Australian Government

Department of Defence

DEC (Defence Export Controls) Statistics

The statistics below describe the workload and key performance measures for <u>DEC</u> (<u>Defence Export Controls</u>) for the Financial Year 2018/19.

Export Application Statistics

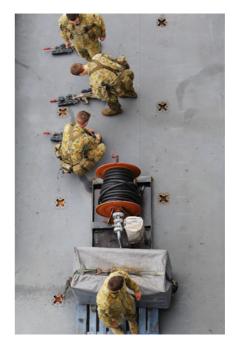
- Export Applications
- Export Application Processing
- Export Application Outcomes
- <u>Export Application Prohibitions and</u> Denials

Certificate Statistics

- <u>Certificates Issued</u>
- <u>Australian General Export Licences</u> (AUSGEL) Statistics
- <u>Certificates and AUSGEL Processing</u> <u>Times</u>
- Broker Registrations Issued
- <u>Value of Approved Defence Permits</u>

For statistics from previous financial year

• FY 2017-18



Export Application Statistics

- Information on the DEC export application process is available on the <u>Application</u>
 <u>Process page</u>.
- Information on in-principle applications is available on the <u>Apply for an in-principle</u> assessment to export controlled goods or technology page.
- Information on export control assessments is available on the <u>Understand the</u> <u>control status of goods and technology page</u>.

Export Applications



Export applications received and finalised for the Financial Year 2018-19

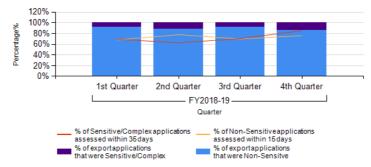
| | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter | Total |
|-----------------------|-------------|-------------|-------------|-------------|-------|
| Applications received | 980 | 998 | 1000 | 995 | 3973 |

Statistics : Department of Defence

| | | • | | | |
|------------------------|-------------|-------------|-------------|-------------|-------|
| | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter | Total |
| Applications finalised | 1132 | 946 | 1047 | 886 | 4011 |

Export Application Processing

 $\label{eq:percentage} Percentage of export applications processed that were Non-Sensitive and Sensitive/Complex; and their processing times that met mandated timeframes$



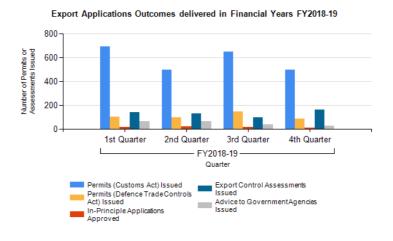
The percentage of export applications processed that were Non-Sensitive and Sensitive/Complex for the Financial Year 2018-19.

| | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter | Average |
|---|----------------|----------------|----------------|----------------|---------|
| % of export applications that were Non-Sensitive | 92% | 88% | 92% | 86% | 89% |
| % of export applications that were Sensitive/Complex | 8% | 12% | 8% | 14% | 11% |

The percentage of export application processing times that met mandated assessment timeframes for the Financial Year 2018-19.

| | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter | Average |
|---|----------------|----------------|----------------|----------------|---------|
| % of Non-Sensitive applications assessed within 15 days | 68% | 78% | 70% | 76% | 73% |
| % of Sensitive/Complex applications assessed within 35 days | 69% | 63% | 70% | 85% | 72% |

Export Application Outcomes



Export application outcomes delivered (numbers of permits and assessments issued) for

Statistics : Department of Defence

Financial Year 2018-19

| | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter | Total |
|--|----------------|----------------|----------------|----------------|-------|
| Permits (Customs Act) Issued | 693 | 500 | 651 | 496 | 2340 |
| Permits (Defence Trade Controls Act) Issued | 105 | 99 | 148 | 88 | 440 |
| In-Principle Applications Approved | 16 | 25 | 18 | 13 | 72 |
| Export Control Assessments Issued | 140 | 129 | 99 | 160 | 528 |
| Advice to Government Agencies Issued | 67 | 66 | 38 | 28 | 199 |

Export Application Prohibitions and Denials

- Information on prohibitions under the <u>WMD (Weapons of Mass Destruction)</u> Act is available from the <u>Weapons of Mass Destruction (Prevention of Proliferation) Act</u> <u>1995 page</u>.
- Information on prohibitions under the <u>MEU (Military End-Use)</u> Provisions is available from the <u>Military End-Use provisions (section 112BA) of the Customs Act 1901</u> <u>page</u>.

