(2) The MFA/DEC shall notify the criminal investigation bodies if the actions ascertained are deemed to be crimes, under the law.

Article 37– The sanctions stipulated in the present emergency ordinance shall also apply to legal entities.

Article 38 – The application of sanctions for the offences stipulated in the present emergency ordinance shall be lost by prescription within 5 years of the action being committed.

Article 39 – The provisions of Government Ordinance no. 2/2001 on the legal status of offences, approved with amendments and additions by Law no. 180/2002, with subsequent amendments and additions, shall apply to the offences stipulated in the present emergency ordinance, insofar as they do not contradict the present emergency ordinance.

Article 40 – If the violation of the provisions of the present emergency ordinance should be likely to cause serious consequences onto the regime of exports, imports and other operations involving military goods and on Romania meeting the international obligations and undertakings that it assumed in this field, the MFA/DEC may suspend or withdraw the registration or certification documents, as well as the licences issued, under the law.

CHAPTER VI

Final provisions

Article 41– The MFA/DEC shall belong, as a rightful member, to the decision-making structures of all the bodies created at a national level that carry out activities related to the control regime of exports, imports and other operations involving military goods.

Article 42 – The forms for registration, certification, licence, as well as other documents stipulated in the present emergency ordinance shall be set out by order of the Ministry of Foreign Affairs and published in the Official Journal of Romania, Part I.

Article 43 – (1) The present emergency ordinance shall come into effect within 15 days from the date of it being published in the Official Journal of Romania.

(2) On the date of the present emergency ordinance coming into effect, Government Ordinance no. 31/1994 on the regime of imports and export of strategic products, published in the Official Journal of Romania, Part I., no. 218 of 16 August 1994 and approved by Law no. 93/1994, as well as any other contrary provisions, shall be repealed

NOTE:

We reproduce below the provisions of article II, III and IV, as well as the mention on the transposition of Community rules from the Emergency Ordinance of Government No. 55/2012, which are not incorporated in this republished form and which apply hereinafter as the provisions of the modifying act:

“Article II. – (1) The Ministry of Foreign Affairs through the Department for Exports Control - ANCEX elaborates methodological norms for the application of the provisions of Emergency Ordinance of Government No. 158/1999 concerning the control of exports, imports and other operations with military goods, approved with amendments and additions by law No. 595/2004, amended, within a period of 30 days from the date of entry into force of this Emergency Ordinance.

(2) The methodological norms referred to in paragraph (1) shall be approved by order of the Minister of Foreign Affairs and shall be published in The Official Journal of Romania, Part I.

Article III. - This Emergency Ordinance shall come into effect on the date of its publication in The Official Journal of Romania, Part I, with the exception of article I point 35-37, which come into effect 30 days after the date of its publication.

Article IV. - Authorizations, licences and permits issued before the coming into effect of this Emergency Ordinance will maintain their validity up to the expiry date inscribed on them. "

*

This emergency Ordinance shall transpose the Directive 2009/43/EC of the European Parliament and of the Council of 6 May 2009 simplifying terms and conditions of transfer of goods related to defense within the community, published in the Official Journal of the European Union L146/1 from June 10 2009.

**Council Common Position 2008/944/CFSP
of 8 December 2008
defining common rules governing control of exports
of military technology and equipment**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty of the European Union, and in particular Article 15 thereof,
Whereas:

- (1) Member States intend to build on the Common Criteria agreed at the Luxembourg and Lisbon European Councils in 1991 and 1992, and on the European Union Code of Conduct on Arms Exports adopted by the Council in 1998.
- (2) Member States recognise the special responsibility of military technology and equipment exporting States.
- (3) Member States are determined to set high common standards which shall be regarded as the minimum for the management of, and restraint in, transfers of military technology and equipment by all Member States, and to strengthen the exchange of relevant information with a view to achieving greater transparency
- (4) Member States are determined to prevent the export of military technology and equipment which might be used for internal repression or international aggression or contribute to regional instability
- (5) Member States intend to reinforce cooperation and to promote convergence in the field of exports of military technology and equipment within the framework of the Common Foreign and Security Policy (CFSP).
- (6) Complementary measures have been taken against illicit transfers, in the form of the EU Programme for Preventing and Combating Illicit Trafficking in Conventional Arms.
- (7) The Council adopted on 12 July 2002 Joint Action 2002/589/CFSP⁶ on the European Union's contribution to combating the destabilising accumulation and spread of small arms and light weapons (1).
- (8) The Council adopted on 23 June 2003 Common Position 2003/468/CFSP⁷ on the control of arms brokering.
- (9) The European Council adopted in December 2003 a strategy against the proliferation of weapons of mass destruction, and in December 2005 a strategy to combat illicit accumulation and trafficking of SALW and their ammunition, which imply an increased common interest of Member States of the European Union in a coordinated approach to the control of exports of military technology and equipment
- (10) The UN Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in Small Arms and Light Weapons in All Its Aspects was adopted in 2001.
- (11) The United Nations Register of Conventional Arms was established in 1992.
- (12) States have a right to transfer the means of self-defence, consistent with the right of self-defence recognised by the UN Charter.
- (13) The wish of Member States to maintain a defence industry as part of their industrial base as well as their defence effort is acknowledged.
- (14) The strengthening of a European defence technological and industrial base, which contributes to the implementation of the Common Foreign and Security Policy, in particular the Common European Security and Defence Policy, should be accompanied by cooperation and convergence in the field of military technology and equipment.
- (15) Member States intend to strengthen the European Union's export control policy for military technology and equipment through the adoption of this Common Position, which updates and replaces the European Union Code of Conduct on Arms Exports adopted by the Council on 8 June 1998.
- (16) On 13 June 2000, the Council adopted the Common Military List of the European Union, which is regularly reviewed, taking into account, where appropriate, similar national and international list⁸.
- (17) The Union must ensure the consistency of its external activities as a whole in the context of its external relations, in accordance with Article 3, second paragraph of the Treaty; in this respect the Council takes note of the Commission

