

# DEC (Defence Export Controls) (Defence Export Controls) Statistics

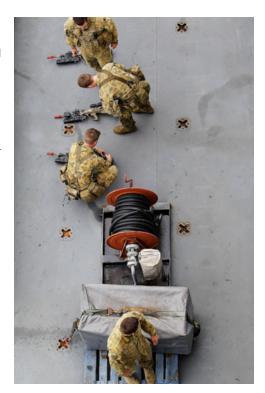
The statistics below describe the workload and key performance measures for DEC for the Financial Year 2015/16.

#### **Export Application Statistics**

- Export Applications
- Export Application Processing
- Export Application Outcomes
- <u>Export Application</u>
   <u>Prohibitions and Denials</u>

#### **Certificate Statistics**

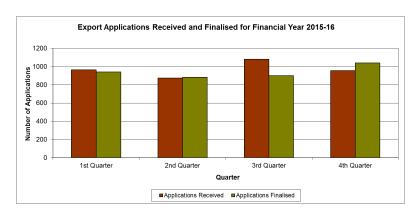
- · Certificates Issued
- <u>Australian General Export</u>
   <u>Licences (AUSGEL) Statistics</u>
- <u>Certificates and AUSGEL</u>
   <u>Processing Times</u>
- Broker Registrations Issued
- <u>Value of Approved Defence</u> <u>Permits</u>



## **Export Application Statistics**

- Information on the DEC export application process is available on the <u>Application Process page</u>.
- Information on in-principle applications is available on the <u>Apply for an in-principle assessment to export controlled goods or technology page</u>.
- Information on export control assessments is available on the Understand the control status of goods and technology page.

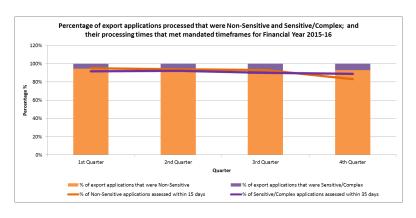
#### **Export Applications**



#### Export applications received and finalised for the Financial Year 2015-16

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Applications received	963	873	1079	955	3870
Applications finalised	941	883	899	1041	3764

# **Export Application Processing**



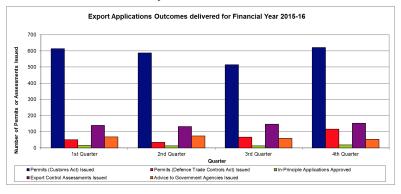
# The percentage of export application processing times that were Non-Sensitive and Sensitive/Complex for the Financial Year 2015-16.

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of export applications that were Non-sensitive	95%	93%	89%	93%	92%
% of export applications that were Sensitive/complex	5%	7%	11%	7%	8%

# The percentage of export application processing times that met mandated assessment timeframes for the Financial Year 2015-16.

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average
% of Non-Sensitive applications assessed within 15 days	95%	94%	93%	83%	91%
% of Sensitive/Complex applications assessed within 35 days	92%	92%	90%	89%	91%

## **Export Application Outcomes**



# Export application outcomes delivered (numbers of permits and assessments issued) for Financial Year 2015-16

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Permits (Customs Act) Issued	614	587	514	621	2336
Permits (Defence Trade Controls Act) Issued	51	35	67	116	269
In-Principle Applications Approved	16	12	13	19	60
Export Control Assessments Issued	139	132	147	152	570
Advice to Government Agencies Issued	68	74	59	53	254

## **Export Application Prohibitions and Denials**

- Information on prohibitions under the WMD (Weapons of Mass <u>Destruction</u>) Act is available from the <u>Weapons of Mass Destruction</u> (<u>Prevention of Proliferation</u>) Act 1995 page.
- Information on prohibitions under the MEU (Military End-Use) Provisions is available from the Military End-Use provisions (section 112BA) of the Customs Act 1901 page.

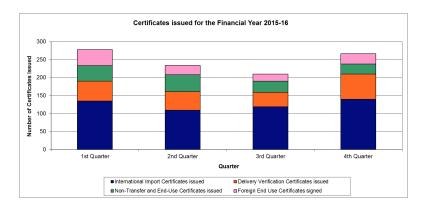
# Export denials under Regulation 13E of the *Customs Act 1901* and prohibitions under the <u>WMD (Weapons of Mass Destruction)</u> Act and Military End-Use provision for the Financial Year 2015-16

Export Application Prohibitions and Denials from July 2015 to June 2016	Total
Denials (Customs Act)	1
In-Principle Applications not supported	0
Prohibition Notices (Defence Trade Controls Act)	0
Prohibition Notices (Weapons of Mass Destruction Act)	0
Prohibitions Notices (Military End-Use)	0
Withdrawn, Made Inactive, or Lapsed	212

#### **Certificate Statistics**

 Information on certificates is available from the <u>Apply for supporting</u> import and end-use certificates page.

