

DEC (Defence Export Controls) (Defence Export Controls) Statistics

The statistics below describe the workload and key performance measures for DEC for the Financial Year 2016/17.

Export Application Statistics

- Export Applications
- Export Application Processing
- Export Application Outcomes
- Export Application Prohibitions and Denials

Certificate Statistics

- · Certificates Issued
- <u>Australian General Export Licences (AUSGEL)</u>
 Statistics
- Certificates and AUSGEL Processing Times
- Broker Registrations Issued
- Value of Approved Defence Permits

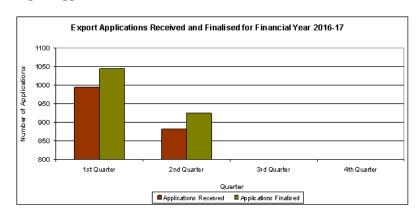
For statistics from previous financial year

• FY 2015-16

Export Application Statistics

- Information on the DEC export application process is available on the <u>Application Process</u> page.
- Information on in-principle applications is available on the <u>Apply for an in-principle</u> assessment to export controlled goods or technology page.
- Information on export control assessments is available on the <u>Understand the control status</u> of goods and technology page.

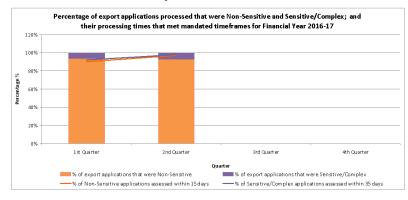
Export Applications



Export applications received and finalised for the Financial Year 2016-17

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Applications received	993	881			1874
Applications finalised	1043	923			1966

Export Application Processing



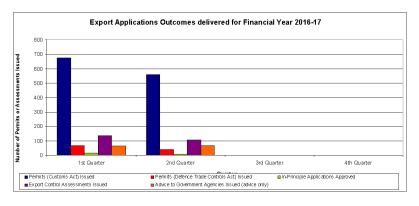
The percentage of export application processing times that were Non-Sensitive and Sensitive/Complex for the Financial Year 2016-17.

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of export applications that were Non- sensitive	94%	93%			93.5%
% of export applications that were Sensitive/complex	6%	7%			6.5%

The percentage of export application processing times that met mandated assessment timeframes for the Financial Year 2016-17.

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of Non-Sensitive applications assessed within 15 days	90%	97%			93.5%
% of Sensitive/Complex applications assessed within 35 days	92%	98%			95%

Export Application Outcomes



Export application outcomes delivered (numbers of permits and assessments issued) for Financial Year 2016-17

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Permits (Customs Act) Issued	675	559			1234
Permits (Defence Trade Controls Act) Issued	69	40			109
Brokering Arrangement Permits Issued	0	0			0
In-Principle Applications Approved	15	8			23
Export Control Assessments Issued	136	108			244
Advice to Government Agencies Issued	64	68			132

Export Application Prohibitions and Denials

- Information on prohibitions under the WMD (Weapons of Mass Destruction) Act is available from the Weapons of Mass Destruction (Prevention of Proliferation) Act 1995 page.
- Information on prohibitions under the MEU (Military End-Use) Provisions is available from the Military End-Use provisions (section 112BA) of the Customs Act 1901 page.

Export denials under Regulation 13E of the *Customs Act 1901* and prohibitions under the WMD (Weapons of Mass Destruction) Act and Military End-Use provision for the Financial Year 2016-17

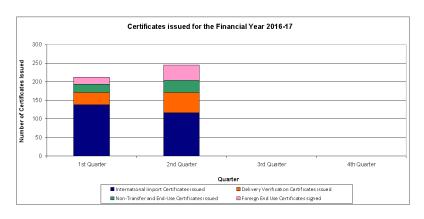
Export Application Prohibitions and Denials	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Denials (Customs Act)	0	0			0
Denials (Defence Trade Controls Act)	0	0			0
In-Principle Applications not supported	0	0			0
Prohibition Notices (Defence Trade Controls Act)	0	0			0
Prohibition Notices (Weapons of Mass Destruction Act)	0	0			0
Prohibitions Notices (Military End-Use)	0	1			1
Withdrawn, Made Inactive, or Lapsed	42	115*			157
No Further Action Required	37	24			61

^{*88} Applications were created incorrectly and subsequently made inactive.

Certificate Statistics

Information on certificates is available from the <u>Apply for supporting import and end-use</u> certificates page.

