



## **DEC (Defence Export Controls) (Defence Export Controls) Statistics**

The statistics below describe the workload and key performance measures for DEC for the Financial Year 2016/17.

### **Export Application Statistics**

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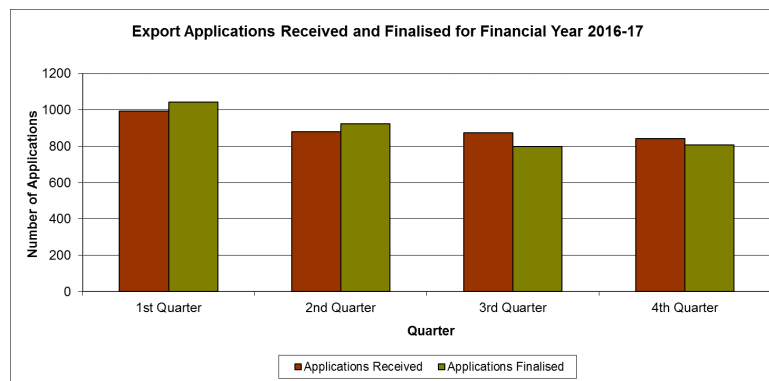
### **For statistics from previous financial year**

- [FY 2015-16](#)

## **Export Application Statistics**

- Information on the DEC export application process is available on the [Application Process page](#).
- Information on in-principle applications is available on the [Apply for an in-principle assessment to export controlled goods or technology page](#).
- Information on export control assessments is available on the [Understand the control status of goods and technology page](#).

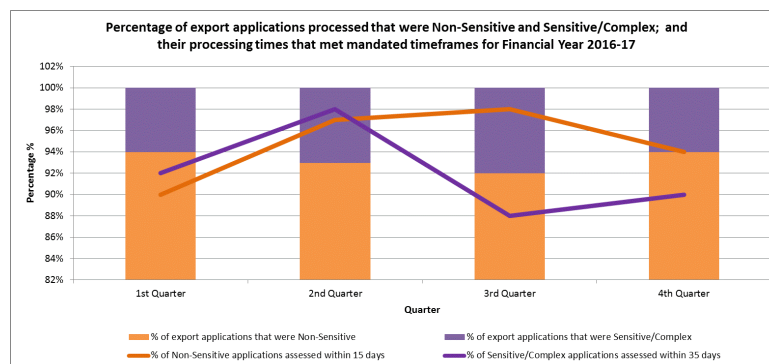
## **Export Applications**



#### Export applications received and finalised for the Financial Year 2016-17

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Applications received	993	881	874	842	3590
Applications finalised	1043	924	799	808	3574

#### Export Application Processing



#### The percentage of export application processing times that were Non-Sensitive and Sensitive/Complex for the Financial Year 2016-17.

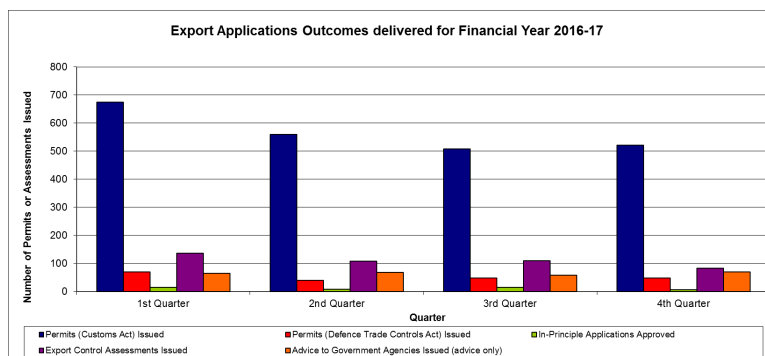
	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of export applications that were Non-sensitive	94%	93%	92%	94%	93.3%
% of export applications that were Sensitive/complex	6%	7%	8%	6%	6.8%

#### The percentage of export application processing times that met mandated assessment timeframes for the Financial Year 2016-17.

