australian Government

Department of Defence

DEC (Defence Export Controls) (Defence Export Controls) Statistics

The statistics below describe the workload and key performance measures for DEC for the Financial Year 2017/18.

Export Application Statistics

- Export Applications
- <u>Export Application Processing</u>
- <u>Export Application Outcomes</u>
- <u>Export Application Prohibitions and</u> Denials

Certificate Statistics

- <u>Certificates Issued</u>
- <u>Australian General Export Licences</u>
 <u>(AUSGEL) Statistics</u>
- Certificates and AUSGEL Processing Times
- Broker Registrations Issued
- <u>Value of Approved Defence Permits</u>

For statistics from previous financial year

• <u>FY 2016-17</u>

Export Application Statistics

- Information on the DEC export application process is available on the <u>Application</u>
 <u>Process page</u>.
- Information on in-principle applications is available on the <u>Apply for an in-principle</u> assessment to export controlled goods or technology page.
- Information on export control assessments is available on the <u>Understand the</u> <u>control status of goods and technology page</u>.

Export Applications

This is an image of a graph showing the number of export applications recieved and finalised for the Financial Year 2017-18. See the Export applications received and finalised table below for data.

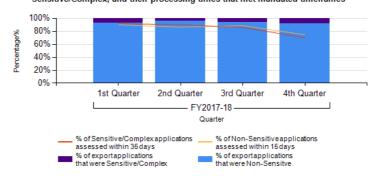
Export applications received and finalised for the Financial Year 2017-18

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Applications received	929	871	976	1050	3826
Applications finalised	894	910	870	937	3611

Export Application Processing

Statistics : Department of Defence

Percentage of export applications processed that were Non-Sensitive and Sensitive/Complex; and their processing times that met mandated timeframes



The percentage of export application processing times that were Non-Sensitive and Sensitive/Complex for the Financial Year 2017-18.

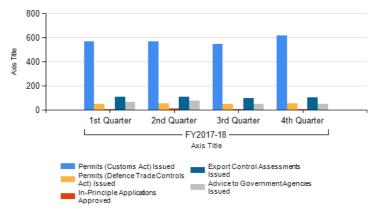
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of export applications that were Non-sensitive	93%	95%	93%	92%	93%
% of export applications that were Sensitive/complex	7%	4%	7%	8%	6%

The percentage of export application processing times that met mandated assessment timeframes for the Financial Year 2017-18.

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of Non-Sensitive applications assessed within 15 days	90%	86%	89%	75%	85%
% of Sensitive/Complex applications assessed within 35 days	92%	90%	86%	70%	84%

Export Application Outcomes

Export Applications Outcomes delivered in Financial Years FY2017-18



Export application outcomes delivered (numbers of permits and assessments issued) for Financial Year 2017-18

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Permits (Customs Act) Issued	565	569	546	614	2294

Statistics : Department of Defence

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Permits (Defence Trade Controls Act) Issued	53	55	52	57	217
In-Principle Applications Approved	6	11	6	4	27
Export Control Assessments Issued	111	110	99	104	424
Advice to Government Agencies Issued	66	80	50	49	245

Export Application Prohibitions and Denials

- Information on prohibitions under the <u>WMD (Weapons of Mass Destruction)</u> Act is available from the <u>Weapons of Mass Destruction (Prevention of Proliferation) Act</u> <u>1995 page</u>.
- Information on prohibitions under the <u>MEU (Military End-Use)</u> Provisions is available from the <u>Military End-Use provisions (section 112BA) of the Customs Act</u> <u>1901 page</u>.

Export denials under Regulations 13E of the Customs Act 1901 and prohibitions under the WMD (Weapons of Mass Destruction) Act and Military End-Use provision for the Financial Year(s) FY2017-18

Export Application Prohibitions and Denials	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Denials (Customs Act)	0	0	0	0	0
In-Principle Applications Not Supported	1	0	0	0	1
Prohibition Notices (Defence Trade Controls Act)	0	0	0	0	0
Prohibition Notices (Weapons of Mass Destruction Act)	0	0	0	0	0
Prohibitions Notices (Military End- Use)	0	0	0	0	0
Prohibition Notices (Publications)	0	0	0	0	0
Withdrawn, Made Inactive, or Lapsed	61	42	61	71	235
No Further Action Required	27	38	50	30	145

Certificate Statistics

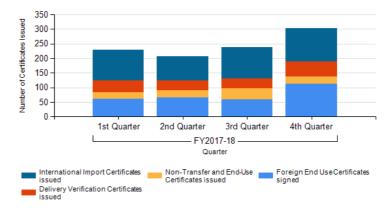
 Information on certificates is available from the <u>Apply for supporting import and</u> <u>end-use certificates page</u>.