⁶ JO L 191, 19.7.2002, p. 1.

⁷ JO L 156, 25.6.2003, p. 79.

⁸ Last amended 10 March 2008, OJ C 98, 18.4.2008, p.1.

proposal to amend Council Regulation (EC) No 1334/2000 of 22 June 2000 setting up a Community regime for the control of exports of dual use items and technology⁹.

HAS ADOPTED THIS COMMON POSITION:

Article 1

1) Each Member State shall assess the export licence applications made to it for items on the EU Common Military List mentioned in Article 12 on a case-by-case basis against the criteria of Article 2.

(2) The export licence applications as mentioned in paragraph 1 shall include:

- applications for licences for physical exports, including those for the purpose of licensed production of military equipment in third countries,
- applications for brokering licences,
- applications for 'transit' or 'transshipment' licences,
- applications for licences for any intangible transfers of software and technology by means such as electronic media, fax or telephone.

Member States' legislation shall indicate in which case an export licence is required with respect to these applications.

Article 2

Criteria

(1) Criterion One: Respect for the international obligations and commitments of Member States, in particular the sanctions adopted by the UN Security Council or the European Union, agreements on non-proliferation and other subjects, as well as other international obligations.

An export licence shall be denied if approval would be inconsistent with, *inter alia*:

- (a) the international obligations of Member States and their commitments to enforce United Nations, European Union and Organisation for Security and Cooperation in Europe arms embargoes;
- (b) the international obligations of Member States under the Nuclear Non-Proliferation Treaty, the Biological and Toxin Weapons Convention and the Chemical Weapons Convention;
- (c) the commitment of Member States not to export any form of anti-personnel landmine;
- (d) the commitments of Member States in the framework of the Australia Group, the Missile Technology Control Regime, the Zangger Committee, the Nuclear Suppliers Group, the Wassenaar Arrangement and The Hague Code of Conduct against Ballistic Missile Proliferation.

(2) Criterion Two: Respect for human rights in the country of final destination as well as respect by that country of international humanitarian law.

Having assessed the recipient country's attitude towards relevant principles established by international human rights instruments, Member States shall:

- (a) deny an export licence if there is a clear risk that the military technology or equipment to be exported might be used for internal repression;
- (b) exercise special caution and vigilance in issuing licences, on a case-by-case basis and taking account of the nature of the military technology or equipment, to countries where serious violations of human rights have been established by the competent bodies of the United Nations, by the European Union or by the Council of Europe;

For these purposes, technology or equipment which might be used for internal repression will include, *inter alia*, technology or equipment where there is evidence of the use of this or similar technology or equipment for internal repression by the proposed end-user, or where there is reason to believe that the technology or equipment will be diverted from its stated end-use or end-user and used for internal repression. In line with Article 1 of this Common Position, the nature of the technology or equipment will be considered carefully, particularly if it is intended for internal security purposes. Internal repression includes, *inter alia*, torture and other cruel, inhuman and degrading treatment or punishment, summary or arbitrary executions, disappearances, arbitrary detentions and other major violations of human rights and fundamental freedoms as set out in relevant international human rights instruments, including the Universal Declaration on Human Rights and the International Covenant on Civil and Political Rights.

Having assessed the recipient country's attitude towards relevant principles established by instruments of international humanitarian law, Member States shall:

- (c) deny an export licence if there is a clear risk that the military technology or equipment to be exported might be used in the commission of serious violations of international humanitarian law.

⁹ JO L 159, 30.6.2000, p. 1.