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of Non-Sensitive applications assessed within 15 days	90%	97%	98%	94%	94.8%

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of Sensitive/Complex applications assessed within 35 days	92%	98%	88%	90%	<b>92.0%</b>

## Export Application Outcomes



## Export application outcomes delivered (numbers of permits and assessments issued) for Financial Year 2016-17

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Permits (Customs Act) Issued	675	559	508	522	<b>2264</b>
Permits (Defence Trade Controls Act) Issued	69	40	47	47	<b>203</b>
Brokering Arrangement Permits Issued	0	0	0	0	<b>0</b>
In-Principle Applications Approved	15	8	15	6	<b>44</b>
Export Control Assessments Issued	136	108	109	83	<b>436</b>
Advice to Government Agencies Issued	64	68	57	69	<b>258</b>

## Export Application Prohibitions and Denials

- Information on prohibitions under the WMD (Weapons of Mass Destruction) Act is available from the Weapons of Mass Destruction (Prevention of Proliferation) Act 1995 page.
- Information on prohibitions under the MEU (Military End-Use) Provisions is available from the Military End-Use provisions (section 112BA) of the Customs Act 1901 page.

**Export denials under Regulation 13E of the *Customs Act 1901* and prohibitions under the WMD (Weapons of Mass Destruction) Act and Military End-Use provision for the Financial Year 2016-17**

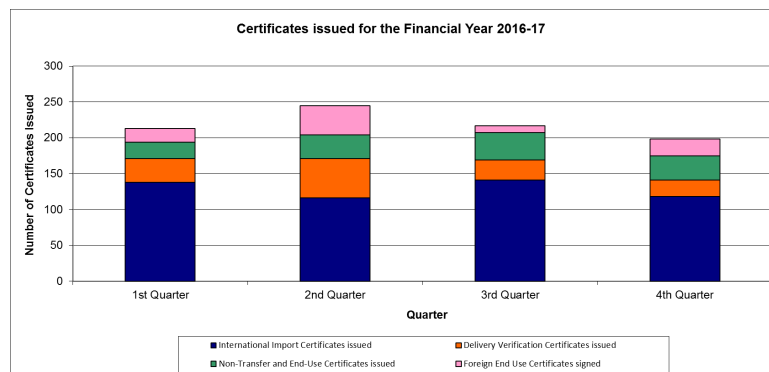
Export Application Prohibitions and Denials	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Denials (Customs Act)	0	0	0	0	0
Denials (Defence Trade Controls Act)	0	0	0	0	0
In-Principle Applications not supported	0	0	0	0	0
Prohibition Notices (Defence Trade Controls Act)	0	0	0	0	0
Prohibition Notices (Weapons of Mass Destruction Act)	0	0	0	0	0
Prohibitions Notices (Military End-Use)	0	1	0	0	1
Withdrawn, Made Inactive, or Lapsed	42	115*	38	44	239
No Further Action Required	37	24	22	34	117

\* 88 of these 115 applications were created incorrectly and subsequently made inactive.

## Certificate Statistics

- Information on certificates is available from the [Apply for supporting import and end-use certificates page](#).

## Certificates Issued

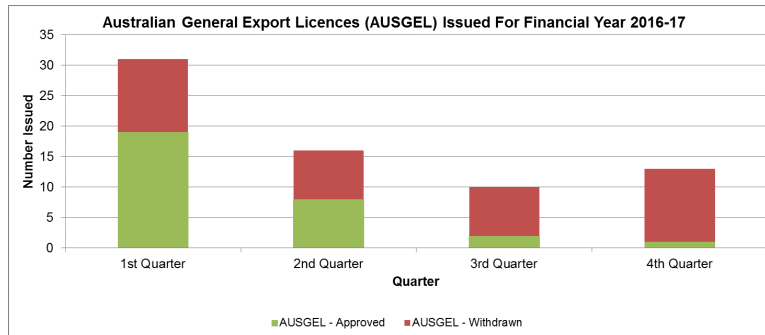


### Certificates issued for Financial Year 2016-17

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
International Import Certificates issued	138	114	141	118	511
Delivery Verification Certificates issued	33	55	28	23	139
Non-Transfer and End-Use Certificates issued	23	32	38	34	127