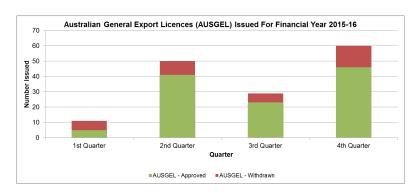
#### **Certificates Issued**



#### Certificates issued for Financial Year 2015-16

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
International Import Certificates issued	135	109	119	140	503
Delivery Verification Certificates issued	55	53	40	70	217
Non-Transfer and End-Use Certificates issued	43	47	31	28	149
Foreign End Use Certificates signed	45	26	20	28	119

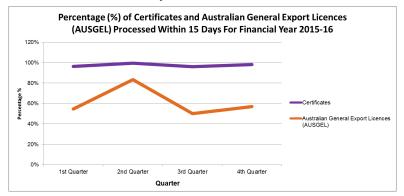
# **Australian General Export Licences (AUSGEL) Statistics**



#### **AUSGEL issued for Financial Year 2015-16**

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
AUSGEL - Approved	5	41	23	46	115
AUSGEL - Application withdrawn by applicant	6	9	6	14	35

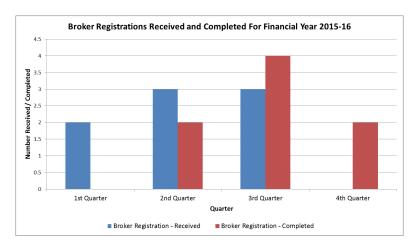
# **Certificates and Australian General Export Licences** (AUSGEL) **Processing**



#### Percentage of Certificates and AUSGEL processed within 15 days for Financial Year 2015-16

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Average %
Certificates	96%	100%	96%	98%	97%
Australian General Export Licenses (AUSGEL)	55%	83%	50%	57%	61%

## **Broker Registration**

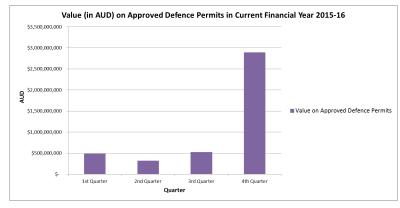


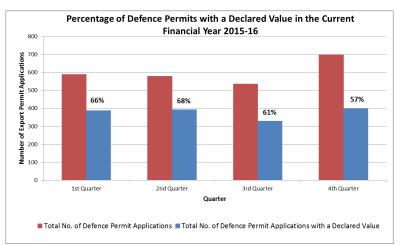
#### Broker Registrations received and completed for Financial Year 2015-16

	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
Broker Registration - Received	2	3	3	0	8
Broker Registration - Completed	0	2	4	2	8

## Value of Approved Defence Permits by Year

*Caveat on statistics in this section:* Exporters do not have to provide the value of their exports when applying for an export permit. The figures below provide the yearly totals of values that were provided in export applications.

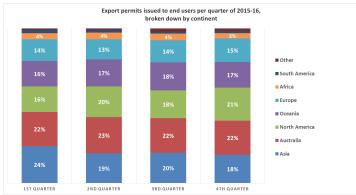




Value of Defence permits issued, and percentage of permits with a declared value, for the Financial Year 2

	FY 13-14	FY 14-15	FY 15-16 1st Quarter	FY 15-16 2nd Quarter	FY 15-16 3rd Quarter	FY 15-16 4th Quar
Value of Approved Defence Permits	\$1,118,910,277	\$1,630,200,456	\$486,726,584	\$318,217,817	\$522,503,815	\$2,889,0
% of Defence Permits with a Declared Value	75%	75%	66%	68%	61%	57%
No. of Approved AUSGEL Licenses	0	0	5	41	23	46

# **Export Permits Issued to End Users by Continent**



<sup>\*</sup> Export Permits issued to Australian end users relate to returns and repairs

## Export permits issued to End Users (by Continent) by Financial Years

	FY 13- 14	FY 14- 15	FY 15-16 1st Quarter	FY 15-16 2nd Quarter	FY 15-16 3rd Quarter	FY 15-16 4th Quarter
Asia	19%	21%	24%	19%	20%	18%
Australia*	26%	24%	22%	23%	22%	22%
North America	15%	17%	16%	20%	18%	21%
Oceania	19%	19%	16%	17%	18%	17%
Europe	16%	13%	14%	13%	14%	15%
Africa	4%	4%	4%	4%	4%	3%
South America	1%	1%	2%	2%	1%	1%
Other	1%	1%	1%	1%	2%	2%

<sup>\*</sup> Export Permits issued to Australian end users relate to returns and repairs