



Defence Export Controls (DEC) Statistics

In keeping with Australia's national interests and international obligations, Defence facilitates the responsible export of military and dual-use goods and technologies from Australia.

DEC (Defence Export Controls)'s quarterly permit statistics provide the number and type of export applications received and finalised, application processing timeframes, the estimated total value of permits issued, and the breakdown of permits issued to end-users by continent.

The statistics do not equate to actual exports as there is no obligation to conduct or report on an activity under a permit.



Export Application Statistics

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Certificate Statistics

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For statistics from previous financial years

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What are military and dual-use goods and technologies?

Permits are required for the export of military and dual use goods and technologies which are defined by the Defence and Strategic Goods List.

Military goods include weapons, munitions and armour but also include items such as explosives, radios, simulators and training equipment that are used in civilian industries such as mining and manufacturing.

Dual-use items and technologies are generally used for civilian purposes but could also be used or adapted for use in a military program or contribute to the development and production of chemical, biological or nuclear weapons systems. Dual-use items can include chemicals for mineral processing, sensors and lasers for university research and development programs, or software and computer equipment.

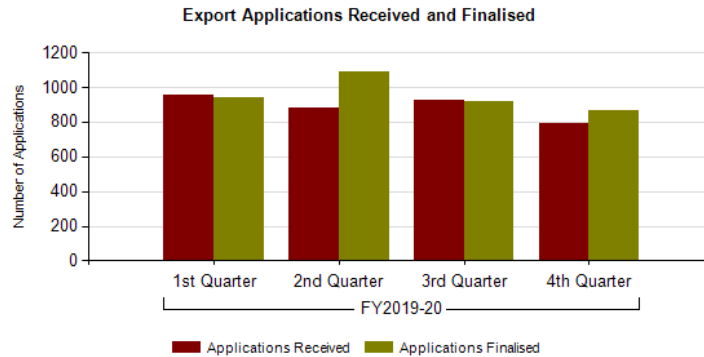
All applications are assessed on a case by case basis in accordance with our export control provisions. This includes consideration of human rights, national security, regional security, foreign policy, and international obligations.

Details on arms transfers within scope of the Arms Trade Treaty are available on the Department of Foreign Affairs and Trade (DFAT)'s [website](#).

- Information on the DEC export application process is available on the [application process page](#).
- Information on in-principle applications is available on the [apply for an in-principle assessment to export controlled goods or technology page](#).
- Information on export control assessments is available on the [understand the control status of goods and technology page](#).

Export Application Statistics

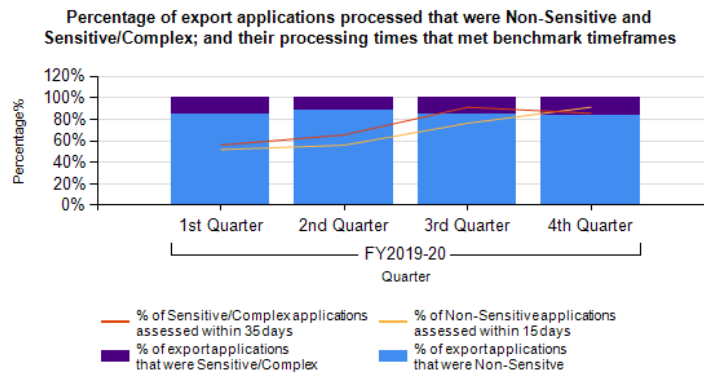
Export Applications



Export applications received and finalised for the Financial Year 2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Applications received	954	880	923	794	3551
Applications finalised	939	1094	920	868	3821

Export Application Processing



The percentage of export applications processed that were non-sensitive and sensitive/complex for the Financial Year 2019-20

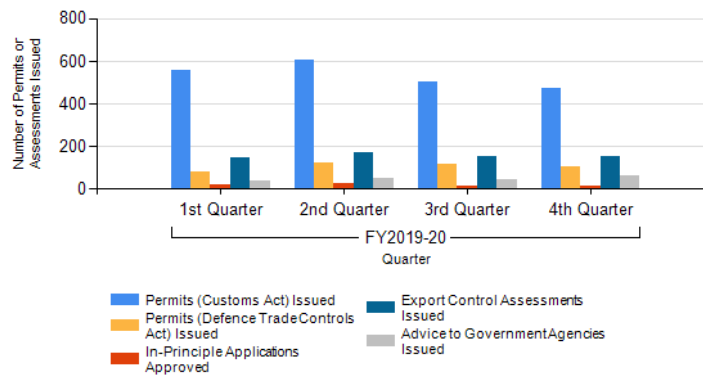
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
Percentage of export applications that were non-sensitive	84%	89%	85%	83%	85%
Percentage of export applications that were sensitive/complex	16%	11%	15%	17%	15%