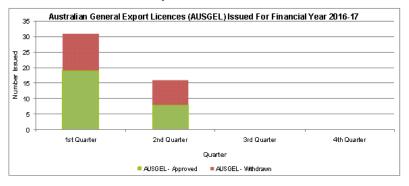
Certificates Issued



Certificates issued for Financial Year 2016-17

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
International Import Certificates issued	138	114			252
Delivery Verification Certificates issued	33	55			88
Non-Transfer and End-Use Certificates issued	23	32			55
Foreign End Use Certificates signed	19	41			60

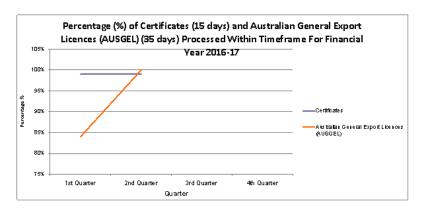
Australian General Export Licences (AUSGEL) Statistics



AUSGEL issued for Financial Year 2016-17

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
AUSGEL - Approved	19	8			27
AUSGEL - Application withdrawn by applicant	12	8			20

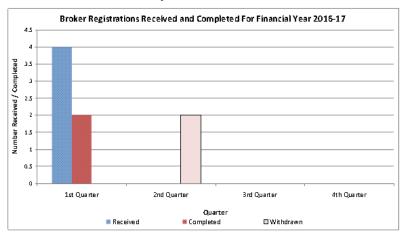
Certificates and Australian General Export Licences (AUSGEL) Processing



Percentage of Certificates (15 days) and AUSGEL (35 days) processed within timeframe for Financial Year 2016-17

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average %
Certificates	99%	99%			99%
Australian General Export Licenses (AUSGEL)	84%	100%			92%

Broker Registration

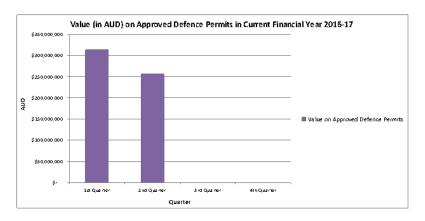


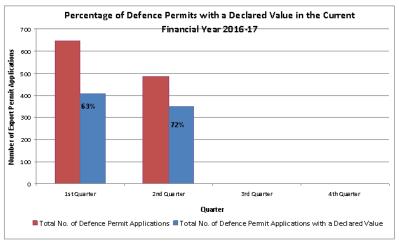
Broker Registrations received and completed for Financial Year 2016-17

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Broker Registration - Received	4	0			4
Broker Registration - Completed	2	0			2
Broker Registrations - Withdrawn	0	2			2

Value of Approved Defence Permits by Year

Caveat on statistics in this section: Exporters do not have to provide the value of their exports when applying for an export permit. The figures below provide the yearly totals of values that were provided in export applications.

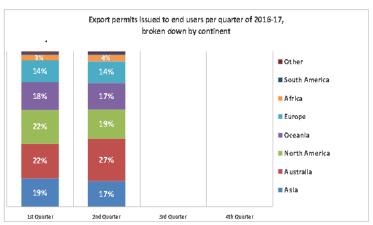




Value of Defence permits issued, and percentage of permits with a declared value, for the Financial Year 2016-17

	FY 14-15	FY 15-16	FY 16-17 1st Quarter	FY 16-17 2nd Quarter	FY 16- 17 3rd Quarter	FY 16- 17 4th Quarter
Value of Approved Defence Permits	\$1,630,200,456	\$4,216,450,640	\$312,762,706	\$255,908,031		
% of Defence Permits with a Declared Value	75%	63%	63%	72%		

Export Permits Issued to End Users by Continent



^{*} Export Permits issued to Australian end users relate to returns and repairs

Export permits issued to End Users (by Continent) by Financial Years

	FY 14- 15	FY 15- 16	FY 16- 17 1st Quarter	FY 16- 17 2nd Quarter	FY 16- 17 3rd Quarter	FY 16- 17 4th Quarter
Asia	21%	20%	19%	17%		
Australia*	24%	22%	22%	27%		
North America	17%	19%	22%	19%		
Oceania	19%	17%	18%	17%		
Europe	13%	14%	14%	14%		
Africa	4%	4%	3%	4%		
South America	1%	2%	1%	1%		
Other	1%	1%	1%	1%		

^{*} Export Permits issued to Australian end users relate to returns and repairs