(3) Criterion Three: Internal situation in the country of final destination, as a function of the existence of tensions or armed conflicts.

Member States shall deny an export licence for military technology or equipment which would provoke or prolong armed conflicts or aggravate existing tensions or conflicts in the country of final destination.

(4) Criterion Four: Preservation of regional peace, security and stability. Member States shall deny an export licence if there is a clear risk that the intended recipient would use the military technology or equipment to be exported aggressively against another country or to assert by force a territorial claim. When considering these risks, Member States shall take into account *inter alia*:

- (a) the existence or likelihood of armed conflict between the recipient and another country;
- (b) a claim against the territory of a neighbouring country which the recipient has in the past tried or threatened to pursue by means of force;
- (c) the likelihood of the military technology or equipment being used other than for the legitimate national security and defence of the recipient;
- (d) the need not to affect adversely regional stability in any significant way.

(5) Criterion Five: National security of the Member States and of territories whose external relations are the responsibility of a Member State, as well as that of friendly and allied countries. Member States shall take into account:

- a) the potential effect of the military technology or equipment to be exported on their defence and security interests as well as those of Member State and those of friendly and allied countries, while recognising that this factor cannot affect consideration of the criteria on respect for human rights and on regional peace, security and stability;
- b) the risk of use of the military technology or equipment concerned against their forces or those of Member States and those of friendly and allied countries.

(6) Criterion Six: Behaviour of the buyer country with regard to the international community, as regards in particular its attitude to terrorism, the nature of its alliances and respect for international law.

Member States shall take into account, *inter alia*, the record of the buyer country with regard to:

- (a) its support for or encouragement of terrorism and international organised crime;
- (b) its compliance with its international commitments, in particular on the non-use of force, and with international humanitarian law;
- (c) its commitment to non-proliferation and other areas of arms control and disarmament, in particular the signature, ratification and implementation of relevant arms control and disarmament conventions referred to in point (b) of Criterion One.

(7) Criterion Seven: Existence of a risk that the military technology or equipment will be diverted within the buyer country or re-exported under undesirable conditions.

In assessing the impact of the military technology or equipment to be exported on the recipient country and the risk that such technology or equipment might be diverted to an undesirable end-user or for an undesirable end use, the following shall be considered:

- (a) the legitimate defence and domestic security interests of the recipient country, including any participation in United Nations or other peace-keeping activity;
- (b) the technical capability of the recipient country to use such technology or equipment;
- (c) the capability of the recipient country to apply effective export controls;
- (d) the risk of such technology or equipment being re-exported to undesirable destinations, and the record of the recipient country in respecting any re-export provision or consent prior to re-export which the exporting Member State considers appropriate to impose;
- (e) the risk of such technology or equipment being diverted to terrorist organisations or to individual terrorists;
- (f) the risk of reverse engineering or unintended technology transfer.

(8) Criterion Eight: Compatibility of the exports of the military technology or equipment with the technical and economic capacity of the recipient country, taking into account the desirability that states should meet their legitimate security and defence needs with the least diversion of human and economic resources for armaments.

Member States shall take into account, in the light of information from relevant sources such as United Nations Development Programme, World Bank, International Monetary Fund and Organisation for Economic Cooperation and Development reports, whether the proposed export would seriously hamper the sustainable development of the recipient country. They shall consider in this context the recipient country's relative levels of military and social expenditure, taking into account also any EU or bilateral aid.

Article 3

This Common Position shall not affect the right of Member States to operate more restrictive national policies.

Article 4

1) Member States shall circulate details of applications for export licences which have been denied in accordance with the criteria of this Common Position together with an explanation of why the licence has been denied. Before any Member State grants a licence which has been denied by another Member State or States for an essentially identical transaction within the last three years, it shall first consult the Member State or States which issued the denial(s). If following consultations, the Member State nevertheless decides to grant a licence, it shall notify the Member State or States issuing the denial(s), giving a detailed explanation of its reasoning.

2) The decision to transfer or deny the transfer of any military technology or equipment shall remain at the national discretion of each Member State. A denial of a licence is understood to take place when the Member State has refused to authorise the actual sale or export of the military technology or equipment concerned, where a sale would otherwise have come about, or the conclusion of the relevant contract. For these purposes, a notifiable denial may, in accordance with national procedures, include denial of permission to start negotiations or a negative response to a formal initial enquiry about a specific order.

3) Member States shall keep such denials and consultations confidential and not use them for commercial advantage

Article 5

Export licences shall be granted only on the basis of reliable prior knowledge of end use in the country of final destination. This will generally require a thoroughly checked end-user certificate or appropriate documentation and/or some form of official authorisation issued by the country of final destination. When assessing applications for licences to export military technology or equipment for the purposes of production in third countries, Member States shall in particular take account of the potential use of the finished product in the country of production and of the risk that the finished product might be diverted or exported to an undesirable end user.