Export denials under Regulations 13E of the Customs Act 1901 and prohibitions under the WMD (Weapons of Mass Destruction) Act and Military End-Use provision for the Financial Year(s) FY2018-19

| Export Application Prohibitions and Denials | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter | Total |
|--|----------------|----------------|----------------|----------------|-------|
| Denials (Customs Act) | 0 | 0 | 1 | 1 | 2 |
| In-Principle Applications Not Supported | 1 | 0 | 0 | 0 | 1 |
| Prohibition Notices (Defence Trade Controls Act) | 0 | 0 | 0 | 0 | 0 |
| Prohibition Notices (Weapons of Mass Destruction Act) | 2 | 2 | 1 | 1 | 6 |
| Prohibitions Notices (Military End-Use) | 1 | 0 | 0 | 0 | 1 |
| Prohibition Notices (Publications) | 0 | 0 | 0 | 0 | 0 |
| Withdrawn, Made Inactive, or Lapsed | 97 | 85 | 83 | 82 | 347 |
| No Further Action Required | 8 | 39 | 8 | 14 | 69 |

Certificate Statistics

 Information on certificates is available from the <u>Apply for supporting import and</u> end-use certificates page.

Certificates Issued

Statistics : Department of Defence

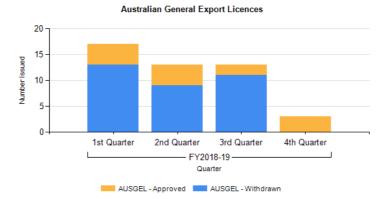
Certificates issued for the Financial Year(s) FY2018-19



Certificates issued for Financial Year 2018-19

| | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter | Total |
|---|----------------|----------------|----------------|----------------|-------|
| International Import Certificates issued | 81 | 100 | 97 | 77 | 355 |
| Delivery Verification Certificates issued | 22 | 42 | 59 | 95 | 218 |
| Non-Transfer and End-Use Certificates issued | 14 | 23 | 31 | 20 | 88 |
| Foreign End Use Certificates signed | 55 | 58 | 57 | 54 | 224 |

Australian General Export Licences (AUSGEL) Statistics



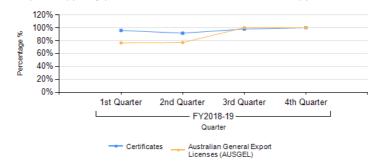


| | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter | Total |
|--------------------|-------------|-------------|-------------|-------------|-------|
| AUSGEL - Approved | 4 | 4 | 2 | 3 | 13 |
| AUSGEL - Withdrawn | 13 | 9 | 11 | 0 | 33 |

Certificates and Australian General Export Licences (AUSGEL) Processing

Statistics : Department of Defence

Percentage (%) of Certificates (15 days) and Australian General Export Licences (AUSGEL) (35 days) Processed within Timeframe for Financial Year(s) FY2018-19

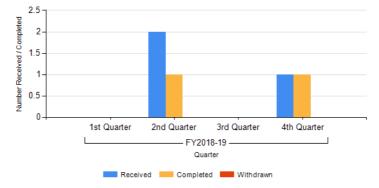


Percentage of Certificates (15 days) and AUSGEL (35 days) processed within timeframe for Financial Year 2018-19

| | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter | Average % |
|--|----------------|----------------|----------------|----------------|--------------|
| Certificates | 96% | 92% | 98% | 100% | 96% |
| Australian General Export Licenses (AUSGEL) | 76% | 77% | 100% | 100% | 88% |

