



**Stockholm International Peace Research Institute (SIPRI)
Free University of Berlin (FUB)**
in collaboration with the
German Council on Foreign Relations (DGAP)

Berlin Workshop

and

Luncheon / Round Table

on

**'Europe and Global Challenges:
Controlling Transfers of Dual-Use Goods and Technologies. The Perspectives of China, the EU,
and the USA'**

25 / 26 March 2010

DGAP, Rauchstr. 18, 10787 Berlin

Background:

The issue of controlling international transfers of conventional weapons and dual-use goods and technologies illustrates some of the key ambiguities and tensions of today's security challenges. While global challenges ranging from climate change to non-proliferation require international cooperation, national caveats remain a serious obstacle to meaningful collaboration. In an increasingly multipolar world the environment in which countries seek to cooperate in technology as well as on export controls is continuously in flux.

While the terminology used for describing current challenges is similar in established and emerging key states, to what extent do countries share a common understanding on those challenges? By setting up a Networked Think Tank among 13 participating European and non-European countries, SIPRI and the Free University of Berlin (FUB) aim to explore efforts to control international transfers of conventional weapons and dual-use goods. The Networked Think Tank will identify commonalities, differences and best practices in order to generate a shared knowledge base on this issue and to pave the way for shared solutions to common problems.

As part of the planning for this project, SIPRI and FUB, in collaboration with partners in the USA and China, are carrying out a pilot study examining controls on international transfers of dual-use goods and technologies in China, the EU and the USA. The purpose of this two day event is to discuss current debates in the field from a US, a European and a Chinese perspective and to test the project set up in collaboration with project partners. During an off-the-record luncheon event, the discussion will be extended to a selected group of experts from think tanks, government and industry.



Berlin Workshop:

'Europe and Global Challenges: Controlling Transfers of Dual-Use Goods and Technologies: The Perspectives of China, the EU, and the USA'

Wednesday 24 March - 1900

1900 **Welcoming dinner**

Venue: TBC

Thursday 25 March - 1300-1700

Location: German Council on Foreign Relations (DGAP), Rauchstr. 18, 10787 Berlin, Germany.
Musikzimmer

13.00-13.15 **Welcome**

Dr. May-Britt Stumbaum, Senior Research Fellow and Head of EU - China Project,
SIPRI

13.15-14.45 **Core idea and methodology of the project**

Presenter: Mark Bromley, Researcher, Arms Transfers Programme, SIPRI, Stockholm

Discussion

14.45-15.00 *Coffee break*

15.00-16.30 **The online 'Global Challenges Portal'**

Presenter: Dr. Henrike Paepcke, Director, Coconets, Berlin

Demonstration & Discussion

16.30 – 17.00 **Wrap-up**

19.00 **Dinner**

Venue: TBC

Friday, 26 March 2010 - 09.00-12.00

Location: German Council on Foreign Relations (DGAP), Rauchstr. 18, 10787 Berlin, Germany.
von-Dhonnany-Saal

09.00-09.05 **Opening Remarks**

Dr. Henning Riecke, Head of USA / Transatlantic Programme, German Council on Foreign Relations (DGAP)

09.05-10.45 **Work Shop: Controlling transfers of Dual-Use Goods and Technologies: The Perspectives of China, the EU and the United States**

The Perspective from China

Presenter 1: Liu Xiaoming, Director of General Affairs and Export Control Program, China Arms Control and Disarmament Association (CACDA)

The Perspective from the European Union

Presenter 2: Paul Kerr, Analyst in Nonproliferation in the Foreign Affairs, Defense, and Trade Division, Congressional Research Service (CRS)

The Perspective from the USA

Presenter 3: Mark Bromley, Researcher on the Arms Transfers Programme, Stockholm International Peace Research Institute (SIPRI)

Discussion

10.45-11.00 **Coffee Break**

11.00-11.45 Continued discussion on the topic / determining further steps / outline / structure of joint article

Friday, 26 March 2010 - 12.00-14.00

Luncheon / Round Table (with external guests)

'China, the EU, the USA and the improvement of controls on international transfers of dual-use goods: What are the prospects for strengthened collaboration?'

Location: German Council on Foreign Relations (DGAP), Rauchstr. 18, 10787 Berlin, Germany. von-Dhonnany-Saal

12.00-12.30 Sandwiches

12.30-14.00 'China, the EU, the USA and the improvement of controls on international transfers of dual-use goods: What are the prospects for strengthened collaboration?'

China, the EU and the USA together account for around 40 per cent of world trade and their commercial ties involve significant transfers of proliferation-sensitive dual-use goods. There is increased international attention on the need to improve controls on international transfers of dual-use goods. For example, UN Security Council Resolution 1540 calls for higher standards in this area. The EU and the USA have recently enacted significant changes to their export control systems while China is developing policies that reflect its emergence as a key exporter of high-technology goods. Speakers will address the following issues: How are China, the EU and the USA responding to the twin pressures of preventing proliferation while facilitating trade? What is driving their respective policies in this area? What are the prospects for strengthened collaboration?

Introduction: Dr. Henning Riecke, Head of USA / Transatlantic Relations Programme, German Council on Foreign Relations (DGAP)

Chair: Dr. May-Britt Stumbaum, Senior Research Fellow and Head of EU - China Project, SIPRI

Chinese perspectives on controlling transfers of dual-use goods and technologies

Speaker 1: Liu Xiaoming, Director of General Affairs and Export Control Program, CACDA

EU perspectives on controlling transfers of dual-use goods and technologies

Speaker 2: Paul Kerr, Analyst in Nonproliferation in the Foreign Affairs, Defense, and Trade Division, CRS

US perspectives on controlling transfers of dual-use goods and technologies

Speaker 3: Mark Bromley, Researcher on the Arms Transfers Programme, Stockholm International Peace Research Institute (SIPRI)

Discussion



14.30-16.00 Wrap-up:

Europe and Global Challenges Project / Pilot study: Controlling Transfers of Dual-Use Goods: The Perspectives of China, the EU, and the USA

Location: German Council on Foreign Relations (DGAP), Rauchstr. 18, 10787 Berlin, Germany.
von-Dhonnany-Saal

Discussion: Analysing feedback / Outlook: Timeline and next steps

Chair: Dr. May-Britt Stumbaum, Senior Research Fellow and Head of EU -
China Project, SIPRI

16.00 End of Work Shop

19.00 Dinner

Venue: TBC