Article 7

In order to maximise the effectiveness of this Common Position, Member States shall work within the framework of the CFSP to reinforce their cooperation and to promote their convergence in the field of exports of military technology and equipment.

Article 8

1) Each Member State shall circulate to other Member States in confidence an annual report on its exports of military technology and equipment and on its implementation of this Common Position.

2) An EU Annual Report, based on contributions from all Member States, shall be submitted to the Council and published in the 'C' series of the *Official Journal of the European Union*.

3) In addition, each Member State which exports technology or equipment on the EU Common Military List shall publish a national report on its exports of military technology and equipment, the contents of which will be in accordance with national legislation, as applicable, and will provide information for the EU Annual Report on the implementation of this Common Position as stipulated in the User's Guide.

Article 9

Member States shall, as appropriate, assess jointly through the CFSP framework the situation of potential or actual recipients of exports of military technology and equipment from Member States, in the light of the principles and criteria of this Common Position.

Article 10

While Member States, where appropriate, may also take into account the effect of proposed exports on their economic, social, commercial and industrial interests, these factors shall not affect the application of the above criteria.

Article 11

Member States shall use their best endeavors to encourage other States which export military technology or equipment to apply the criteria of this Common Position. They shall regularly exchange experiences with those third states applying the criteria on their military technology and equipment export control policies and on the application of the criteria.

Article 12

Member States shall ensure that their national legislation enables them to control the export of the technology and equipment on the EU Common Military List. The EU Common Military List shall act as a reference point for Member States' national military technology and equipment lists, but shall not directly replace them.

Article 13

The User's Guide to the European Code of Conduct on Exports of Military Equipment, which is regularly reviewed, shall serve as guidance for the implementation of this Common Position.

Article 14

This Common Position shall take effect on the date of its adoption.

Article 15

This Common Position shall be reviewed three years after its adoption.

Article 16

This Common Position shall be published in the *Official Journal of the European Union*.

National List
with military goods under the export control regime¹⁰
(summary)

- ML1** Smooth-bore weapons with a calibre of less than 20 mm, other arms and automatic weapons with a calibre of 12.7 mm (calibre 0.50 inches) or less and accessories and specially designed components therefor.
- ML2** Smooth-bore weapons with a calibre of 20 mm or more, other weapons or armament with a calibre greater than 12.7 mm (calibre 0.50 inches), projectors and accessories and specially designed components therefore.
- ML3** Ammunition and fuze setting devices and specially designed components therefor
- ML4** Bombs, torpedoes, rockets, missiles, other explosive devices and charges and related equipment and accessories and specially designed components therefore.
- ML5** Fire control, and related alerting and warning equipment, and related systems, test and alignment and countermeasure equipment specially designed for military use, and specially designed components and accessories therefor.
- ML6** Ground vehicles and components.
- ML7** Chemical or biological toxic agents, "riot control agents", radioactive materials, related equipment, components, and materials. Last amended 10 March 2008, OJ C 98, 18.4.2008, p.1.
- ML8** "Energetic materials", and related substances.
- ML9** Vessels of war (surface or underwater), special naval equipment, accessories, components and other surface vessels
- ML10** "Aircraft", "lighter-than-air vehicles", Unmanned Aerial Vehicles ("UAVs"), aero-engines and "aircraft" equipment, related equipment, and components, specially designed or modified for military use.
- ML11** Electronic equipment, "spacecraft" and components, not specified elsewhere on the EU Common Military List.
- ML12** High velocity kinetic energy weapon systems and related equipment and specially designed components therefor.
- ML13** Armoured or protective equipment and constructions and components.
- ML14** Specialised equipment for military training' or for simulating military scenarios, simulators specially designed for training in the use of any firearm or weapon specified by ML1 or ML2, and specially designed components and accessories therefor.
- ML15** Imaging or countermeasure equipment, specially designed for military use, and specially designed components and accessories therefor.
- ML16** Forgings, castings and other unfinished products, specially designed for items specified by ML1 to ML4, ML6, ML9, ML10, ML12 or ML19.
- ML17** Miscellaneous equipment, materials and 'libraries' and specially designed components therefor.
- ML18** Production Equipment and components

¹⁰ Adopted by Order of the minister of foreign affairs no. 901/2019 (published in Official Journal of Romania, Part I, no. 477, Juny 12, 2019). * This document is amended and published periodically..

- ML19** Directed Energy Weapon (DEW) systems, related or countermeasure equipment and test models and specially designed components therefor.
- ML20** Cryogenic and "superconductive" equipment and specially designed components and accessories therefor.
- ML21** „Software”.
- ML22** „Tehnology”.

**Ministry of Foreign Affairs of Romania
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