Broker Registration

Broker Registrations Received and Completed For Financial Year(s) FY2018-19



Broker Registrations received and completed for Financial Year 2018-19

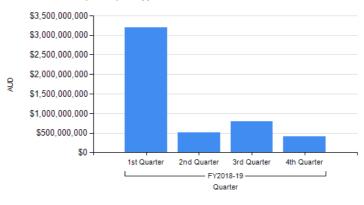
| | 1st Quarter | 2nd Quarter | 3rd Quarter | 4th Quarter | Total |
|-------------------------------------|----------------|----------------|----------------|----------------|-------|
| Broker Registration - Received | 0 | 2 | 0 | 1 | 3 |
| Broker Registration - Completed | 0 | 1 | 0 | 1 | 2 |
| Broker Registrations - Withdrawn | 0 | 0 | 0 | 0 | 0 |

Value of Approved Defence Permits

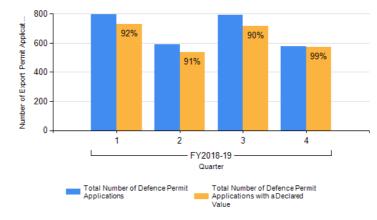
Caveat on statistics in this section: Before 1 January 2018, exporters were not required to provide the value of their exports when applying for an export permit. The figures below provide the yearly totals of values that were provided in export applications.

Statistics : Department of Defence

Value (in AUD) on Approved Defence Permits in FY2018-19







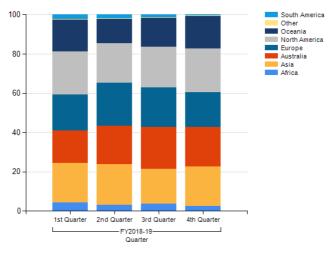
Value of Defence permits issued, and percentage of permits with a declared value, by Financial Years

| | Total FY 16-17 | Total FY 17-18 | FY 18-19 1st Quarter | FY 18-19 2nd Quarter | FY 18-19 3rd Quarter | FY 18-19 4th Quarter | Tota FY 1 |
|---|-------------------|-------------------|-------------------------|-------------------------|-------------------------|-------------------------|--------------|
| Value of Approved Defence Permits | \$1,128,019,043 | \$1,570,579,246 | \$3,198,959,846 | \$505,615,278 | \$793,557,290 | \$402,454,294 | \$4,9 |
| % of Defence Permits with a Declared Value | 72% | 83% | 92% | 91% | 90% | 99% | 93% |

Export Permits Issued to End Users by Continent

Statistics : Department of Defence

Export permits issued to end users per quarter for FY2018-19 broken down by continent



* Export Permits issued to Australian end users relate to returns and repairs

| | FY 16- 17 | FY 17- 18 | FY 18- 19 1st Quarter | FY 18- 19 2nd Quarter | FY 18- 19 3rd Quarter | FY 18- 19 4th Quarter |
|---------------|--------------|--------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| Africa | 4% | 4% | 4% | 3% | 4% | 2% |
| Antartica | 0% | 0% | 0% | 0% | 0% | 0% |
| Asia | 19% | 16% | 20% | 21% | 18% | 20% |
| Australia* | 23% | 21% | 16% | 19% | 21% | 20% |
| Europe | 15% | 18% | 18% | 22% | 20% | 18% |
| North America | 20% | 21% | 22% | 20% | 21% | 22% |
| Oceania | 17% | 18% | 16% | 13% | 15% | 17% |
| Other | 1% | 0% | 0% | 0% | 0% | 0% |
| South America | 1% | 2% | 2% | 2% | 1% | 0% |

Export permits issued to End Users (by Continent) by Financial Years

* Export Permits issued to Australian end users relate to returns and repairs