The percentage of export application processing times that met benchmark assessment timeframes for the Financial Year 2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
Percentage of non-sensitive applications assessed within 15 days	52%	56%	76%	91%	69%
Percentage of sensitive/complex applications assessed within 35 days	56%	66%	91%	86%	75%

Export Application Approvals and Assessments

Export Permit Approvals, Supported In-Principle Assessments and Advice issued in FY2019-20



Export permit approvals, supported in-principle assessments, and advice issued for FY2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Permits (<i>Customs Act</i>) issued	559	604	502	474	2139
Permits (<i>Defence Trade Controls Act</i>) issued	78	122	114	104	418
In-Principle Assessments supported	18	25	13	17	73
Export Control Assessments issued	148	171	155	151	625
Advice issued to Government agencies	40	51	45	65	201

Export Application Prohibitions and Denials

- Information on prohibitions under the *WMD (Weapons of Mass Destruction) Act* is available from the [Weapons of Mass Destruction \(Prevention of Proliferation\) Act 1995](#) page.
- Information on prohibitions under the *MEU (Military End-Use)* provisions is available from the [Military End-Use provisions \(section 112BA\) of the Customs Act 1901](#) page.

Export denials under Regulations 13E of the *Customs Act 1901*, in-principle assessments not supported, and prohibitions under the *WMD (Weapons of Mass Destruction) Act* and Military End-Use provision for FY2019-20

Export application prohibitions and denials	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Denials (<i>Customs Act</i>)	1	1	0	0	2
In-Principle Assessments not supported	1	3	1	1	6
Prohibition notices (<i>Defence Trade Controls Act</i>)	0	0	0	0	0
Prohibition notices (<i>Weapons of Mass Destruction Act</i>)	1	0	0	0	1
Prohibitions notices (Military End-Use)	0	1	0	0	1
Prohibition notices (Publications)	0	0	0	0	0

Export Applications Withdrawn, Made Inactive, Lapsed and No Further Action Required

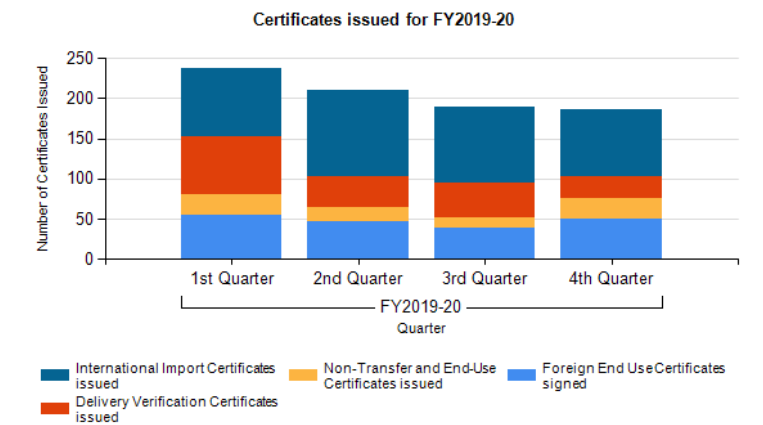
Withdrawn, made inactive, lapsed, and no further action required for FY2019-20

Exports withdrawn or lapsed	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Withdrawn, made inactive, or lapsed	83	104	76	50	313
No further action required	6	12	13	6	37

Certificate Statistics

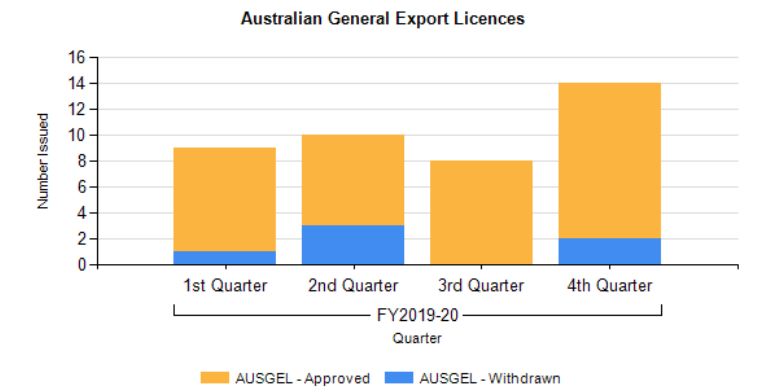
- Information on certificates is available from the [apply for supporting import and end-use certificates page](#).