Certificates Issued

17/12/2019

Statistics : Department of Defence

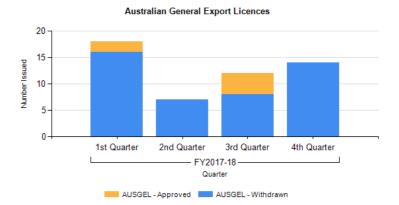
Certificates issued for the Financial Year(s) FY2017-18



Certificates issued for Financial Year 2017-18

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
International Import Certificates issued	105	82	107	112	406
Delivery Verification Certificates issued	40	33	35	51	159
Non-Transfer and End-Use Certificates issued	22	26	37	26	111
Foreign End Use Certificates signed	62	65	59	112	298

Australian General Export Licences (AUSGEL) Statistics



AUSGEL issued for Financial Year 2017-18

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
AUSGEL - Approved	2	0	4	0	6
AUSGEL - Withdrawn	16	7	8	14	45

Certificates and Australian General Export Licences (AUSGEL) Processing

Statistics : Department of Defence

Percentage (%) of Certificates (15 days) and Australian General Export Licences (AUSGEL) (35 days) Processed within Timeframe for Financial Year(s) FY2017-18

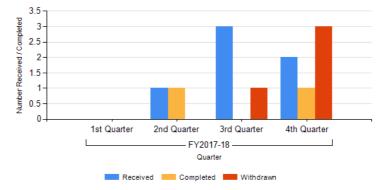


Percentage of Certificates (15 days) and AUSGEL (35 days) processed within timeframe for Financial Year 2017-18

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average %
Certificates	98%	98%	93%	85%	93%
Australian General Export Licenses (AUSGEL)	100%	100%	100%	100%	100%

Broker Registration

Broker Registrations Received and Completed For Financial Year(s) FY2017-18



Broker Registrations received and completed for Financial Year 2017-18

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Broker Registration - Received	0	1	3	2	6
Broker Registration - Completed	0	1	0	1	2
Broker Registrations - Withdrawn	0	0	1	3	4

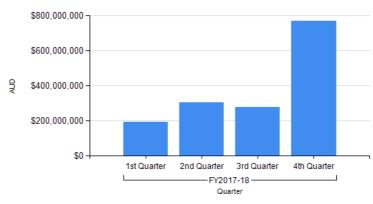
Value of Approved Defence Permits

Caveat on statistics in this section: *Exporters do not have to provide the value of their exports when applying for an export permit. The figures below provide the yearly totals of values that were provided in export applications.*

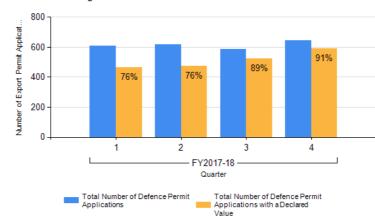
17/12/2019

Statistics : Department of Defence





Percentage of Defence Permits with a Declared Value in FY2017-18



Value of Defence permits issued, and percentage of permits with a declared value, by Financial Years

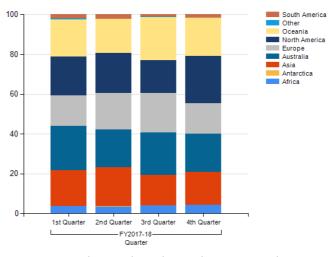
	Total FY 15-16	Total FY 16-17	FY 17-18 1st Quarter	FY 17-18 2nd Quarter	FY 17-18 3rd Quarter	FY 17-18 4th Quarter	Tota
Value of Approved Defence Permits	\$4,207,341,612	\$1,114,871,776	\$192,154,911	\$306,433,435	\$277,508,950	\$770,638,248	\$1,5
% of Defence Permits with a Declared Value	68%	72%	76%	76%	89%	91%	83%

Export Permits Issued to End Users by Continent

17/12/2019

Statistics : Department of Defence

Export permits issued to end users per quarter for FY2017-18 broken down by continent



* Export Permits issued to Australian end users relate to returns and repairs

	FY 15- 16	FY 16- 17	FY 17- 18 1st Quarter	FY 17- 18 2nd Quarter	FY 17- 18 3rd Quarter	FY 17- 18 4th Quarter
Africa	4%	4%	4%	4%	4%	4%
Antartica	0%	0%	0%	0%	0%	0%
Asia	22%	20%	18%	20%	15%	17%
Australia*	21%	22%	23%	19%	21%	19%
Europe	14%	15%	15%	19%	20%	15%
North America	19%	19%	20%	20%	17%	24%
Oceania	17%	18%	19%	17%	22%	19%
Other	1%	1%	0%	0%	0%	0%
South America	2%	1%	2%	2%	1%	2%

Export permits issued to End Users (by Continent) by Financial Years

* Export Permits issued to Australian end users relate to returns and repairs