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Foreign End Use Certificates signed	19	41	10	23	93

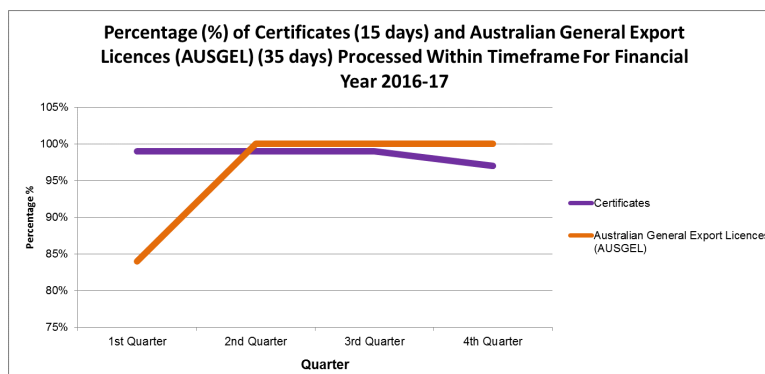
### Australian General Export Licences (AUSGEL) Statistics



#### AUSGEL issued for Financial Year 2016-17

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
AUSGEL - Approved	19	8	2	1	30
AUSGEL - Application withdrawn by applicant	12	8	8	12	40

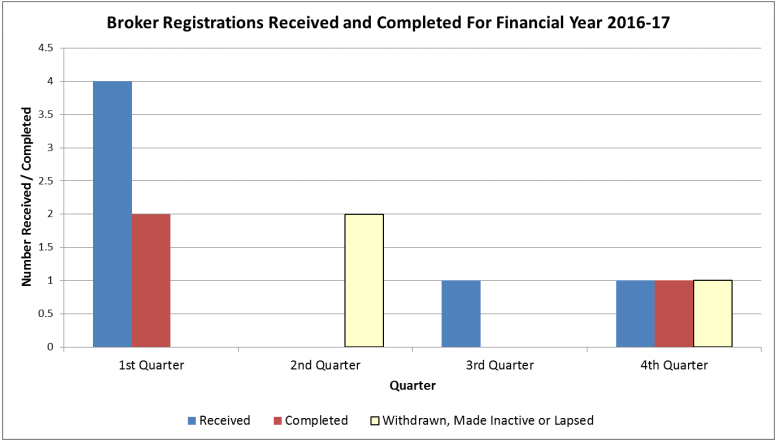
### Certificates and Australian General Export Licences (AUSGEL) Processing



#### Percentage of Certificates (15 days) and AUSGEL (35 days) processed within timeframe for Financial Year 2016-17

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average %
Certificates	99%	99%	99%	97%	98.5%
Australian General Export Licences (AUSGEL)	84%	100%	100%	100%	96.0%