Certificates Issued



Certificates issued for Financial Year 2019-20					
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
International Import Certificates issued	85	108	94	84	371
Delivery Verification Certificates issued	72	38	43	27	180
Non-Transfer and End-Use Certificates issued	26	18	13	25	82
Foreign End Use Certificates signed	54	46	39	50	189

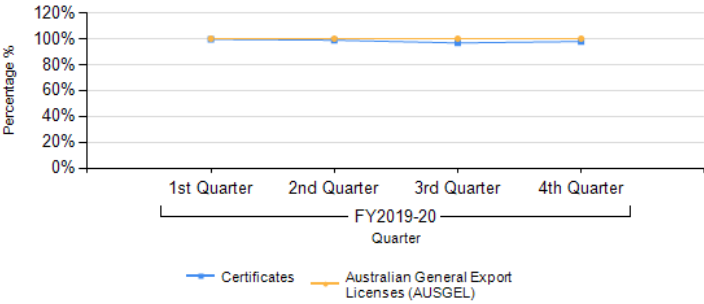
Australian General Export Licences (AUSGEL) Statistics



AUSGELs issued for Financial Year 2019-20					
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
AUSGELs approved	8	7	8	12	35
AUSGELs withdrawn	1	3	0	2	6

Certificates and Australian General Export Licences (AUSGEL) Processing

Percentage (%) of Certificates (15 days) and Australian General Export Licences (AUSGEL) (35 days) Processed within benchmark timeframes for FY2019-20

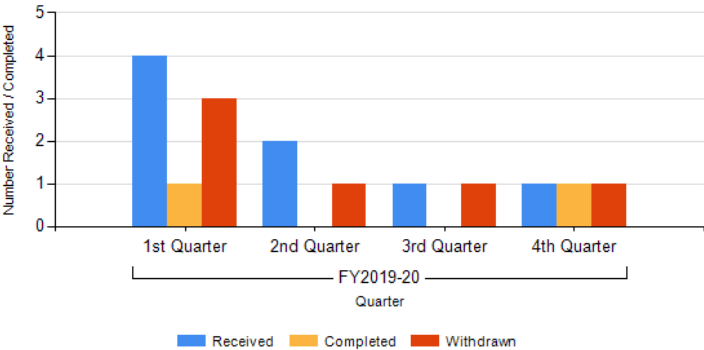


Percentage of certificates (15 days) and AUSGELs (35 days) processed within benchmark timeframes for Financial Year 2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average %
Certificates	99%	99%	97%	98%	98%
AUSGELs	100%	100%	100%	100%	100%

Broker Registration

Broker Registrations Received and Completed For FY2019-20

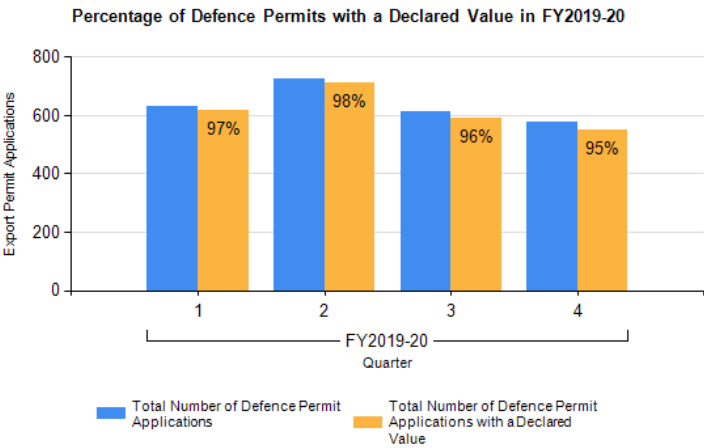
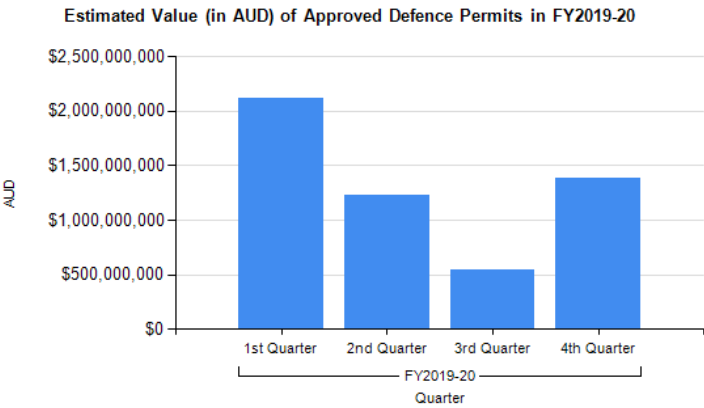