Broker Registration

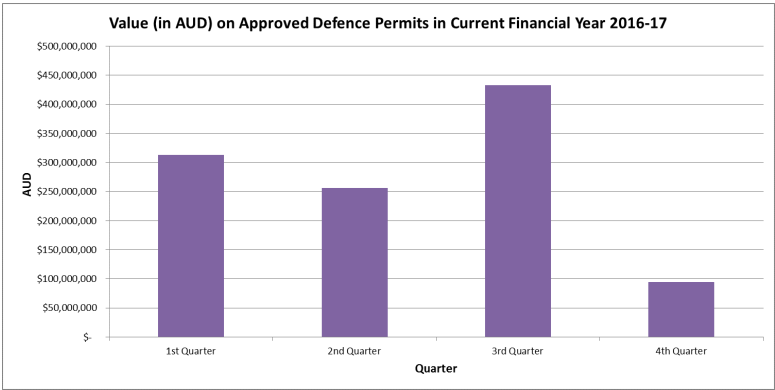


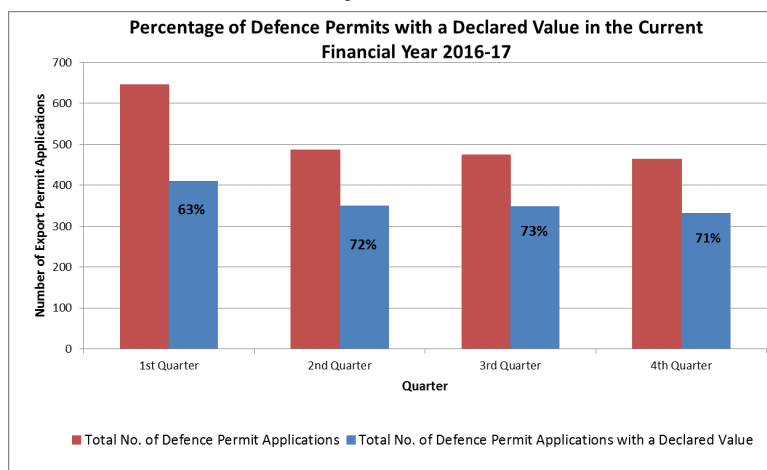
Broker Registrations received and completed for Financial Year 2016-17

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Broker Registration - Received	4	0	1	1	6
Broker Registration - Completed	2	0	0	1	3
Broker Registrations - Withdrawn	0	2	0	1	3

Value of Approved Defence Permits by Year

**Caveat on statistics in this section:** Exporters do not have to provide the value of their exports when applying for an export permit. The figures below provide the yearly totals of values that were provided in export applications.

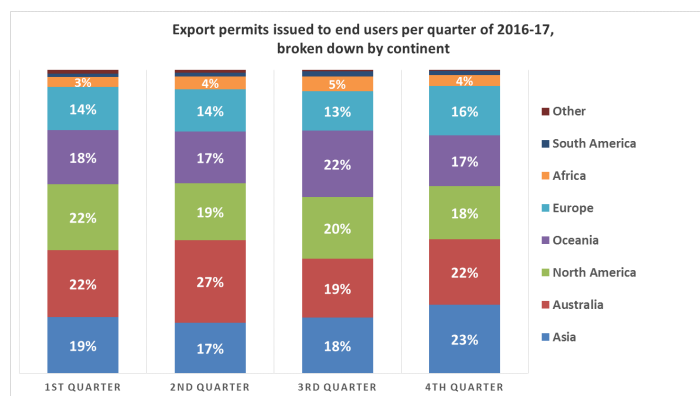




**Value of Defence permits issued, and percentage of permits with a declared value, for the Financial Year 17**

	FY 14-15	FY 15-16	FY 16-17 1st Quarter	FY 16-17 2nd Quarter	FY 16-17 3rd Quarter	FY 16-17 4th Quarter
Value of Approved Defence Permits	\$1,630,200,456	\$4,216,450,640	\$312,762,706	\$255,908,031	\$432,742,843	\$93,72
% of Defence Permits with a Declared Value	75%	63%	63%	72%	73%	71%

**Export Permits Issued to End Users by Continent**



*\* Export Permits issued to Australian end users relate to returns and repairs*

**Export permits issued to End Users (by Continent) by Financial Years**

	FY 14-15	FY 15-16	FY 16-17 1st Quarter	FY 16-17 2nd Quarter	FY 16-17 3rd Quarter	FY 16-17 4th Quarter
Asia	21%	20%	19%	17%	18%	23%
Australia*	24%	22%	22%	27%	19%	22%
North America	17%	19%	22%	19%	20%	18%

	<b>FY 14-15</b>	<b>FY 15-16</b>	<b>FY 16-17 1st Quarter</b>	<b>FY 16-17 2nd Quarter</b>	<b>FY 16-17 3rd Quarter</b>	<b>FY 16-17 4th Quarter</b>
<b>Oceania</b>	19%	17%	18%	17%	22%	17%
<b>Europe</b>	13%	14%	14%	14%	13%	17%
<b>Africa</b>	4%	4%	3%	4%	5%	4%
<b>South America</b>	1%	2%	1%	1%	2%	1%
<b>Other</b>	1%	1%	1%	1%	0%	0%

*\* Export Permits issued to Australian end users relate to returns and repairs*