Broker registrations received and completed for Financial Year 2019-20

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Broker registrations received	4	2	1	1	8
Broker registrations completed	1	0	0	1	2
Broker registrations withdrawn	3	1	1	1	6

Estimated Value of Approved Defence Export Permits

Caveat on statistics in this section: From 1 January 2018 onwards, applicants have been required to include their estimation of the total value of their export over the life of the permit. Some permits extend over multiple years. Year-to-year comparisons of export values could therefore be misleading.

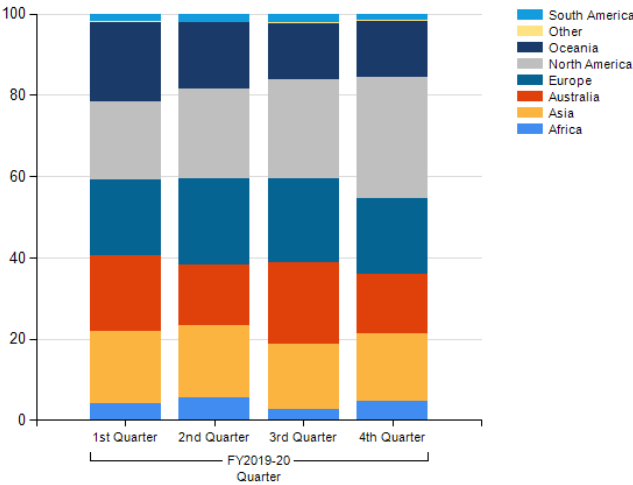


Estimated value of Defence permits issued, and percentage of permits with a declared value, by Financial Year

	FY17-18 Total	FY18-19 Total	FY19-20 1st Quarter	FY19-20 2nd Quarter	FY19-20 3rd Quarter	FY19-20 4th Quarter	FY19-20 Total
Estimated value of approved Defence permits	\$1,589,507,983	\$4,904,551,884	\$2,111,593,970	\$1,222,289,382	\$539,497,545	\$1,385,617,201	\$5,268,908,098
Percentage of Defence permits with a declared value	83%	93%	97%	98%	96%	95%	93%

Export Permits Issued to End Users by Continent

Export permits issued to end users per quarter for FY2019-20 broken down by region



* Export permits issued to Australian end users relate to temporary exports.
Temporary exports include but not limited to: demonstration or repair and return.

Export permits issued to end users (by continent) by Financial Years

	FY17-18	FY18-19	FY19-20 1st Quarter	FY19-20 2nd Quarter	FY19-20 3rd Quarter	FY19-20 4th Quarter	FY19-20 Average
Africa	4%	3%	4%	5%	3%	5%	4%
Antarctica	0%	0%	0%	0%	0%	0%	0%
Asia	16%	19%	18%	18%	16%	17%	17%
Australia*	21%	19%	19%	15%	20%	15%	17%
Europe	18%	20%	19%	21%	21%	19%	20%
North America	21%	21%	19%	22%	24%	30%	24%
Oceania	18%	15%	20%	16%	14%	14%	16%
Other	0%	0%	0%	0%	0%	0%	0%
South America	2%	2%	2%	2%	2%	1%	2%

* Export permits issued to Australian end users relate to temporary exports.
Temporary exports include but not limited to: demonstration or repair and return.